## PROCEEDINGS OF THE 13TH SYMPOSIUM ON RING THEORY

HELD AT OKAYAMA UNIVERSITY, OKAYAMA SEPTEMBER 28-30, 1980

> EDITED BY HISAO TOMINAGA

WITH THE COOPERATION OF

SHIZUO ENDO

MANABU HARADA

TAKASI NAGAHARA HIROYUKI TACHIKAWA

1981 OKAYAMA, JAPAN

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#### PREFACE

This volume contains the papers presented at the 13th Symposium on Ring Theory held at Okayama University, September 28 - 30, 1980.

The annual Symposium on Ring Theory was founded in 1968. The main aims of the Symposium are to provide a means for the dissemination of recent theories on rings and modules which are not yet widely known and to give algebraists an opportunity to report on recent progress in the ring theory.

The Symposium was organized by Professors Shizuo ENDO (Tokyo Metropolitan University), Manabu HARADA (Osaka City University), Hiroyuki TACHIKAWA (University of Tsukuba) and Hisao TOMINAGA (Okayama University); the 13th Symposium itself and these Proceedings were supported from the Grantin-Aid for Scientific Research from the Ministry of Education, Science and Culture (Subject No. 534002) through the arrangements by Professor Yoshikazu NAKAI. I would like to take this oppotunity of thanking him for his arrangements. We hope these Proceedings will serve as a stimulus for the development of the ring theory.

January 1981

H. TOMINAGA

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#### ON MODIFIED CHAIN CONDITIONS

#### Hiroaki KOMATSU

Throughout the present paper, A will represent a ring without (possibly with) identity, and N = N(A) the prime radical of A. Given a left ideal I of A and an Asubmodule M' of a left A-module M, for each positive integer i we set  $I^{-i}M' = \{u \in M \mid I^{i}u \subset M'\}$ . We note that for A-shmodules M', M' of M,  $I^{i}M' \subset M''$  is equivalent to  $M' \subset I^{-1}M''$ . Following F. S. Cater [1], we say that a left A-module M is almost Artinian (resp. almost Noetherian) if for each infinite descending (resp. ascending) chain  $M_1 \ge M_2 \ge \dots$  (resp.  $M_1 \subseteq M_2 \subseteq \dots$  ) of Asubmodules of M there exist positive integers m, q such that  $A^{q}M_{m} \subseteq M_{i}$  (resp.  $M_{i} \subseteq A^{-q}M_{m}$ ) for all i. If  $A^{q}$ almost Artinian (resp. almost Noetherian), we say that A is an almost left Artinian (resp. almost left Noetherian) ring. Obviously, for s-unital left A-modules (in particular, for completely reducible left A-modules), the concept of "almost Artinian" (resp. "almost Noetherian") coincides with that of "Artinian" (resp. "Noetherian").

In [1], Cater showed that many of well known properties of left Artinian (resp. left Noetherian) rings are also properties of almost left Artinian (resp. almost left Noetherian) rings. The present objective is to give a quick way to the preliminary results in [1], and to improve the main theorems in [1] with some addition. (For the details, refer to [3].)

We begin with improving Propositions 4 and 9 of [1] as follows.

Proposition 1. For a left A-module M, the following are equivalent:

- 1) M is almost Artinian (resp. almost Noetherian).
- 2) For each infinite descending (resp. ascending) chain  $M_1 \supseteq M_2 \supseteq \dots$  (resp.  $M_1 \subseteq M_2 \subseteq \dots$ ) of A-submodules of M there exists a positive integer p such that  $A^pM_p = A^pM_1$  (resp.  $A^{-p}M_p = A^{-p}M_1$ ) for all i > p.
- 3) In each non-empty family M of A-submodules of M such that  $M' \in M$  implies  $AM' \in M$  (resp.  $A^{-1}M' \in M$ ), there exists a minimal (resp. maximal) member.

Proof. As is easily seen, 3)  $\Rightarrow$  2)  $\Rightarrow$  1). Now, suppose 3) does not hold for some M. Then we can find successively  $M_{\underline{i}} \in M$  ( $\underline{i} = 1, 2, \ldots$ ) such that  $M_{\underline{i}+1} \subset A^{\underline{i}}M_{\underline{i}}$  (resp.  $A^{-\underline{i}}M_{\underline{i}} \subset M_{\underline{i}+1}$ ).

We give here a shorter proof of [1, Proposition 7].

Proposition 2. Let M be a left A-module, and M' an A-submodule of M.

- (1)  $_{A}^{M}$  is almost Artinian if (and only if) both  $_{A}^{M'}$  and  $_{A}^{M/M'}$  are almost Artinian.
- (2)  $_{A}^{M}$  is almost Noetherian if (and only if) both  $_{A}^{M'}$  and  $_{A}^{M/M'}$  are almost Noetherian.

Proof. (1) Let  $M_1 \supseteq M_2 \supseteq \ldots$  be an arbitrary descending chain of A-submodules of M. There exists a positive integer p such that  $M_p \cap M' \subseteq A^{-p}(M_1 \cap M')$  and  $M_p + M' \subseteq A^{-p}(M_1 + M')$  for all i. Therefore, for every  $1 \ge p$  we have  $M_p \subseteq A^{-p}M_p \cap (M_p + M') \subseteq A^{-p}M_p \cap A^{-p}(M_1 + M') = A^{-p}(M_p \cap (M_1 + M')) = A^{-p}(M_1 + (M_p \cap M')) \subseteq A^{-2p}M_1$ .

(2) Let  $M_1 \subseteq M_2 \subseteq \cdots$  be an arbitrary ascending chain of A-submodules of M. There exists a positive integer p such that  $A^p(M_i \cap M') \subseteq M_p \cap M'$  and  $A^pM_i + M' \subseteq M_p + M'$  for all i. Therefore, for every i > p we have  $M_p \supseteq A^pM_p + (M_p \cap M') \supseteq A^pM_p + A^p(M_i \cap M') = A^p(M_p + (M_i \cap M')) = A^p(M_i \cap (M_p + M')) \supseteq A^{pM}_i$ .

Next, we reprove [1, Theorem 1].

Theorem 1. If A is almost left Artinian, then A is semiprimary, namely N is nilpotent and A/N is Artinian (semisimple).

Proof. Suppose contrarily that N is not nilpotent. By the condition 3) of Proposition 1, N contains a minimal non-nilpotent left ideal I. Consider the family of all left subideals I' of N with II' # 0. Then, again by Proposition 1, the family contains a minimal member I\*. Since II\* = I\*, there exists  $a* \in I*$  such that Ia\* = I\*. Hence,  $aa^* = a^*(\neq 0)$  with some  $a \in I$ . Obviously, a is not nilpotent. But this contradicts the fact that N is nil. Hence, N is nilpotent. Thus, it suffices to show that if A is semiprime and almost left Artinian then A is Artinian semisimple. Since, by Proposition 1, every non-zero left ideal of A contains a minimal left ideal, the left socle S of A is essential in A. Since S is completely reducible and Artinian and every minimal left ideal of A is generated by an idempotent, we see that S itself is generated by an idempotent. Hence S coincides with A, whence we can conclude the assertion.

According to Theorem 1, an almost left Artinian ring A

has a principal idempotent e (i.e., e+N is the identity of A/N). We consider the principal Peirce decomposition:  $(A,+) = (1-e)Ae \oplus eAe \oplus eA(1-e) \oplus (1-e)A(1-e)$ , where 1-e is used symbolically. Then the ring A is isomorphic to the generalized matrix ring  $\begin{cases} eAe & eA(1-e) \\ (1-e)Ae & (1-e)A(1-e) \end{cases}$ . easy to see that eAe is a left Artinian ring with identity e and (1-e)A(1-e) is a nilpotent ring. Similarly, the unital left eAe-module eA(1-e) is Artinian and finitely generated. Conversely, let A be a generalized matrix ring  $\begin{pmatrix} R & K \\ L & S \end{pmatrix}$ , where R is a left Artinian (resp. left Noetherian) ring with identity, S a nilpotent ring,  $R_S$  a finitely generated unital R-module, and  ${}_{S}L_{R}$  a unital R-module, and the multiplications of K and L are defined by some ( , ) :  $_{R}^{K} \otimes_{S} L_{R} + _{R}^{N}(R)_{R}$  and [ , ] :  $_{S}^{L} \otimes_{R}^{K} K_{S} + _{S}^{S} S_{S}$  such that  $(k,\ell)k' = k[\ell,k']$  and  $\ell(k,\ell') = [\ell,k]\ell'$  for all k, k'  $\in$  K and  $\ell$ ,  $\ell$ '  $\in$  L. Then A is almost left Artinian (resp. almost left Noetherian). In fact, since  $\begin{bmatrix} R & K \\ 0 & 0 \end{bmatrix}$  is an Artinian (resp. Noetherian) left  $\begin{bmatrix} R & 0 \\ 0 & 0 \end{bmatrix}$ -module,  $\begin{bmatrix} R' & K \\ 0 & 0 \end{bmatrix}$ is a left Artinian (resp. left Noetherian) ring. Now, let 
$$\begin{split} \mathbf{S}^{\mathbf{n}} &= \mathbf{0}. \quad \text{Since} \quad \mathbf{A}^{\mathbf{n}} = \begin{pmatrix} \mathbf{R} & \mathbf{K} \\ \mathbf{L} & \mathbf{L} \mathbf{K} \end{pmatrix} = \begin{pmatrix} \mathbf{R} & \mathbf{K} \\ \mathbf{0} & \mathbf{0} \end{pmatrix} \oplus \begin{pmatrix} \mathbf{0} & \mathbf{0} \\ \mathbf{L} & \mathbf{0} \end{pmatrix} \begin{pmatrix} \mathbf{R} & \mathbf{K} \\ \mathbf{0} & \mathbf{0} \end{pmatrix}, \text{ for any} \\ \text{left ideal I of } \mathbf{A} \quad \text{we get } \mathbf{A}^{\mathbf{n}} \mathbf{I} = \begin{pmatrix} \mathbf{R} & \mathbf{K} \\ \mathbf{0} & \mathbf{0} \end{pmatrix} \mathbf{I} \oplus \begin{pmatrix} \mathbf{0} & \mathbf{0} \\ \mathbf{L} & \mathbf{0} \end{pmatrix} \begin{pmatrix} \mathbf{R} & \mathbf{K} \\ \mathbf{0} & \mathbf{0} \end{pmatrix} \mathbf{I}. \\ \text{Since } \begin{pmatrix} \mathbf{R} & \mathbf{K} \\ \mathbf{0} & \mathbf{0} \end{pmatrix} \mathbf{I} \quad \text{is a left ideal of } \begin{pmatrix} \mathbf{R} & \mathbf{K} \\ \mathbf{0} & \mathbf{0} \end{pmatrix}, \quad \mathbf{A} \quad \text{is almost} \end{split}$$
left Artinian (resp. almost left Noetherian) by the condition 2) of Proposition 1.

Recalling that a left Artinian ring with identity is left Noetherian, we readily obtain

Corollary 1. Every almost left Artinian ring is almost left Noetherian.

Next, we state the following that includes Theorems A and B of [1].

Theorem 2. Let I and  $I_j$  (j = 1, ..., k) be left ideals of A, and M a left A-module.

- (1) If  $_{A}$ A/I is completely reducible and IM = 0, then the following are equivalent:
  - a) AM is almost Artinian.
  - b)  $A^{AM}$  is Artinian.
  - c)  $_{\Delta}AM$  is finitely generated.
  - d) AM is Noetherian.
  - e)  $_{\Delta}$ M is almost Noetherian.
- (2) If  $A^{A/I}_j$  is completely reducible  $(j=1, \ldots, k)$  and  $I_1 \ldots I_k M = 0$ , then the following are equivalent:
  - a)  $A^M$  is almost Artinian.
- b)  $A^{AM/I}k^M$ ,  $A^{AI}k^{M/I}k-1^Ik^M$ , ...,  $A^I_2..._k^M = A^{AI}_2..._k^M/I_1..._k^M$  are all finitely generated.
  - c)  $_{A}$ M is almost Noetherian.
- (3) Assume that  $_A$ A/I is completely reducible. If  $_A$ M is almost Artinian and for any non-zero A-submodule M' of M, M'  $\neq$  IM' then  $_A$ M is almost Noetherian.
- (4) Assume that  $_AA/I$  is completely reducible. If  $_A^M$  is almost Noetherian and for any proper A-submodule M' of M, M'  $\neq$   $_I^{-1}M'$  then M is almost Artinian.
- Proof. (1) It is easy to see that  $_{\hbox{\scriptsize A}}{}^{\hbox{\scriptsize AM}}$  is completely reducible. Therefore, (1) is obvious.
  - (2) Observe the descending chain

 $M \supseteq I_k^M \supseteq I_{k-1}^I I_k^M \supseteq \cdots \supseteq I_2 \cdots I_k^M \supseteq I_1 \cdots I_k^M = 0.$ Then the assertion can be proved by (1) and Proposition 2.

- (3) By Proposition 1, there exists the smallest member M' among the A-submodules U of M such that  $_{A}^{M/U}$  is almost Noetherian. Since  $_{A}^{M'/IM'}$  is almost Artinian,  $_{A}^{M'/IM'}$  is almost Noetherian by (1). Since  $_{A}^{M'}$  by the minimality of M', we get M' = 0. Hence  $_{A}^{M'}$  is almost Noetherian.
- (4) By Proposition 1, there exists the greatest almost Artinian A-submodule M' of M. Since  $A^{I^{-1}M'/M'}$  is almost Noetherian,  $A^{I^{-1}M'/M'}$  is almost Artinian by (1). The maximality of M' yields  $I^{-1}M'=M'$ , and so M' = M. Hence  $A^{M}$  is almost Artinian.

The next is an immediate consequence of Theorem 2.

Corollary 2. Let A be a semiprimary ring, and M a left A-module. Then  $_A{}^M$  is almost Artinian if and only if  $_A{}^M$  is almost Noetherian.

We consider here almost left Noetherian rings.

Theorem 3. Let A be an almost left Noetherian ring.

- (1) A satisfies the ascending chain condition for semiprime ideals.
- (2) Every nil subring of A is nilpotent and the nilpotency indices of nil subrings are bounded.
  - Proof. (1) The proof is straightforward.
- (2) There exists a positive integer  $\,q\,$  such that  $A^q r(A^i) \subseteq r(A^q)\,, \text{ and hence } r(A^i) \subseteq r(A^{2q}) \quad \text{for all i.}$  Since  $A/r(A^{2q})$  is left unital and almost left Noetherian,

it is easy to see that  $A/r(A^{2q})$  is a left Goldie ring. According to [2, Corollary 1.7], there exists a positive integer n such that  $K^n \subseteq r(A^{2q})$  for all nil subrings K of A. It is immediate that  $K^{2q+n} = 0$ .

Finally, we shall give necessary and sufficient conditions for a ring to be almost left Artinian. A left ideal I of A is said to be almost maximal if A/I is a sum of minimal left A-modules. If a prime ideal P is an almost maximal left ideal, then  $_{A}A/P$  is completely reducible. The following includes Theorems 5, 6 and 11 of [1].

#### Theorem 4. The following are equivalent:

- 1) A is almost left Artinian.
- 2) A is  $\pi$ -regular and almost left Noetherian, and A/N is left s-unital.
- 3) A is almost left Noetherian and A/N is left Artinian.
- 4) A is almost left Noetherian and every proper prime ideal of A is an almost maximal left ideal.
- 5) N is nilpotent,  $_{A}AN^{i-1}/N^{i}$  is finitely generated for all i > 0, A satisfies the ascending chain condition for semiprime ideals, and every proper prime ideal of A is an almost maximal left ideal.
- 6) N is nilpotent and  $A^{AN^{i-1}/N^i}$  is Artinian for all i > 0.
- Proof. 1)  $\Leftrightarrow$  3)  $\Leftrightarrow$  6). Under any of the conditions 1), 3), 6), N is nilpotent and  $_{A}^{A}/N$  is completely reducible. Hence, these conditions are equivalent by Theorem 2.
- 1)  $\Rightarrow$  2). By Theorem 1 and [5, Lemma 2], A is  $\pi$ -regular.

- 2) ⇒3). Since A/N is left unital and almost left Noetherian, it is easy to see that A/N is a left Goldie ring. Therefore, as was claimed in the proof of [6, Theorem 3], A/N contains the identity. Moreover, it is easy to see that every regular element of A/N is a unit. Hence, A/N coincides with its left quotient ring that is Artinian semisimple.
  - 3)  $\Rightarrow$  4) and 5). Obvious.
- 4) or 5)  $\Rightarrow$  3). By [4, Theorem 3],  $N = \bigwedge_{i=1}^{k} P_i$  with some prime ideals  $P_i$ . Since  $A^{A/P_i}$  is completely reducible,  $A^{A/P_i}$  is Artinian for all i. Hence  $A^{A/N}$  is Artinian.

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### CHARACTERIZATIONS OF RINGS WITH TRIVIAL PRERADICAL IDEALS

#### Hisao KATAYAMA

Let R be a ring with identity and R-mod the category of all unital left R-modules. A functor  $\sigma\colon R\text{-mod}\longrightarrow R\text{-mod}$  is called a preradical if  $\sigma(M)$  is a submodule of M for each M  $\in$  R-mod and  $\sigma(M)\alpha\subset\sigma(N)$  for each morphism  $\alpha\colon M\longrightarrow N$  in R-mod. A preradical  $\sigma$  is called an idempotent preradical (resp. a radical) if  $\sigma(\sigma(M))=\sigma(M)$  (resp.  $\sigma(M/\sigma(M))=0$ ) for all M  $\in$  R-mod. A preradical is called left exact (resp. cohereditary) if it is kernel preserving (resp. epi-preserving). Every left exact (resp. cohereditary) preradical is idempotent (resp. a radical). A preradical is called a cotorsion radical (resp. an exact radical) if it is an idempotent cohereditary radical (resp. a left exact cohereditary radical).

We call an ideal I of R a preradical ideal if there exists a preradical  $\sigma$  for R-mod such that  $\sigma(R)=I$ . A preradical ideal of a left exact preradical (resp. a left exact radical) is nothing but a pretorsion ideal (resp. a torsion ideal) in the sence of [4]. From now on, we shall study the rings which have no non-trivial preradical ideals  $\sigma(R)$ , where we take  $\sigma$  as an idempotent preradical (or an exact radical, etc) for R-mod, and give several characterizations of those rings. Note that, for a preradical  $\sigma$  for R-mod,  $\sigma(R)=R$  if and only if  $\sigma=1$ , where I stands for the identity functor for R-mod. Hence we may rephrase our question as: When the preradical ideals  $\sigma(R)$  vanish for various types of preradicals  $\sigma\neq 1$  for R-mod?

To bigin with, we have

Proposition 1. The following properties are equivalent for a ring R:

- (1)  $\sigma(R) = 0$  for every preradical  $\sigma \neq 1$  for R-mod.
- (2)  $\sigma(R) = 0$  for every radical  $\sigma \neq 1$  for R-mod.
- (3)  $\sigma(R) = 0$  for every cohereditary radical  $\sigma \neq 1$  for R-mod.
- (4) There exist only two cohereditary radicals for R-mod.
- (5) R is a simple ring (i.e. it has exactly two ideals).
  - (6) Every nonzero (cyclic) left R-module is faithful.
  - (7) RK = R for every nonzero right ideal K of R.

Definition 1. A ring R is called left G if  $\sigma(R)$  = 0 for every idempotent preradical  $\sigma \neq 1$  for R-mod.

Theorem 2. The following properties are equivalent for a ring R:

- (1) R is a left G-ring.
- (2) Every left R-module M with  $Hom_R(M, R) \neq 0$  is a generator for R-mod.
- (3) Every nonzero torsionless left R-module is a generator for R-mod.
- (4) Every nonzero submodule of a projective left R-module is a generator for R-mod.
- (5) Every nonzero left ideal of R is a generator for R-mod.
- (6) Every nonzero ideal of R is a generator for R-mod.

- Remark 1. (1) A property that a ring is left G is Morita invariant.
- (2) Every (simple) left R-module is a generator for R-mod if and only if R is simple artinian ([6]).
- (3) R is a left G-ring with nonzero (left) socle if and only if R is simple artinian.
- (4) Every nonzero left ideal of R is a progenerator for R-mod if and only if R is left hereditary left Notherian prime ring without non-trivial idempotent ideals ([7]).
- (5) If R is left hereditary, then R is left G if and only if every nonzero projective left R-module is a generator for R-mod.
- (6) If R is a left G-ring, then the maximal left ring of quotients  $Q_{max}$  of R is simple and left self-injective by [4, Prop. 6.2]. In particular  $Q_{max}$  is also a left G-ring. If R is a left G-ring and the classical left ring of quotients  $Q_{cl}$  of R exists, then  $Q_{cl}$  is also a left G-ring.

Now we shall consider some generalizations of left G-rings.

Definition 2. A ring R is called left FGG (resp. left CG) if every finitely generated (resp. cyclic) left ideal of R is a generator for R-mod.

Proposition 3. The following properties are equivalent for a ring R:

- (1) R is left FGG.
- (2) Every finitely generated left R-module M with  $\operatorname{Hom}_R(M, R) \neq 0$  is a generator for R-mod.

- (3) Every nonzero finitely generated torsionless left R-module is a generator for R-mod.
- (4) Every nonzero finitely generated submodule of a projective left R-module is a generator for R-mod.
- (5) For each positive integer n, the ring R of  $n \times n$  matrices over R is left CG.

Proposition 4. The following properties are equivalent for a ring R:

- (1) R is left CG.
- (2)  $Ra^{1r} = R$  for every nonzero  $a \in R$ , where  $a^{1r} = Ann_R^r(Ann_R^1(a))$ .
- (3) RK = R for every nonzero annihilator right ideal K (i.e.  $K = Ann_R^r(X)$  for some subset X of R) of R.
- (4) Every cyclic left R-module M with  $Hom_R(M, R) \neq 0$  is a generator for R-mod.
- (5) Every nonzero cyclic torsionless left R-module is a generator for R-mod.
- (6) Every nonzero cyclic submodule of a projective left R-module is a generator for R-mod.

Definition 3. A ring R is called left EG (resp. left SSP [3]) if every essential left ideal of R is a generator for R-mod (resp. cofaithful).

Proposition 5. The following properties are equivalent for a ring R:

- (1) R is left EG.
- (2) Every ideal which is essential in R as a left ideal is a generator for R-mod.
- (3) Every module  $_{R}^{Q}$  satisfying that  $t_{Q}^{(R)}$  is an essential left ideal is a generator for R-mod, where  $t_{Q}^{(R)}$

denotes the trace ideal of Q.

Remark 2. (1) Let  $R = \bigoplus_{i=1}^{n} R_i$  be a direct sum of rings  $\{R_i\}_{i=1}^{n}$ . Then R is left EG if and only if each  $R_i$  is left EG.

(2) If R is a left EG-ring and the classical left ring of quotients  $Q_{cl}$  of R exists, then  $Q_{cl}$  is also a left EG-ring.

Definition 4. A ring R is left R [2] (left SP [4]) (left CTF [4]) if  $\sigma(R) = 0$  for every idempotent radical (left exact preradical) (left exact radical)  $\sigma \neq 1$  for R-mod.

Proposition 6 ([2, Prop. 1.10]). The following properties are equivalent for a ring R:

- (1) R is a left R-ring.
- (2)  $\operatorname{Hom}_{\mathbb{R}}(I, \mathbb{R}/I) \neq 0$  for every non-trivial left ideal I of R.
- (3)  $\operatorname{Hom}_{\mathbb{R}}(I, M) \neq 0$  for every nonzero left ideal I of R and nonzero  $M \in \mathbb{R}$ -mod.

Proposition 7 ([4, p2], [8, Theorem 1.7], [9, Theorem 2.1] and [1, Prop. 3.2]). The following properties are equivalent for a ring R:

- (1) R is a left SP-ring.
- (2) For every finitely generated projective left R-module P and  $0 \neq N \subset P$ , there exists an embedding  $P \longrightarrow N^{(n)}$  for some integer n.
  - (3) Every nonzero left ideal of R is cofaithful.
- (4) Every nonzero left ideal od R generates the injective hull  $E(_{p}R)$  of  $_{p}R$ .

(5) R is a left non-singular prime ring, and every non-singular quasi-injective left R-module is injective.

Proposition 8 ([2, Theorem 2.4] and [4, p91]). The following properties are equivalent for a ring R:

- R is a left CTF-ring.
- (2) For every non-trivial left ideal I of R, there exist  $x \in I$ ,  $y \in R \setminus I$  such that  $(0:x) \in (I:y)$ .
  - (3) Every nonzero injective left R-module is faithful.
- Remark 3. (1) A ring is left G if and only if it is both left EG and left R.
- (2) Every left G-ring R is left SP. The converse holds if R is left self-injective.
- Example 1. Every simple ring is a left G-ring, but the converse is not true. The ring  $\, Z_n \,$  of n×n matrices over the ring  $\, Z \,$  of integers is a left and right G-ring which is not simple.
- Example 2. Every left G-ring is a left R-ring, but the converse is not true. For a counter example, we may take the ring  $R = Z/(p^n)$ , where p is a prime and n is an integer greater than 1.
- Example 3. Every left G-ring is left FGG. Every left FGG-ring is left CG, but the converse is not true. In fact we shall give an example of a left CG-ring R having a finitely generated essential left ideal which is not a generator for R-mod. Let R = K[x,y] be a polynomial ring over a field K. Then R is a (left) CG-ring with an ideal I = (x,y) generated by x and y, which is essen-

tial in R and is not a generator for R-mod.

Example 4. Every left CG-ring is left SP, but the converse is not true. Let  $D=Z_2[x_1,x_2,x_3,...]$  be the free non-commuting  $Z_2$ -algebra on  $x_i$  (i=1,2,3,,...). Let I be the two-sided ideal in D generated by monomials of the form  $x_ix_jx_k$  with i < j < k. As is shown in [4, p9], R=D/I is left SP. One can check that the cyclic left ideal  $A=(Dx_3+I)/I$  of R is not a generator for R-mod.

Example 5. Every left G-ring is left EG, but the converse is not true. In fact,  $R = Z \oplus Z$  is a (left) EGring, but is not prime. One may expect that, if R is a left EG-ring, then every (essential submodule of a) projective left R-module is a generator for R-mod. But this is not true. Once again let  $R = Z \oplus Z$ , and consider the ideal I = (Z, 0) of R. Clearly R is projective, but an easy verification shows that  $t_I(R) = I$ , which means R is not a generator for R-mod.

Definition 5. A ring is called left C2 (resp. left E2) if  $\sigma(R) = 0$  for every cotorsion radical (resp. exact radical)  $\sigma \neq 1$  for R-mod.

Definition 6. We shall call that an ideal I of a ring R is left strongly idempotent, if J = IJ holds for every left ideal  $J \subset I$ .

Proposition 9. The following properties are equivalent for a ring R:

- (1) R is left C2 (and hence C2)
- (2) There exist only two cotorsion radicals for R-mod.
- (3) R has no non-trivial idempotent ideals.

Theorem 10. The following properties are equivalent for a ring R:

- (1) R is a left E2-ring.
- (2) There exist only two exact radicals for R-mod.
- (3) If a nonzero injective module  $_R$ E satisfies the condition that, for a left ideal K,  $\operatorname{Hom}_R(R/K, E) = 0$  implies K +  $\operatorname{Ann}_p(E) = R$ , then E is faithful.
- (4) There are no non-trivial ideals I such that  $IN = N \cap IM \text{ for each }_{p}N \subset {}_{p}M.$
- (5) There are no non-trivial (idempotent) ideals I such that  $(R/I)_p$  are flat.
- (6) R has no non-trivial left strongly idempotent ideals.

Remark 4. (1) A property that a ring is left E2 is Morita invariant.

- (2) A ring is simple if and only if it is left E2 and left weakly regular.
  - (3) Every left E2-ring is indecomposable as a ring.
- (4) Put  $T = {n \choose R} \mid M$  is projective and completely reducible. It is known that T is a TTF-class. Hence if R is left E2, we have T = R-mod or  $T = \{0\}$ . Thus if R is not simple artinian, then every simple left R-module is not projective.

Example 6. Every left R-ring is C2, but the converse is not true. For a counter example, consider  $S = Z \times Q$ , where Z is the ring of integers and Q the field of rational numbers. Define the addition on S by component wise and the multiplication on S by

$$(z_1, q_1) * (z_2, q_2) = (z_1 z_2, z_1 q_2 + z_2 q_1)$$

Then S becomes a commutative ring without non-trivial idempotent ideals, but as is shown in [2] S is not an R-ring.

Example 7. Clearly every left strongly idempotent ideal is idempotent, but the converse is not true. Let R be the ring of 2×2 upper triangular matrices over a field K. One can check that  $\begin{pmatrix} 0 & K \\ 0 & K \end{pmatrix}$  is idempotent but not left strongly idempotent. On the other hand,  $\begin{pmatrix} K & K \\ 0 & 0 \end{pmatrix}$  is left strongly idempotent.

Example 8. There is a right E2-ring which is not left E2. Let D = F[x,y] be the free non-commuting algebra on  $\{x,y\}$  over a field F. Then  $DxD = \bigoplus_{i=0}^{\infty} y^i x D \cong \bigoplus_{i=0}^{\infty} D_D$ . The ring  $R = End(DxD_D)$  is right SP ([4, Example 13.2]) and so is right E2. But R contains a non-trivial left strongly idempotent ideal  $K = \bigoplus_{i=0}^{\infty} e_i R$ , where  $e_i$  denotes the matrix with 1 in the (i,i) position, 0 elsewhere.

Example 9. If R is a left CTF-ring, then every non-zero flat right R-module is faithful ([4 Prop. 13.9]). If R has this property, then R is left E2 by Theorem 10. But the converse is not true. Let

$$R = \left\{ \begin{pmatrix} a & 0 & 0 \\ b & c & 0 \\ d & e & a \end{pmatrix} \mid a,b,c,d,e \in K \right\},\,$$

where K is a field. One can check that there are only two non-trivial idempotent ideals

$$I_1 = \{ \begin{pmatrix} a & 0 & 0 \\ b & 0 & 0 \\ d & e & a \end{pmatrix} \mid a,b,d,e \in K \} \text{ and } I_2 = \begin{pmatrix} 0 & 0 & 0 \\ K & K & 0 \\ K & K & 0 \end{pmatrix}$$

Put 
$$J_1 = \begin{pmatrix} 0 & 0 & 0 \\ K & 0 & 0 \\ K & K & 0 \end{pmatrix} \subset I_1$$
 and  $J_2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ K & 0 & 0 \end{pmatrix} \subset I_2$ . Then  $J_i \neq 0$ 

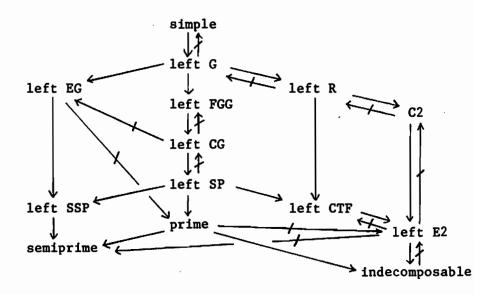
 $I_iJ_i$  (i=1,2). Thus  $I_i$  (i=1,2) are not left strongly idempotent ideals. This gives an example of left E2-ring which is not C2. The same argument shows R is also a right E2-ring. Now put

$$A = \begin{pmatrix} 0 & 0 & 0 \\ K & K & 0 \\ 0 & 0 & 0 \end{pmatrix} \text{ and } B = \left\{ \begin{pmatrix} a & 0 & 0 \\ 0 & 0 & 0 \\ d & e & a \end{pmatrix} \mid a, d, e \in K \right\}.$$

Then  $R = A \oplus B$ , and so  $A_R$  is flat. But  $A_R$  is not faithful. Finally, we remark that R is not semiprime.

Example 10. We give an example of a prime ring which is not left E2. Let  $V_D$  be an infinite dimensional vector space over a division ring D. Put  $R = \operatorname{End}(V_D)$ . Then R is a regular and prime ring. Put  $I = \operatorname{soc}(R)$ , then I consists of  $f \in R$  such that  $\operatorname{Im}(f)_D$  is finite dimensional. Thus I is a non-trivial (left) strongly idempotent ideal. One may remark that R is not cofaithful, and so R is not a left SSP-ring.

#### A table of rings



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#### WEAKLY REGULAR MODULES

#### Tsuguo MABUCHI

This note is an abstract of the author's paper [2]. The principal objective of [2] is to give the conditions that some modules in the injective hull of a weakly regular module become weakly regular modules, and to give ones that some rings in the injective hull of the endomorphism ring of a weakly regular module become left weakly regular rings.

1. Preliminaries. Throughout this note, R will represent an associative ring with identity, and M a unitary right R-module. We set  $M^* = \operatorname{Hom}_R(M,R_R)$  and  $S = \operatorname{Hom}_R(M,M)$ . Every (right or left) module A is unitary, and furthermore E(A), Z(A), J(A),  $T_A$  and d(A) denote the injective hull of A, the singular submodule of A, the Jacobson radical of A, the trace ideal of A and the Goldie dimension of A, respectively.  $T = T_M$  is the trace ideal of M. Next, we set  $\sqrt{M} = \bigcap_{R \in R} \operatorname{Ker} f$ , where f runs over all elements in  $\operatorname{Hom}_R(E(M), E(M) \oplus E(R_R))$  with f(M) = O, and  $\sqrt{S} = \operatorname{Hom}(\sqrt{M}, \sqrt{M})$ . Finally, we set  $Q(M,R) = \bigcap_{R \in R} \operatorname{Ker} g$ , where g runs over all elements in  $\operatorname{Hom}_D(E(R_D), E(M) \oplus E(R_D))$  with g(R) = O.

A right R-module M is called a weakly regular module (abbr. w.regular module) if  $m \in S(m)M^*(m)$  for every  $m \in M$ , and a ring Y is called a left (right) weakly regular ring if  $z \in YzYz$  ( $z \in zYzY$ ) for every  $z \in Y$ .

2. Weakly regular modules. In this section we state the main results of [2] without proof.

Proposition 1. If  $M_{\overline{R}}$  is w.regular, then there hold

the following:

- (a) Z(M) = 0.
- (b) MT = M and  $T^2 = T$ .
- (c)  $mT \neq 0$  for every non-zero  $m \in M$ .
- (d)  $J(S_S) = 0$ .
- (e)  $Z(S_S) = 0$ .
- (f)  $E(S_S) \approx \text{Hom}_{R}(E(M), E(M))$  (ring isomorphism), and

 $f \mid S$  is an identity map.

(g) J(M) = 0.

Proposition 2. Let  $M_R$  be a w.regular module, and W a ring with  $S \subseteq W \subseteq E(S_S)$ . Let a be a right W-submodule in  $E(S_S)$ . Then there hold the following:

- (a) W is a semiprime ring.
- (b)  $d_W(a_W) = d_S(a_S)$ .
- (c)  $Z_W(W_W) = 0$ .

Theorem 3. Let  $M_R$  be a w-regular module, and W a ring with  $S \subseteq W \subseteq E(S_S)$ . If  $G(W) = \{a \in W \mid d_W(aW_W) < \infty\}$ , then there hold the following:

- (a) G(W) is a two-sided ideal of W.
- (b) G(W) is a left weakly regular ring.

Corollary 4. Let  $M_R$  be a w.regular module with  $d_R(M) < \infty$ , and W a ring with  $S \subseteq W \subseteq E(S_S)$ . Then  $W = W_1 \oplus \ldots \oplus W_p$ , where  $W_i$  is W-W-simple.

Proposition 5. (a) Q(M,R) is an intermediate ring between R and its maximal right quotient ring (see [3, Proposition 1.3]).

(b)  $\sqrt{M}$  is a right Q(M,R)-module.

- (c) If N is a right R-module with  $M \subseteq N \subseteq \overline{M}$ , then  $\text{Hom}_{D}(N, \overline{M}) \subseteq \sqrt{S}$ , and especially  $S \subseteq \sqrt{S}$ .
  - (d)  $\operatorname{Hom}_{\mathbb{R}}(M,\mathbb{R}) \subseteq \operatorname{Hom}_{\mathbb{Q}(M,\mathbb{R})}(\sqrt{M},\mathbb{Q}(M,\mathbb{R})).$
  - (e)  $\sqrt{S} = \text{Hom}_{O(M,R)}(\sqrt{M}, \sqrt{M})$ .

Furthermore, if W is a ring with  $S \subseteq W \subseteq \sqrt{S}$ , then

(f) 
$$M \subseteq W(M) \subseteq \sqrt{M}$$
.

Proposition 6. If W is a ring with  $S \subseteq W \subseteq \sqrt{S}$ , and  $Q(W(M)) = \{x \in Q(M,R) \mid W(M)x \subseteq W(M)\}$ , then there hold the following:

- (a) Q(W(M)) is an intermediate ring between R and Q(M,R).
  - (b) W(M) is a right Q(W(M))-module.
  - (c)  $\operatorname{Hom}_{\mathbb{R}}(M,\mathbb{R}) \subseteq \operatorname{Hom}_{\mathcal{O}(W(M))}(W(M),\mathcal{Q}(W(M)))$ .
  - (d)  $S \subseteq Hom_{O(W(M))}(W(M), W(M))$ .

Furthermore, if  $M_{\overline{R}}$  is finitely generated projective (abbr. f.g. projective), then

- (e) W(M) = MQ(W(M)).
- (f) The trace ideal of W(M) as a right Q(W(M))-module is Q(W(M))TQ(W(M)).

Theorem 7. Let  $M_R$  be a w.regular module, and W a ring with  $S \subseteq W \subseteq \sqrt{S}$ . If  $G(W(M)) = \{x \in W(M) \mid d_R(xR) < \infty\}$  and  $Q(G(W(M)) = \{x \in Q(W(M)) \mid G(W(M))x \subseteq G(W(M))\}$ , then there hold the following:

- (a) G(W(M)) is a left W- and right Q(G(W(M)))-module.
- (b) G(W(M)) is a w-regular module as a right Q(G(W(M)))-module.

Corollary 8. If  $M_R$  is a w.regular module,  $d(R_R) < \infty$ 

and W is a ring with  $S \subseteq W \subseteq \sqrt{S}$ , then W(M) is a w.regular module as a right Q(W(M))-module (see Proposition 6 (b)).

Corollary 9. Let  $M_R$  be a w.regular module,  $d(R_R) < \infty$ , and W a ring with  $S \subseteq W \subseteq \sqrt{S}$ . If  $W = \operatorname{Hom}_R(W(M), W(M))$ , then the center of W is a regular ring.

Proposition 10. If  $M_R$  is a f.g.w.regular module and W is a ring with  $S \subseteq W \subseteq \sqrt{S}$ , then the following conditions are equivalent:

- (a) W(M) is a w.regular module as a right Q(W(M))-module (see Proposition 6 (b)).
- (b)  $Q(W(M))TQ(W(M))a = Q(W(M))TQ(W(M))a^2$  for every left ideal a of Q(W(M)) (see Proposition 6 (f)).
- (c) W is a left weakly regular ring and  $x \in WxQ(W(M))TQ(W(M))$  for every  $x \in W(M)$ .

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#### REGULAR MODULES AND V-MODULES

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The notion of regularity has been extended to modules by D. Fieldhouse [5], R. Ware [18] and J. Zelmanowitz [19]. In this paper, following Zelmanowitz [19], we call a right R-module M regular if given any m  $\varepsilon$  M there exists f  $\varepsilon$  $Hom_{R}(M,R)$  with mf(m) = m. G. Michler and O. Villamayor [14] have shown that the following are equivalent: (1) every simple right R-module is injective, (2) every right R-module is semisimple and (3) every right ideal of R an intersection of maximal right ideals. If a ring R satisfies these equivalent conditions, R is called a right V-ring. The notion of V-rings has been extended to modules by V. S. Ramamurthi [15] and H. Tominaga [17]. In this paper, following Tominaga [17], we call a module MD a Vmodule if every R-submodule is an intersection of maximal R-submodules. Such a module  $M_{\rm p}$  has also been called "co-semisimple" by K. R. Fuller [8]. The connections between the class of regular rings and the class of V-rings are studied by many authors (see the references of [6]). In this paper, we consider the connections between the class of regular modules and the class of V-modules, and we study the relationship between these modules and their endomorphism rings.

Throught this paper, R will denote a ring with identity and all modules considered are unitary right R-modules. For any module M,  $M^*$  denotes  $Hom_R(M,R)$ , and S=S(M) denotes  $End_R(M)$ . We denote by Z(M) and J(M) the singular submodule of M and the Jacobson radical of M, re-

spectively. And we say that M is semisimple if J(M) = 0. We set  $\operatorname{Ann}_R(M) = \{r \in R \mid Mr = 0\}$ . The homomorphisms  $(\ ,\ ) : M \otimes_S M \longrightarrow R$  with (f,m) = f(m) and  $[\ ,\ ] : M \otimes_R M^* \longrightarrow S$  with [m,f] = mf are R-R-linear and S-S-linear respectively. As is well known,  $(S,M^*,M,R)$  with these homomorphisms forms a Morita context. The images  $(M^*,M)$  and  $[M,M^*]$  will be denoted by T and , respectively. We denote by  $U(_SM_R)$  (resp.  $U(M_R)$ ) the lattice of S-R-submodules (resp. R-submodules) of M, and by  $U_T(_RR)$  (resp.  $U_T(_RR_R)$ ) the lattice of all left ideals (resp. ideals) I of R with TI = I. Further,  $U_\Delta(S_S)$  (resp.  $U_\Delta(_SS_S)$ ) denotes the lattice of all right ideals (resp. ideals) K of S with  $K\Delta = K$ . Given R-modules M and N, we set  $T_M(N) = \sum \{Im(f) \mid f \in \operatorname{Hom}_R(M,N)\}$ .

l. Preliminaries. Let R' be a ring (with or without identity). Following Tominaga [17], we say that a right R'-module  $M \neq 0$  is s-unital if  $u \in uR'$  for any  $u \in M$ . As was shown in [17], if  $M_R$ , is s-unital then for any finite subset F of M there exists an element e in R' such that xe = x for all  $x \in F$ . Following B. Zimmerman-Huisgen [22], we say that M is locally projective if M satisfies the following condition: For all diagrams

$$\begin{array}{ccc}
A & \xrightarrow{f} & B & \longrightarrow & 0 \\
F & & & M
\end{array}$$

with exact upper row and a finitely generated submodule F of M there is  $g' \in \operatorname{Hom}_R(M,A)$  such that g|F = fg'|F. It is known that M is locally projective if and only if M is s-unital as a left  $\Delta$ -module (see [22]). As is easily seen, if N is an S-R-submodule of a locally projective module M, then M/N is a locally projective  $R/Ann_R$ 

(M/N)-module. A module M is called a self-generator if  $T_M(K) = K$ , for all R-submodules K of M. We also call a module M a  $\sum$ -self-generator if  $T_M(N) = N$ , for all R-submodules N of M<sup>n</sup> and all positive integers n (see [21]). We begin with the following proposition.

Proposition 1.1. The following are equivalent:

- 1) M is s-unital as a right T-module.
- 2) The lattice homomorphism  $U_{\Delta}(S_S) \rightarrow U(M_R)$ ; I  $\rightarrow$  IM, is an isomorphism.
  - 3) M is a self-generator and MT = M.
- If M , is locally projective, we may add:
- $^{l_{4}})$  Every simple homomorphic image of any submodule of  $^{M}_{R}$  is a homomorphic image of  $^{M}_{R}.$

Proof. See the proof of [9, Proposition 1.1].

A ring R is said to be fully right idempotent if  $I^2 = I$  for every right ideal I of R. And R is fully idempotent if  $I^2 = I$  for every ideal I of R. Let us call a module M a fully right idempotent (resp. fully idempotent) module if for every m  $\epsilon$  M, m  $\epsilon$  [m,M\*]mR (resp. m  $\epsilon$  S[m,M\*]mR). A ring R is fully right idempotent or fully idempotent according as  $R_R$  is so. Here, we give several characterizations of a fully right idempotent module and a fully idempotent module.

Proposition 1.2 (cf. [12, Theorem 7]). (1) The following statements are equivalent:

- 1) M is a fully right idempotent module.
- 2) For every R-submodule N of M, N =  $[N,M^*]N$ .

- 3)  $M_{T}$  is s-unital and  $I^{2} = I$  for every  $I \in U_{\Lambda}(S_{S})$ .
- 4)  $M_T$  is s-unital and N  $\cap$  IM = IN for every S-R-submodule N of M and every right ideal I of S.
- 5)  $\rm M_{\rm T}$  is s-unital and  $\rm _{\rm S}M/N$  is flat for each S-R-submodule N of M.
  - (2) The following statements are equivalent:
  - 1) M is a fully idempotent module.
  - 2) For every S-R-submodule N of M, N =  $[N,M^*]N$ .
- 3) The lattice homomorphism  $U_T(_R^RR_R) \rightarrow U(_S^MR)$ ;  $I \rightarrow MI$ , is an isomorphism and  $I^2 = I$  for every  $I \in U_T(_R^RR_R)$ .
- 4) The lattice homomorphism  $U_{\Delta}(_{S}S_{S}) \rightarrow U(_{S}M_{R})$ ;  $K \rightarrow KM$ , is an isomorphism and  $K^{2} = K$  for every  $K \in U_{\Delta}(_{S}S_{S})$ .

Proof. See the proof of [9, Proposition 1.2].

The proofs of the following two propositions are similar to those of corresponding assertions in [13], [19] and [22] and hence omitted.

Proposition 1.3. (1)  $M = \bigoplus_{\alpha \in A} M_{\alpha}$  is fully right idempotent (resp. fully idempotent) if and only if each  $M_{\alpha}$  is fully right idempotent (resp. fully idempotent).

- (2) If R is a fully right idempotent ring, then every locally projective module over R is fully right idempotent.
- (3) If R is a fully idempotent ring, then every projective module over R is fully idempotent.

Proposition 1.4. If M is fully idempotent, then there hold the following:

- (1) S is a semiprime ring.
- (2) The center of S is a regular ring.

- (3) If  $S = S_1 \oplus S_2 \oplus \ldots \oplus S_n$  with two-sided simple rings  $S_i$ , then  $M_i = S_i M$  is S-R-simple and  $M = M_1 \oplus \ldots \oplus M_n$ .
- If M is finitely generated projective, then  $\Delta = S$ . Hence by Proposition 1.2 we have the following

Proposition 1.5. Let M be a finitely generated projective module. If M is fully right idempotent (resp. fully idempotent), then  $S_S$  is fully right idempotent (resp. fully idempotent).

2. Regular modules. We begin this section with the following characterizations of a regular module.

Theorem 2.1 ([9], [19]). The following statements are equivalent:

- 1) M is a regular module.
- 2) For every  $m \in M$ , mR is projective and is a direct summand of M.
- 3) For every  $m_1, \ldots, m_t \in M$ ,  $\sum_{i=1}^t m_i^R$  is projective and is a direct summand of M.
- 4) M is locally projective and every homomorphic image of M is flat.
- 5) M is locally projective and for any submodule N of M and any left R-module L, the natural homomorphism N  $\otimes$  RL  $\rightarrow$  M  $\otimes$  RL is a monomorphism.
- 6) M is locally projective and MI  $\cap$  N = NI for every submodule N of M and every left ideal I of R.

Examples of regular modules 2.2. (1) Any locally

projective module over a regular ring is a regular module ([22]).

- (2) Any completely reducible projective module is a regular module.
- (3) An ideal of a ring R is called a regular ideal if it is regular as a subring. It is known that every ring possess a unique largest regular ideal. As is easily seen, every regular ideal is a regular module.

Proposition 2.3 (Zelmanowitz [19]). (1) If M is a regular module, then J(M) = 0 = Z(M).

(2)  $M = \bigoplus_{\alpha \in A} M_{\alpha}$  is regular if and only if each  $M_{\alpha}$  is regular.

A module M is prime (resp. semiprime) if for every non-zero elements m, m<sub>1</sub> in M there holds  $m(M^*,m_1) \neq 0$  (resp.  $m(M^*,m) \neq 0$ ) (see [20]).

The following theorem is an extension of [7, Corollary 1.3] to modules.

Theorem 2.4. The following are equivalent:

- 1) M is a regular module.
- 2) M is locally projective and fully idempotent, and for each prime ideal P of R, M/MP is a regular R/P-module.
- 3) M is locally projective and fully idempotent, and each prime factor module  $M/N_{\overline{R}}$  (N  $\subseteq$   $S^{M}_{R}$ ) is a regular  $\overline{R}$ -module, where  $\overline{R} = R/Ann_{R}(M/N)$ .

Proof. 1)  $\Rightarrow$  2). By the definition, a regular module M is s-unital as a left  $\Delta$ -module and hence M is locally projective. Let I be an ideal of R. Then, since each

- f  $\epsilon$  M\* induces an element in  $\operatorname{Hom}_{R/I}(M/MI,R/I)$ , M/MI is a regular R/I-module.
- 2)  $\Rightarrow$  3). If  $\overline{M} = M/N_{\overline{R}}$  is prime for an S-R-submodule N, then  $\operatorname{Ann}_{R}(\overline{M})$  is a prime ideal by [20, Proposition 1.1]. By Proposition 1.2 (2), it is easy to see that N =  $M(\operatorname{Ann}_{R}(\overline{M}))$ . Hence M/N is a regular  $R/\operatorname{Ann}_{R}(\overline{M})$ -module by 2).
- 3)  $\Rightarrow$  1). We have to show that for each m  $\epsilon$  M there exists an  $f \in M^*$  such that m = mf(m). Assume, to the contrary, that there exists an m in M such that m = mx(m) has no solution in M\*. Then, by making use of the fact that M is locally projective and Zorn's lemma, we can choose an S-R-submodule N of M which is maximal with respect to the property that  $\bar{m} = \bar{m}x(\bar{m})$  has no solution in  $\operatorname{Hom}_{\overline{R}}(\overline{M},\overline{R})$  where  $\overline{M}=M/N$  and  $\overline{R}=R/\operatorname{Ann}_{R}(\overline{M})$ , i.e. m - mx(m) is not in N for every  $x \in M^*$ . By hypothesis,  $\overline{\mathtt{M}}$  is not prime. Therefore there exist non-zero elements  $\overline{m}_1$  and  $\overline{m}_2$  in  $\overline{M}$  such that  $[m_1, Hom_R(\overline{M}, \overline{R})]m_2$ = 0. Since M is fully idempotent, as is easily seen, the  $\overline{R}$ -module  $\overline{M}$  is also fully idempotent and so semiprime. Thus, we have  $\overline{Sm_1R} \cap \overline{Sm_2R} = 0$ . By the choice of N and the fact that M is locally projective, there exist x and y in M\* with  $m - m(x,m) \in Sm_1R + N$  and m - m(y,m) $\varepsilon$  Sm<sub>2</sub>R + N. Thus m - m(x + y - x[m,y])m is in  $(Sm_R + N) \cap (Sm_R + N) = N$ . This contradicts the choice of N. Consequently M is regular and the proof is complete.

Corollary 2.5. Let R be a ring all of whose prime factor rings are regular. Then every locally projective fully idempotent module is regular.

The endomorphism ring of a regular module need not be regular. Indeed, Cukerman [3] and Ware [18] have noted that the endomorphism ring of an infinitely generated free module over a regular ring R is regular if and only if R is artinian.

Theorem 2.6 (Ware [18]). If M is a finitely generated regular module, then S is a regular ring.

Even if the endomorphism ring of a projective module M is regular, M need not be regular. The following example is due to Ware [18].

Example 2.7. A cyclic projective module M which is not regular but such that S is a field: Let K be a field and  $R = {K \choose 0}$ . Let  $M = {K \choose 0}$ . Then  $M_R$  is a cyclic projective module and  $S \cong K$ . Since  ${0 \choose 0}$  is a cyclic submodule of M which is not a direct summand, M cannot be a regular module.

Proposition 2.8. Let M be a locally projective module. If S is a regular ring and M is a self-generator, then M is regular.

Proof. By Proposition 1.1, for any m  $\epsilon$  M there is an I in  $U_{\Delta}(S_S)$  with mR = IM. Then m =  $\sum a_i m_i$  with some  $a_i \epsilon$  I and  $m_i \epsilon$  M. If we set I' =  $\sum a_i S$ , it is easy to see that mR = I'M. Since S is regular, the right ideal I' is generated by an idempotent e. Then mR (= eM) is a direct summand of M and is projective. Thus we conclude that M is regular by Theorem 2.1.

Ware [18, Theorem 3.8] proved that if M is a projective module over a commutative ring R and S is a regular ring, then M is regular. More generally, we have the following

Corollary 2.9. Let R be a commutative ring. If M is a locally projective module and S is a regular ring, then M is a regular module.

Proof. A locally projective module M over a commutative ring R is a self-generator by [21, 2.3, 3)]. Thus, by Proposition 2.8 M is a regular module.

3. V-modules. Let M be a right R-module. A right R-module N is defined to be M-injective in case for each monomorphism  $f: K_R \to M_R$  and each homomorphism  $g: K_R \to N_R$  there is an R-homomorphism  $g': M_R \to N_R$  such that  $g = g'f: 0 \longrightarrow K_R \xrightarrow{f} M_R$ 

The following theorem characterizes a V-module.

Theorem 3.1 (Fuller [8]). The following are equivalent:

- 1) M is a V-module.
- 2) Every simple right R-module is M-injective.
- 3) Every finitely cogenerated factor module of M is completely reducible.

In case we restrict our attension to locally projective modules, we obtain the following

Corollary 3.2. Let M be a locally projective module.

Then the following are equivalent:

- 1) M is a V-module.
- 2) M is a self-generator and every simple homomor-phic image of M is M-injective.
- 3) M is a self-generator and for any simple right R-module X,  $\text{Hom}_{R}(M,X)_{S}$  is injective.
- Proof. 1)  $\Rightarrow$  2). Since every simple homomorphic image of any submodule of M is a homomorphic image of M (Theorem 3.1), M is a self-generator by Proposition 1.1.
  - 2)  $\Rightarrow$  1). Obvious by Theorem 3.1.
- 2) ⇔ 3). Since M is a ∑-self-generator by [21, Theorem 2.4], the equivalence of 2) and 3) is a consequence of [21, Corollary 1.5] and Theorem 3.1.

If  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  is exact and a module U is M-injective, then U is injective relative to both M' and M''. If U is injective relative to each of the R-modules  $M_{\alpha}$  ( $\alpha \in A$ ), then U is  $\bigoplus_{\alpha \in A} M_{\alpha}$ -injective (see [1, p.188]). Hence, the following proposition is immediate from Theorem 3.1.

Proposition 3.3 (1) Every submodule and every homomorphic image of a V-module is also a V-module.

(2)  $\bigoplus_{\alpha \in A} \ M_{\alpha}$  is a V-module if and only if every  $\ M_{\alpha}$  is a V-module.

Corollary 3.4. Let R be a commutative ring, and M a finitely generated V-module. Then  $R/Ann_R(M)$  is a V-ring (and hence a regular ring).

The following theorem corresponds to [6, Theorem 14].

For the another proof see [9].

Theorem 3.5. If M is a fully right idempotent module and  $M/MP_R$  is a V-module for each primitive ideal P of R, then M is a V-module. If M is a locally projective module, then the converse is also true.

Proof. To prove the first assertion, it suffices to show that for any submodule N and any m  $\epsilon$  M\N, there exists a maximal submodule X of M such that X  $\geq$  N and m  $\ell$  X. Now, let m  $\ell$  N, and let Y be maximal among the submodules K of M such that m  $\ell$  K  $\geq$  N. Let D denote the intersection of all submodules Q of M with Q  $\supseteq$  Y. Obviously, m is in D, and D/Y is a simple module. By Proposition 1.2, D = IM for some right ideal I of S. If we set P = Ann<sub>R</sub>(D/Y), P is a primitive ideal of R. Since D  $\cap$  (Y + MP) = Y + D  $\cap$  MP = Y + IMP = Y + DP  $\subseteq$  Y by Proposition 1.2, we conclude m  $\ell$  Y + MP. By the maximality of Y, there holds Y  $\supseteq$  MP. Therefore, M/Y is naturally considered as an R/P-module. Hence, by hypothesis, Y must be a maximal submodule of M.

Next, we assume that M is a locally projective V-module. If there is an m  $\epsilon$  M such that m  $\epsilon$  [m,M\*]mR, then we have a maximal submodule N of M such that [m,M\*]mR  $\leq$  N and mR  $\epsilon$  N. Since N is a maximal submodule, we have M = mR + N, and so  $\Delta$  = [M,M\*] = [m,M\*] + [N,M\*]. Hence we have mR  $\epsilon$   $\Delta$ mR = [m,M\*]mR + [N,M\*]mR  $\epsilon$  N. This is a contradiction.

The endomorphism ring of a V-module need not be a V-ring. For example, a left vector space W over a field K is an injective right End<sub>K</sub>W-module if and only if

 $[W:K] < \infty ([4, p.88]).$ 

Theorem 3.6. Let M be a finitely generated projective module. Then the following are equivalent:

- 1) M is a V-module.
- 2) M is a self-generator and S is a right V-ring.

Proof. Recall first that every locally projective V-module is a self-generator (Corollary 3.2). Since M is finitely generated projective, we have that  $\Delta = S$ . Assume that M is a self-generator. Then, by Proposition 1.1, the lattice  $U(S_S)$  is isomorphic to the lattice  $U(M_R)$ . Therefore S is a right V-ring if and only if M is a V-module.

Corollary 3.7. If M is a finitely generated projective module over a right V-ring R, then the endomorphism ring S is a right V-ring.

If M is a projective module such that  $\operatorname{End}_R(M)$  is a V-ring, is M a V-module? Example 2.7 shows the answer is negative. But we have the following proposition.

Proposition 3.8. Assume that M is quasi-projective or MT = M. If M is a self-generator and S is a right V-ring, then M is a V-module.

Proof. By [21, Theorem 2.4], M is a \( \subseteq -\text{self-generator}. \)
If a module X is simple then  $\operatorname{Hom}_R(M,X)_S$  is simple or zero by [1, p.191] and by [21, Theorem 4.5], and so, by [21, Corollary 1.5], X is M-injective. Therefore, M is a V-module by Theorem 3.1.

The next corresponds to Corollary 2.9.

Corollary 3.9. Let R be a commutative ring, and M a locally projective module. If S is a right V-ring, then M is a V-module.

Proof. This is clear by Proposition 3.8 and the assertion in the proof of Corollary 2.9.

4. Regular modules versus V-modules. First, we shall extend [6, Theorem 16] to modules. We say that R is a P.I.-ring if R satisfies a polynomial identity with coefficients in the centroid and at least one coefficient is invertible.

Theorem 4.1. Let R be a P.I.-ring, and M a right R-module. Then the following are equivalent:

- 1) M is a regular module.
- 2) M is a locally projective V-module.
- 3) M is locally projective and fully right idempotent.

Proof. 1)  $\Rightarrow$  3)  $\Rightarrow$  2) are clear by Theorem 3.5 and the fact that every primitive factor ring of a P.I.-ring R is simple artinian (Kaplansky [10]).

3)  $\Rightarrow$  1). Cleary, if M is fully right idempotent and N an S-R-submodule, then M/N is a fully right idempotent R/Ann<sub>R</sub>(M/N)-module. If M/N<sub>R</sub> is prime, then  $\overline{R} = R/Ann_R(M/N)$  is prime by [20, Proposition 1.1]. Hence, according to Theorem 2.4, it is sufficient to show that a faithful, prime and fully right idempotent module over a prime P.I.-ring R is regular. Let C be the center of R. First we shall show that M is C-torsion-free. Assume, to the contrary,

that there exist a non-zero  $m' \in M$  and a non-zero  $c' \in C$  such that m'c' = 0. Since  $M_R$  is faithful, there is a non-zero  $m'' \in M$  such that  $m''c' \neq C$ . Then we have  $m'(M^*,m''c') = m'c'(M^*,m'') = 0$ . This contradicts the primeness of M. Since M is fully right idempotent, for each  $m \in M$  and each non-zero  $c \in C$ , there are  $f_1, \ldots, f_n \in M^*$  and  $r_1, \ldots, r_n \in R$  such that  $mc = \sum_{mc} mc_1(mc)r_1 = (\sum_{mc} mf_1(m)r_1)c^2$ . Hence, we can define  $mc^{-1} = \sum_{mc} mf_1(m)r_1$ , and then M has the Q-module structure, where Q is the ring of central quotients of R. By [16, Corollary 1], Q is a simple artinian ring. Since  $M_Q$  is completely reducible, by Proposition 2.3 we may assume that M is an irreducible Q-module. Since  $End_R(M) \cong End_Q(M)$  is a division ring by Shur's lemma, M is a regular module by Proposition 2.8.

Corollary 4.2. Let R be a P.I.-ring. Then a locally projective module M is completely reducible if and only if every completely reducible module is M-injective.

Proof. One way is clear. To prove the other way, assume that every completely reducible right R-module is M-injective. Let m be an arbitrary element of M. Since M is regular by Theorem 4.1, mR is a regular module and every completely reducible module is mR-injective. Now, we shall show that mR contains no infinite direct sum of non-zero submodules. Assume, to the contrary, that mR contains an infinite direct sum  $N = \bigoplus_{\alpha \in A} M_{\alpha}$  with  $M_{\alpha} \neq 0$ . Since each M is a V-module, it contains a maximal submodule M'. Then  $N' = \bigoplus_{\alpha \in A} M_{\alpha}/M_{\alpha}'$  is completely reducible, and hence mR-injective. Thus the canonical homomorphism  $N_R \to N_R'$  can be extended to an homomorphism  $f: mR \to N'$ .

Noting that  $f(m) \in \bigoplus_{\alpha \in A'} \frac{M}{\alpha} / M'$  with a finite subset A' of A, we obtain  $N' = f(N) \subseteq f(mR) \subseteq \bigoplus_{\alpha \in A'} \frac{M}{\alpha} / M'$ , which is a contradiction. Thus, we see that mR is isomorphic to a finite direct sum of minimal right ideals by [19, Theorem 1.8], concluding that M is a sum of simple submodules.

A ring is said to be normal if every idempotent is central. For example, reduced rings and left and right duo rings are normal.

Proposition 4.3. Let R be normal. If M is a regular module, then every simple homomorphic image of M is injective. In particular, M is a V-module.

Proof. If M is regular, then for every m & M, mR is projective and is a direct summand of M by Theorem 2.1. Hence we may assume that M is cyclic (and projective). Since R is normal, M & eR for some central idempotent e in R. Since the ring eR is regular and normal, it is a strongly regular ring, and hence a right V-ring by Chiba-Tominaga [2]. The second assertion is clear by Corollary 3.2.

For a locally projective module M over a commutative ring R, we have

Theorem 4.4. Let R be a commutative ring. Then the following are equivalent:

- 1) M is a regular module.
- M is a locally projective V-module.
- 3) M is fully right idempotent.
- 4) M is locally projective and every simple homomorphic image of M is injective.

5) M is locally projective and every simple homomorphic image of M is M-injective.

Proof. 1)  $\Rightarrow$  2). By Theorem 4.1.

- 2) ⇒ 3). By Theorem 3.5.
- 3)  $\Rightarrow$  1). Since M is fully right idempotent, for each m  $_{\epsilon}$  M we have that m  $_{\epsilon}$  [m,M\*]mR. Since R is commutative, the right multiplication of any element of R is in S. Therefore m  $_{\epsilon}$  [m,M\*]Sm = [m,M\*]m. Consequently, M is regular.
  - 1)  $\Rightarrow$  4). By Proposition 4.3.
  - 4) ⇒ 5). Trivial.
- 5) ⇒ 2). Recall that M is a self-generator (see the proof of Corollary 2.9). Therefore M is a V-module by Corollary 3.2.

Remark. For a projective module M, Ware [18, Proposition 2.5] has proved 1)  $\Rightarrow$  4), Ramamurthi [15, Theorem 4] has proved that 4)  $\Rightarrow$  3)  $\Rightarrow$  1), and Maoulaoui [13, Proposition 1] has proved 4)  $\Rightarrow$  1).

In case R is a P.I.-ring, the implication 1)  $\Rightarrow$  5) in Theorem 4.4 does not remain valid (in spite of the assertion in [13, Proposition 2]). Here is an example.

Example 4.5. Ler R be the ring in Example 2.7. Clearly, R is a P.I.-ring. If we set  $I = \begin{pmatrix} 0 & 0 \\ 0 & K \end{pmatrix}$ , I is a minimal right ideal and is a direct summand of  $R_R$ . Hence I is a regular module. However, I is not injective, because the homomorphism  $f: \begin{pmatrix} 0 & K \\ 0 & 0 \end{pmatrix} \rightarrow I$  defined by  $f \begin{pmatrix} 0 & k \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & k \end{pmatrix}$  can not be extended to a homomorphism of R to I.

Finally, we shall improve [9, Proposition 4.5]. We

shall begin with some preparations. Let M be a right R-module. An element m of M is called regular (in M) if there exists an element f of  $M^*$  such that mf(m) = m. A submodule N of  $M_R$  is called a regular submodule of M if every element of N is regular in M. As is easily seen, if a regular module N is a direct summand of M, then N is a regular submodule of M. We state here without proof the following two theorems. (The proofs given in [11, p.112] for rings are available with only minor changes.)

Theorem 4.6. Let  $M_R$  be a locally projective module. Let P N be S-R-submodules of M, and  $\overline{R} = R/Ann_R(M/P)$ . Then N is a regular submodule of M if and only if P is a regular submodule of M and N/P is a regular submodule of M/P $_{\overline{R}}$ .

Theorem 4.7. Let  $M_{\overline{R}}$  be a module. Then there exists a unique maximal N among the regular submodules of  $M_{\overline{R}}$  which are S-R-submodules, and  $M/N_{\overline{R}}$  has no non-zero regular  $\overline{R}$ -submodules which is an S- $\overline{R}$ -submodule, where  $\overline{R}$  =  $R/Ann_{\overline{R}}(M/N)$ .

A module M is said to be semi-artinian if every non-zero homomorphic image of M has the non-zero socle. We are now ready to prove the following generalization of [9, Proposition 4.5].

Theorem  $^{\downarrow}$ .8. If  $^{M}_{R}$  is semi-artinian, then the following are equivalent:

- 1) M is a regular module.
- 2) M is a locally projective, fully idempotent module.

Proof. It is enough to prove that 2) implies 1). Let N be as in Theorem 4.7. If  $N \neq M$ , then  $X = Soc(M/N_R)$ is non-zero. We shall show that X is a regular submodule of  $\overline{M}_{\overline{R}}$ , where  $\overline{M}=M/N$  and  $\overline{R}=R/Ann_{\overline{R}}(\overline{M})$ . Now, let Y be a simple submodule of  $\overline{M}_{\bullet}$ . Since  $\overline{M}_{\overline{R}}$  is semiprime (see the proof of Theorem 2.4), there exists  $\ f \ \varepsilon \ (\overline{\mathbb{M}}_{\overline{R}})^*$  such that  $Yf(Y) \neq 0$ , and hence  $fY = e\overline{R}$  with some idempotent e in R. Since a projective minimal right ideal fY is a regular submodule of  $\bar{R}_{\overline{R}}$  , for each y  $\epsilon$  Y there is a  $g \in (\overline{R}_{\overline{R}})^*$  such that fy = (fy)g(fy). Hence we have y =ygf(y) and gf  $\epsilon$  ( $\overline{M}_{\overline{D}}$ )\*, and therefore y is regular in  $\overline{\mathtt{M}}_{\overline{\mathtt{R}}}.$  Thus Y is a direct summand of  $\overline{\mathtt{M}}.$  Now, we can easily see that any finite sum of simple submodules is a direct summand of  $\overline{M}$ . Thus, for each  $m \in X$ ,  $m\overline{R}$  is a direct summand of  $\overline{\mathbf{M}}$ , whence it follows that  $\mathbf{X}$  is a regular submodule of  $\bar{M}_{\bar{R}}$ . But this contradicts Theorem 4.7. Hence, M = N, namely M is regular.

Since every locally projective V-module is fully right idempotent by Theorem 3.5, we readily obtain the following

Corollary 4.9. If M is a locally projective, semiartinian V-module, then M is a regular module.

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### ON AUTOMORPHISMS IN SEPARABLE EXTENSIONS OF RINGS

### Kozo SUGANO

In order to generalize the theory of Azumaya algebras we introduced a notion of a special type of separable extensions called H-separable extension, and found that many properties which hold in Azumaya algebras hold also in this type of separable extensions (See for example [4], [7], [12] and [13]). In this report we will summarize and improve the results which are obtained in [14] and [15]. In §1 we will find some sufficient conditions for an H-separable extension A of B to have the property that all automorphisms of A which fix all elements of B are inner ones. On the other hand, in [15] we showed that in the case of algebras over a commutative ring R H-separable Galois extensions of R are same as central Galois extensions of R (See Prop. 2.2 [15]). In §2 we will show some necessary and sufficient conditions for Galois extensions to be H-separable extensions, for H-separable extensions to be Galois extensions and also for the orders of Galois groups of H-separable Galois extensions to be units. It is well known that in the case of central Galois extension the order of Galois group is always unit. But we can give a counter example in the case of H-separable Galois extension.

Automorphisms in separable extensions.

Throughout this report A is always a ring with 1 and B is a subring of A which contains 1 of A. For a subset S of A we will denote by  $V_A(S)$  the subring  $\{a \in A \mid sa = as \text{ for all } a \in$ 

s  $\in$  S}. Furthermore, we will denote the center of A and  $V_A(B)$  by C and D, respectively. We also assume that all A-modules are unitary, and all two sided A-modules are associative. For a two sided A-module M, we always let  $M^A = \{m \in M \mid ma = am \text{ for all } a \in A\}$ . First we shall recall the definition.

THEOREM 1.1. Let A, B, C and D be as above. Then the following conditions are equivalent.

- (1) A®BA is isomorphic to a direct summand of some finite direct sum of the copies of A as A-A-module.
- (2) D is C-finitely generated projective, and the map  $\eta$  of  $A\otimes_B A$  to  $Hom(_C D,_C A)$  defined by  $\eta(a\otimes b)(d) = adb$ , for a, b  $\in A$ ,  $d \in D$ , is an A-A-isomorphism.
- (3) For any A-A-module M, the map  $g_M$  of  $D_{Q_C}^{MA}$  to  $M^B$  defined by  $g_M(d_{Q_C}^{MA}) = dm$ , for  $d \in D$ ,  $m \in M^A$ , is an isomorphism.
  - (4)  $181 \in (As_B^A)^A D$  in  $As_B^A$ .

Proof. See [3], [8], [6] or [11].

DEFINITION. A is an H-separable extension of B if and only if A and B satisfy the conditions of Theorem 1.1.

REMARK. Theorem 1.1 (4) shows that A is H-separable over B if and only if there exist  $\sum_{j=1}^{\infty} \otimes y_{ij}$  in  $(A \otimes_B A)^A$  and  $d_i$  in D with  $1 \otimes 1 = \sum_{i,j} (x_{ij} \otimes y_{ij}) d_i$ . We call  $\{\sum_{i,j} (x_{ij} \otimes y_{ij}), d_i\}$  an H-system for A|B. (See [6]).

THEOREM 1.2. Let A be an H-separable extension of B. Then every endomorphism of A which fixes all elements of B is an automorphism and fixes all elements of B'  $= V_A(V_A(B))$ .

Proof. Let  $\sigma$  be an automorphism of A which fixes all elements of B. Since  $\sigma \in \operatorname{Hom}(_A^B,_A^B) = D\otimes_C^A$ , where the latter isomorphism was proved in Prop. 3.1 [4], there exists  $\Sigma d_j \otimes a_j$  in  $D\otimes_C^A$  such that  $\sigma(x) = \Sigma d_j x a_j$  for all x in A. Then  $\sigma(1) = \sum_{i=1}^{n} d_i x a_i$ 

 $\Sigma d_j a_j = 1$ , and  $\sigma(b) = \Sigma d_j b a_j = b \Sigma d_j a_j = b$  for any b in B'. Thus σ fixes all elements of B'. Since C C B', and A is Hseparable over B' by Theorem 1.3' [8], we can assume that C  $\subset$ B from the beginning. Let  $\{\Sigma x_{i,j} \otimes y_{i,j}, b_i\}$  be an H-system for A|B. Then, since  $\sigma$  is a B-B-map, and  $x\otimes 1 = \sum x(x_{ij}\otimes y_{ij})d_i$ =  $\Sigma(x_{ij} \otimes y_{ij}) \times d_i$ , we have  $x \otimes 1 = x \otimes \sigma(1) = \Sigma x_{ij} \otimes \sigma(y_{ij} \times d_i)$  and  $x = \sum_{i,j} \sigma(y_{i,j}) \sigma(x) \sigma(d_i)$ . Therefore,  $\sigma(x) = 0$  implies x = 0, and we have that kero = 0. Now let  $\bar{A} = \sigma(A)$  and  $\bar{D} = \sigma(D)$ . Then  $\bar{A}$  is an H-separable extension of B, and  $\bar{D} = V_{\bar{A}}(B) \cong D$ , which are C-finitely generated projective. Furthermore, since  $C \subset B$  by assumption, the center of  $\overline{A} = \sigma(C) = C$ . Let  $V_{\underline{A}}(\overline{A}) =$  $\bar{C}$ , and  $\underline{m}$  an arbitrary maximal ideal of C. Then,  $D = V_A(B) \cong$  $\overline{D}_{\mathcal{O}_{C}} V_{A}(\overline{A}) = D_{\mathcal{O}_{C}} \overline{C}$  by Theorem 1.1 (3). Hence  $\overline{D}/\underline{m}\overline{D}_{\mathcal{O}_{C}/\underline{m}} \overline{C}/\underline{m}\overline{C} =$ D/mD, and we have  $[\bar{C}/m\bar{C}:C/m] = 1$ , because  $D \neq mD$  and  $\bar{D} \neq m\bar{D}$ . Thus we see that  $\overline{C} = m\overline{C} + C$  for any maxmal ideal m of C. On the other hand, C is C-finitely generated, since C = CO, C 🗢  $\overline{D}_{\Theta_C}\overline{C}\cong D$ . Hence  $\overline{C}=C$  by Nakayama's Lemma, and we have D= $\bar{D} \subset \bar{A}$ . Now, it is easy to see that each  $\Sigma x_{ij} \otimes \sigma(y_{ij})$  belongs to D, since  $\sigma$  is a B-B-map, and  $\Sigma x_{ij} \otimes y_{ij} \in (A \otimes_B A)^A$ . Then, for any x in A, we have  $x = \sum_{i,j} \sigma(y_{i,j}) \sigma(xd_i) \in D\overline{A} \subset \overline{A}$ . Thus  $\sigma(A) =$ A, and we have proved that  $\sigma$  is an automorphism.

Now, let  $\sigma$  be any automorphism of A which fixes all elements of B, and  $J_{\sigma} = \{a \in A \mid xa = a\sigma(x) \text{ for all } x \text{ in } A\}$ . By A and  $\sigma$  we can construct as usual a new A-A-module  $A_{\sigma}$ , namely,  $A_{\sigma} = A$  as left A-module, but the right A-module structure is defined by  $a \cdot x = a\sigma(x)$  for a  $A_{\sigma}$  and x A. Then we see that  $(A_{\sigma})^{A} = J_{\sigma}$  and  $(A_{\sigma})^{B} = D$ .

LEMMA 1.1. Let  $\sigma$  be an automorphism of A such that  $\sigma|B$  =  $1_B$ . Then,  $\sigma$  is inner if and only if  $J_{\sigma}$  = Cu for some unit u of D.

Proof. Clear.

LEMMA 1.2. Let A be an H-separable extension of B, and an automorphism of A such that  $\sigma \mid B = 1_p$  Then, we have

- (1) The map  $g_{\sigma}$  of  $D \otimes_{C} J_{\sigma}$  to D defined by  $g_{\sigma}(d \otimes a) = da$ , for  $d \in D$  and  $a \in J_{\sigma}$ , is an isomorphism. Consequently, we have  $D J_{\sigma} = J_{\sigma} D = D$  and  $A J_{\sigma} = J_{\sigma} A = A$ .
  - (2) J is rank 1 C-projective.
  - (3)  $J_{\sigma}J_{\sigma^{-1}} = J_{\sigma^{-1}}J_{\sigma} = C$ .
- (4)  $\sigma$  is an inner automorphism if and only if  $J_{\sigma}$  = Cu for some u (not necessarily unit) in D.

Proof. (1). Clear by  $(A_{\sigma})^B = D$ ,  $(A_{\sigma})^A = J_{\sigma}$  and Theorem 1.1 (3). (2). By (1) we have  $D\mathfrak{G}_{\mathbb{C}}J_{\sigma}\cong D$ . But D is C-finitely generated projective, and consequently, C is a C-direct summand of D. Then it follows that  $J_{\sigma}$  is rank 1 C-projective. (3). Clearly  $J_{\sigma}J_{\sigma^{-1}}$  is an ideal of C. By (1) we have  $DJ_{\sigma}=D$  and  $DJ_{\sigma}J_{\sigma^{-1}}=DJ_{\sigma^{-1}}=D$ . But C is a C-direct summand of D. Hence we have  $J_{\sigma}J_{\sigma^{-1}}=DJ_{\sigma}J_{\sigma^{-1}}\cap C=D\cap C=C$ . (4). If  $J_{\sigma}=C$  u for some  $u\in D$ ,  $D=DJ_{\sigma}=Du$ . Then, u is a unit. Now, we can apply Lemma 1.1. The converse is obvious by Lemma 1.1.

Theorem 1.3. Let A be an H-separable extension of B, and C and C' the centers of A and B, respectively. Then, all automorphisms which fix all elements of B are inner automorphisms, if one of the following conditions is satisfied.

- (1) C is a semilocal ring.
- (2) C' is a semilocal ring, and  $V_{\Lambda}(V_{\Lambda}(B)) = B$ .

Proof. Suppose (1), and let  $\underline{m}_1$ ,  $\underline{m}_2$ ,  $\cdots$ ,  $\underline{m}_r$  be the set of all maximal ideals of C. Now we can follow the same lines as the proof of Lemma 1 [5]. Let  $\sigma$  be any automorphism of A such that  $\sigma \mid B = 1_B$ . Then, for any i  $(1 \le i \le r)$  we have  $\underline{m}_i J_{\sigma} \not p \underline{m}_1 \cdots \underline{m}_{i-1} \underline{m}_{i+1} \cdots \underline{m}_r J_{\sigma}$ , since  $J_{\sigma} J_{\sigma^{-1}} = C$  by lemma 1.2 (3).

Hence there exists  $a_i$  in  $\underline{m}_1 \cdots \underline{m}_{i-1} \underline{m}_{i+1} \cdots \underline{m}_r J_\sigma$  such that  $a_i \not\in$  $\underline{\underline{m}}_{i}J_{\sigma}$ . Let  $\underline{a} = \Sigma \underline{a}_{i}$ . Then,  $\underline{a} \in J_{\sigma}$  and  $\underline{a} \notin \underline{\underline{m}}_{i}J_{\sigma}$  for any i. But  $J_{\sigma}$ is rank 1 C-projective by Lemma 1.2 (2). Hence  $[J_{\sigma}/\underline{m}_{i}J_{\sigma}:C/\underline{m}_{i}]$ = 1, and  $J_{\sigma}/\underline{m}_{i}J_{\sigma}$  =  $(a+\underline{m}_{i}J_{\sigma})C/\underline{m}_{i}$ . Thus we have  $J_{\sigma}$  =  $aC+\underline{m}_{i}J_{\sigma}$ for each maximal ideal  $\underline{m}_i$  of C. Hence  $J_{\sigma} = aC$  by Nakayama's Lemma. Then by Lemma 1.2 (4)  $\sigma$  is an inner automorphism. Next suppose (2), and let J be the radical of C'. Then, we see that C'/J is semisimple, and C' =  $V_R(B) = D \cap B = V_D(D)$ 2C. Hence D is finitely generated as C'-module. Then D/JD is artinean, and we see that JD is contained in every maximal left ideal of D by Nakayama's Lemma. Hence D is also a semilocal ring. On the other hand, C is a C-direct summand of D. Hence we have aDAC = a for any ideal a of C. This implies that every proper ideal of C is contained in at least a maximal left ideal of D. If m and m' are any two maximal ideals of C which are contained in a maximal left ideal L of D, then  $1 \notin m + m' \subset L$ . Hence m = m'. Thus we see that C is a semilocal ring. Then, we can apply (1).

REMARK. The proof of Theorem 1.2 would have been completed, if it had been proved that  $\sigma \mid C$  = identity. But the author gave the complete proof in this report for the convenience to readers.

## On H-separable Galois extensions.

In this section there is no new result, we will only summarize the results obtained in §2 [14] and [15].

In this section G is always a finite group of automorphisms of A, and  $\Delta$  is the crossed product  $\Delta(A;G)$  with the trivial factor set. Thus  $\Delta = \Sigma AU_{\sigma}$  with  $\{U_{\sigma}\}_{\sigma \in G}$  a left free base over A such that  $U_{\sigma}U_{\tau} = U_{\sigma\tau}$  and  $U_{\sigma}a = \sigma(a)U_{\sigma}$  for  $a \in A$ 

and  $\sigma, \tau \in G$ . Now let us recall the definition of Galois extension. Let  $A^G = \{a \in A \mid \sigma(a) = a \text{ for any } \sigma \in G\}$ . In case  $B \subset A^G$ , there is a ring homomorphism j of  $\Delta$  to  $Hom(A_B, A_B)$  such that  $j(aU_G)(x) = a\sigma(x)$  for  $a, x \in A$  and  $\sigma \in G$ .

DEFINITION. A is a Galois extension of B relative to G if and only if the following three conditions are satisfied. (1)  $B = A^G$ . (2) A is right B-finitely generated projective. (3) j is an isomorphism.

Let  $t_G$  be a map of A to  $A^G$  such that  $t_G(x) = \Sigma_{\sigma \in G}^{\sigma}(x)$  for every  $x \in A$ . Clearly  $t_G$  is an  $A^G$ -AG-homomorphism.

LEMMA 2.1. Let A be a Galois extension of B relative to G. Then we have

- (1) There exists c in C such that  $t_G(c) = 1$ , if and only if  $_RB_R \bigoplus_{R} A_R$  (B-B-direct summand).
- (2) Suppose furthermore  $C \subset B$ . Then |G| = n is a unit of C, if and only if  ${}_B {}^B {}_B \bigoplus_{B} {}^A {}_B$ . Proof. (1). If there is  $c \in C$  such that  $t_G(c) = 1$ , we

Proof. (1). If there is  $c \in C$  such that  $t_G(c) = 1$ , we obtain an A-A-map f of A to A such that f(x) = xc for  $x \in A$ . Then  $(t_G \circ f) | B = 1_B$ , and we see that  ${}_B B_B \otimes_B A_B$ . Conversely, suppose  ${}_B B_B \otimes_B A_B$ . Then since A is right B-fibitely generated projective,  $\operatorname{Hom}(A_B, A_B)$  is a separable extension of A by Theorem 7 [10]. Hence  $\Delta$  is a separable extension of A. But by direct computations we can see that  $\Delta$  is separable over A if and only if there exists  $c \in C$  such that  $t_G(c) = 1$ . (2) is obvious by (1), since  $t_G(c) = 1$  with  $c \in C$  implies nc = 1.

THEOREM 2.1. Let A be an H-separable Galois extension of B relative to G. Then we have  $B = V_A(V_A(B))$ . Furthermore, the following three conditions are equivalent.

- (1) |G| is a unit.
- (2)  $_{B}^{B}_{B} \otimes _{B}^{A}_{B}$ .
- (3) D is a separable C-algebra.

Proof. By Theorem 1.2 each  $\sigma$  in G fixes all elements of B' =  $V_A(V_A(B))$ . Hence  $B \subset B' \subset A^G = B$ , and we have B' = B. Then  $C \subset B$ . Therefore, (1) and (2) are equivalent by Lemma 2.

1. (2) (3) follows from Prop. 4.7 [4]. Suppose (3). Then,  $B = B' = V_A(D) = \text{Hom}(D_D, D_D, D_D) \bigoplus \text{Hom}(D_D, D_D, D_D) = A$  as B - B - D module. Then, we have (2).

THEOREM 2.2. Let A be an H-separable extension of B, and G,  $\Delta$ , and j as above with BCAG. Then we have

- (1) j is an isomorphism if and only if  $D = \sum_{\sigma \in G}^{\bigoplus} J_{\sigma}$ . In this case we have  $A^G = B' (= V_A(V_A(B)))$ .
- (2) In the case where A is right B-finitely generated projective, A is a Galois extension of B relative to G, if and only if  $D = \sum_{\sigma \in G}^{\mathfrak{S}} J_{\sigma}$ .

Proof. Denote the opposite ring of D by D°, and let X° =  $\{x^O \mid x \in X\}$  for XCD. By Prop. 3.1 [4] there is a ring isomorphism  $\eta_r$  of  $A \otimes_C D^O$  to  $Hom(A_B, A_B)$  such that  $\eta_r(a \otimes d^O)(x) = axd$  for a,  $x \in A$  and  $d \in D$ , while for each  $\sigma$  in G we have a left A-isomorphism  $g'_{\sigma}$  of  $A \otimes_C J^O_{\sigma}$  to  $A U_{\sigma}$  such that  $g'_{\sigma}(a \otimes d^O_{\sigma}) = ad_{\sigma} U_{\sigma}$  for a  $\in A$  and  $d_{\sigma} \in J_{\sigma}$  by Lemma 1.2 (1). Let  $g = \Sigma g'_{\sigma}$ . g is an isomorphism of  $\Sigma_{\sigma \in G}^{\bullet \bullet} A \otimes_C J^O_{\sigma}$  to  $\Delta$ . Now suppose that  $D = \Sigma_{\sigma \in G}^{\bullet \bullet} J_{\sigma}$ . Then g is an isomorphism of  $A \otimes_C D^O$  (=  $\Sigma^{\bullet \bullet} A \otimes_C J^O_{\sigma}$ ) to  $\Delta$  such that  $jg = \eta_r$ . Hence j is an isomorphism. Conversely, if j is an isomorphism, we have  $\Sigma^{\bullet \bullet} J_{\sigma} U_{\sigma} = \Delta^A \cong [Hom(A_B, A_B)]^A = Hom(A_B, A_B)^A$   $\cong$  D. Next suppose  $x \in A^G$ . Then for any  $d \in D$ , we have  $d = \Sigma d_{\sigma}$  for  $d_{\sigma} \in J_{\sigma}$ , and  $x \in \Sigma d_{\sigma} = \Sigma d_{\sigma} \sigma(x) = \Sigma d_{\sigma} x = dx$ . Hence  $A^G \subset B'$ , and we have  $A^G = B'$ . Thus we have proved (1). Since  $A \otimes_C D^O = Hom(A_B, A_B)$ ,  $B' = Hom(A_B, A_D)$  is the double

centralizer of  $A_B$ . Hence if  $A_B$  is finitely generated projective,  $A_B$ , is also finitely generated projective. Then (2) follows from (1).

REMARK. Note that the 'only if' part of Theorem 2.2 (1) holds without the assumption that A is H-separable over B.

THEOREM 2.3. Let A be a Galois extension of B relative to G. Then the following three conditions are equivalent

- (1) A is an H-separable extension of B.
- (2) The map  $g_{\sigma}$  of  $D \otimes_{C} J_{\sigma}$  to D defined in Lemma 1.2 (1) is an isomorphism for each  $\sigma \in G$ .
  - (3)  $J_{\sigma}J_{\sigma-1} = C$  for each  $\sigma$  G.

Proof. First note that  $D = \sum_{\sigma \in G} J_{\sigma}$  by Prop. 1 [5] or by the obove remark. Suppose (2). Then from  $DJ_{\tau} = D$ , we have D =  $\sum_{\sigma \in G} J_{\sigma} J_{\tau} \subset \Sigma^{\oplus} J_{\tau\sigma} = D$  with  $J_{\sigma} J_{\tau} \subset J_{\tau\sigma}$ . Hence  $J_{\sigma} J_{\tau} = J_{\tau\sigma}$  for each  $\sigma$ ,  $\tau \in G$ . Especially,  $J_{\sigma}J_{\sigma^{-1}} = J_{\sigma^{-1}\sigma} = C$ . Next suppose (3). Then we have  $1 = \sum_{j} b_{j}$  with  $a_{j} \in J_{\sigma^{-1}}$ ,  $b_{j} \in J_{\sigma}$ . for each  $\sigma \in G$ . Then if  $g_{\sigma}(\Sigma d_{1} \otimes e_{1}) = 0$  for  $d_{1} \in D$ ,  $e_{1} \in J_{\sigma}$ , we have that  $\Sigma d_1 \otimes e_1 = \Sigma d_1 \otimes e_1 a_1 b_1 = \Sigma d_1 e_1 a_1 \otimes b_1 = \Sigma 0 \otimes b = 0$ , since  $e_1 a_1 \in \mathbb{C}$ . Hence kerg = 0. Clearly g is onto. Hence we have (3). Thus we have proved (2) $\Leftrightarrow$ (3). Suppose (3). Then each J is invertible and C-finitely generated projective of rank 1. Hence D =  $\Sigma \oplus J_{\sigma}$  is C-finitely generated projective. On the other hand by (2), we obtain an isomorphism g of  $A\otimes_{C}D^{O}(=\Sigma^{\Theta}A\otimes_{C}J^{O}_{\sigma})$  to  $\Delta$ such that  $g(x \otimes d_{\sigma}^{\circ}) = x d_{\sigma} U_{\sigma}$  for  $x \in A$ ,  $d_{\sigma} \in J_{\sigma}$ . Clearly,  $jg = \eta_{r}$ with j an isomorphism. Hence  $\eta_r$  is an isomorphism. Then by Corollary 3 [10], A is H-separable over B, since A is right B-finitely generated projective. Thus we showed that (3) and (2) imply (1). Conversely by Lemma 1.2, we see that (1) implies (2) and (3).

COROLLARY 2.1. Let A be a Galois extension of B relative to G. Suppose that all elements of G are inner automorphisms. Then A is an H-separable extension of B, and D =  $\Sigma_{\sigma \in G}^{\bigoplus} Cu_{\sigma}$ , where each  $u_{\sigma}$  is a unit which induces  $\sigma$ .

Proof. Let  $\sigma(x) = u_{\sigma}^{-1}xu_{\sigma}$  for  $x \in A$ . Then  $J_{\sigma} = Cu_{\sigma}$ , and  $D = \Sigma \oplus J_{\sigma} = \Sigma \oplus Cu_{\sigma}$ . Furthermore since  $u_{\sigma}u_{\sigma-1}$  is a unit of C,  $J_{\sigma}J_{\sigma-1} = Cu_{\sigma}u_{\sigma-1} = C$ . Hence A is H-separable over B by Theoem 2.3.

### 3. Separability of trivial extensions.

In this section the author wants to show some results which he obtained recently and will appear in [16]. But the proof is different from that which is shown in [16]. For an A-A-module M we can introduce to A  $\bigoplus$  M a ring structure by (a + m)(b + n) = ab + (an + mb) for a,  $b \in A$  and m,  $n \in M$ . This ring is called the trivial extension of A with recpect to M. Now we shall show that any trivial extension can never be a separable extension. First we will prove the next

PROPOSITION 3.1. Let A be a separable extension of B. and suppose that  $A = B \oplus M$  for some B-B-submodule M of A such that  $M^2 \subset M$ . Then M is generated by a central idempotent.

Proof. Since  $M^2\subset M$ , M is an ideal of A. Now let R be a subring of  $C\cap B$ . Then A and B are R-algebras, and  $A\otimes_R A^O$  is a separable extension of  $\iota(B\otimes_R B^O) = \operatorname{Im}(B\otimes_R B^O \to A\otimes_R A^O)$  by Prop. 2.7 [2]. Hence  $A\otimes_R A^O$  is a semisimple extension of  $\iota(B\otimes_R B^O)$  in the sense of [2] by Prop. 2.6 [2]. Then since M is a left  $A\otimes_R A^O$ -submodule of A and an  $\iota(B\otimes_R B^O)$ -direct summand, M is an  $A\otimes_R A^O$ -direct summand of A. This means that M is an A-A-direct summand of A.

THEOREM 3.1. Any trivial extension can not be a separable extension.

Proof. Let A be a trivial extension of B. Then  $A = B \oplus M$  as B-B-module with  $M^2 = 0 C M$ . If A is a separable extension of B, M = Ae for some  $e = e^2 \in C$ . Then  $M^2 = M \neq 0$ , a contradiction. Hence A is not a separable extension of B.

COROLLARY 3.1. Let A be a separable extension of B such that  $A = B \oplus M$  as B-B-module with  $B \supset M^2$ . Then we have  $M = M^3$ , and  $M^2$  is an idempotent ideal of B.

Proof. Let  $M^2 = \alpha$ .  $\alpha$  is clearly an ideal of B, and  $\alpha A = A\alpha = \alpha \oplus M^3$  is an ideal of A. Then by Prop. 2.4 [2]  $A/\alpha A = B/\alpha \oplus M/M^3$  is separable over  $B/\alpha$ . But  $(M/M^3)^2 = 0$ . Hence  $M/M^3 = 0$  by Theorem 3.1. Then  $M = M^3$  and  $M^2 = M^4$ .

PROPOSITION 3.2. Let A and B satisfy the same conditions as Corollary 3.1. Furthermore let B be a local ring and A be finitely generated as left or right B-module. Then we have  $A = B[X,\sigma]/(X^2-a)$  for some automorphism  $\sigma$  of B and a unit a of B such that  $xa = a\sigma^2(x)$  for all  $x \in B$ .

Proof. Let J be the radical of B. Since  $\alpha A = \alpha + M$ ,  $A = B + \alpha A$ . Hence we have  $0 \neq \alpha \not\in J$  by Nakayama's Lemma. Hence  $M^2 = B$ . Then  $M_B$  and  $M_B$  are invertible and free of rank 1, since

B is local. Then by direct computations we have that M = Bm = mB and A =  $B[X,\sigma]/(X^2-m^2)$ , where bm =  $m\sigma(b)$  for all  $b \in B$ . See [16] for detail.

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# ON SEPARABLE POLYNOMIALS IN SKEW POLYNOMIAL RINGS

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Throughout the present paper, every ring has identity 1, its subring contains 1, and every module over a ring is unital. A ring homomorphism means such one sending 1 to 1. In what follows, B will represent a ring,  $\rho$  an automorphism of B, D a  $\rho$ -derivation of B (i.e. an additive endomorphism of B such that  $D(ab) = D(a)\rho(b) + aD(b)$  for all a, b  $\epsilon$  B). Let  $R = B[X; \rho, D]$  be the skew polynomial ring in which the multiplication is given by  $aX = X\rho(a) + D(a)$  (a  $\epsilon$  B). In particular, we set  $B[X; \rho] = B[X; \rho, 0]$ , B[X; D] = B[X; 1, D]. By  $R_{(0)}$  we denote the set of all monic polynomials g in R with gR = Rg.

A ring extension B/A is called a separable extension if the B-B-homomorphism of  $B \bigotimes_A B$  onto B defined by  $a \otimes b \to ab$  splits, and B/A is called an H-separable extension if  $B \bigotimes_A B$  is B-B-isomorphic to a direct summand of a finite direct sum of copies of B. As is well known, an H-separable extension is a separable extension (Hirata). A polynomial g in  $R_{(0)}$  is called a separable (resp. H-separable) polynomial if R/gR is a separable (resp. H-separable) extension of B.

Now, let G be a finite group of automorphism of a ring B, and  $A = B^G = \{b \in B \mid \sigma(b) = b \ (\sigma \in B)\}$ . If there exist  $x_i$ ,  $y_i \in B$  such that  $\sum_i x_i \sigma(y_i) = \delta_{1,\sigma}$  ( $\sigma \in G$ ), then B/A is called a G-Galois extension.

We shall use the following convensions: C(A) =the center of a ring A.

 $V_{B}(A)$  = the centralizer of A in B for a ring extension B/A.

u  $_{\ell}$  (resp. u  $_{r})$  = the left (resp. right) multiplication effected by u  $\epsilon$  B.

 $B^{\rho} = \{a \in B \mid \rho(a) = a\}, \quad B^{D} = \{a \in B \mid D(a) = 0\}.$ 

 $\rho^*: B[X; \rho] \to B[X; \rho]$  is the ring automorphism defined by  $\rho^*(\sum_i X^i d_i) = \sum_i X^i \rho(d_i)$ .

D\*: B[X; D]  $\rightarrow$  B[X; D] is the inner derivation defined by  $D*(\sum_{i} X^{i} d_{i}) = \sum_{i} X^{i} D(d_{i})$ .

For several years, separable polynomials in skew polynomial rings are extensively studied by Kishimoto [9,10], Nagahara [13,14,15,16,17], Miyashita [12], and by the author [4,5,6].

The main result of this paper is the following: Let B be a commutative ring,  $A = B^{\rho}$ , and  $f \in R_{(0)} = B[X; \rho]_{(0)}$ . If R/fR is an Azumaya A-algebra, then the order of  $\rho$  is equal to the degree of f and B/A is a Galois extension with Galois group  $G = \langle \rho \rangle$ , and f is of the from  $X^{m} + a_{0}$  with a unit  $a_{0}$  in A (Theorem 2.2). Conversely, if B/A is a Galois extension with a cyclic automorphism group  $G = \langle \rho \rangle$  of order m, then for every unit m in A, m is an Azumaya A-algebra (Corollary 2.3). The present study contains also some sharpenings of m is Szeto[19,20] and Y. F. Wong [21], and some results concerning skew polynomial rings of derivation type (§ 3).

In our study, H-separable polynomials in skew polynomial rings play important rôles. Therefore, §1 is devoted to giving preliminary results concerning H-separable polynomials.

We shall use freely the results of [6].

1. The present section is devoted to giving preliminary results concerning H-separable polynomials in B[X;  $\rho$ ] and B[X; D], which play important rôles in the subsequent study.

First, we shall prove the following

Proposition 1.1 ([7]). Let S be a ring with center C, and B an intermediate ring of S/C. If S is an Azumaya C-algebra and  $S_B$  (or  $_B$ S) is (f.g.) projective, then S/B is an H-separable extension.

$$\sum_{i,j} a_{i}^{\dagger} a_{j}^{\dagger} \otimes f_{j}(a_{i}^{"}x) = \theta(\sum_{i} a_{i}^{\dagger} \otimes a_{i}^{"}x)$$

$$= \theta(\sum_{i} x a_{i}^{\dagger} \otimes a_{i}^{"}) = \sum_{i,j} x a_{i}^{\dagger} a_{j}^{\dagger} \otimes f_{j}(a_{i}^{"}),$$

and hence, for all  $a, x, y \in S$ ,

$$\sum_{i,j} a_i' a_j \otimes f_j(a_i'' a x) y = \sum_{i,j} a a_i' a_j \otimes f_j(a_i'' x) y.$$

In the rest of this section, let  $f = X^m + X^{m-1}a_{m-1} + \dots + Xa_1 + a_0$  be in B[X;  $\rho$ , D]. Now, we shall state the following theorem which has been proved by Miyashita in a different form.

Theorem 1.2 ([12, Theorem 1.9]). Let f be in  $R_{(0)} = B[X; \rho, D]_{(0)}, \text{ and } I = fR. \text{ If f is an } H\text{-sepa-rable polynomial in } R, \text{ then there exist } y_i, z_i \in R$  with deg  $y_i < m$  and deg  $z_i < m$  such that  $ay_i = y_i a$ ,  $\rho^{m-1}(a)z_i = z_i a \ (a \in B) \text{ and } \sum_i y_i X^{m-1} z_i \equiv 1 \ (\text{mod } I),$   $\sum_i y_i X^k z_i \equiv 0 \ (\text{mod } I) \ (0 \le k \le m-2), \text{ and conversely.}$ 

As easy consequences of Theorem 1.2, we have the following usefull lemmas.

Lemma 1.3. If f is an H-separable polynomial in  $R=B[X;\,\rho]$ , then  $a_0$  is a unit in B,  $\rho^m=(a_0^{-1})_{\ell}(a_0)_{r}$ , and f is in  $C(B^\rho)[X]$ .

Proof. By Theorem 1.2,  $1 \equiv \sum_{i} y_{i} X^{m-1} z_{i} \equiv X^{m-1} \sum_{i} \rho^{*m-1} (y_{i}) z_{i} \equiv \sum_{i} y_{i} \rho^{*-(m-1)} (z_{i}) X^{m-1} \pmod{fR}$ . We put here x = X + fR. Then, x is invertible in R/fR. Hence there exist  $d_{j} \in B$   $(0 \leq j \leq m-1)$  such that  $x(x^{m-1}d_{m-1} + \dots + d_{0}) = (x^{m-1}d_{m-1} + \dots + d_{0})x = 1$ . Since  $x^{m} = -x^{m-1}a_{m-1} - \dots - a_{0}$  and  $\{1, x, \dots, x^{m-1}\}$  is a free basis of  $R/fR_{B}$ , we have  $-a_{0}d_{m-1} = -a_{0}\rho(d_{m-1}) = 1$ . Then, since  $aa_{0} = a_{0}\rho^{m}(a)$   $(a \in B)$  ([6, Lemma 1.3 a)]),  $a_{0}$  is a unit in B and  $\rho^{m} = (a_{0}^{-1})_{\ell}(a_{0})_{r}$ . Hence,  $\rho(d_{m-1}) = d_{m-1}$ , and therefore,  $\rho(a_{0}) = a_{0}$ . Thus, f is in  $C(B^{\rho})[X]$  by [6, Proposition 3.1].

Lemma 1.4. If f is an H-separable polynomial in

R = B[X;  $\rho$ ], then 1,  $\rho$ , ...,  $\rho^{m-1}$  ( $\varepsilon$  BHom(BB $\rho$ , BB $\rho$ )) are linearly independent over B.

Proof. Assume that  $\sum_{j=0}^{m-1} \beta_j \rho^j = 0$  ( $\beta_j \in B$ ). Then, it is easily verified that  $\sum_{j=0}^{m-1} \rho^{\nu}(\beta_j) \rho^j = 0$  ( $\nu \geq 0$ ). Hence we have  $\sum_{j=0}^{m-1} \beta_j \rho^{*j} = 0$ . Let  $y_i$ ,  $z_i \in R$  be as in Theorem 1.2. Then we have

$$\rho^{-(m-1)}(\beta_{m-1}) = \sum_{j=0}^{m-1} \rho^{-(m-1)}(\beta_{j}) X^{m-1} \sum_{i} \rho^{*j}(y_{i}) z_{i}$$

$$= X^{m-1} \sum_{j=0}^{m-1} \beta_{j} \sum_{i} \rho^{*j}(y_{i}) z_{i}$$

$$= X^{m-1} \sum_{i} (\sum_{j=0}^{m-1} \beta_{j} \rho^{*j}(y_{i})) z_{i}$$

$$= 0 \pmod{fR}.$$

Therefore, we have  $\beta_{m-1}=0$ . Now, by  $\sum_{j=0}^{m-2}\beta_j\rho^{*j}=0$ , we have  $\sum_{j=0}^{m-2}\beta_j\rho^{*j+1}=0$ . By the similar way as above, we have  $\beta_{m-2}=0$ . Repeating this, we conclude that  $\beta_j=0$   $(0 \le j \le m-1)$ .

Next, we consider the case R = B[X; D]. Then it is easily verified that the condition  $\sum_i y_i X^{m-1} z_i \equiv 1 \pmod{I}$ ,  $\sum_i y_i X^k z_i \equiv 0 \pmod{I}$  ( $0 \le k \le m-2$ ) in Theorem 1.2 is equivalent to the one  $\sum_i D^{*m-1} (y_i) z_i \equiv 1 \pmod{I}$ ,  $\sum_i D^{*k} (y_i) z_i \equiv 0 \pmod{I}$  ( $0 \le k \le m-2$ ). Thus, we have the following

Lemma 1.5. Let f be in  $R_{(0)} = B[X; D]_{(0)}$ , and I = fR. If f is H-separable in R, then there exist  $y_i$ ,  $z_i \in R$  with deg  $y_i < m$  and deg  $z_i < m$  such that  $ay_i = y_i a$ ,  $az_i = z_i a$  (a  $\in B$ ) and  $\sum_i D^*^{m-1}(y_i) z_i \equiv 1$  (mod I),  $\sum_i D^*^k(y_i) z_i \equiv 0$  (mod I) ( $0 \le k \le m-2$ ), and conversely.

Corresponding to Lemma 1.4, we have the following

Lemma 1.6. If f is an H-separable polynomial in R = B[X; D], then 1, D, ...,  $D^{m-1}$  ( $\varepsilon_B \text{Hom}(B_B D, B_B D)$ ) are linearly independent over B.

Proof. Assume that  $\sum_{j=0}^{m-1} \beta_j D^j = 0$  ( $\beta_j \in B$ ). Then, for all  $a \in B$ ,  $0 = D(\sum_{j=0}^{m-1} \beta_j D^j(a)) = \sum_{j=0}^{m-1} D(\beta_j) D^j(a) + \sum_{j=0}^{m-1} \beta_j D^j(D(a))$ . Hence we have  $\sum_{j=0}^{m-1} D(\beta_j) D^j = 0$ . An easy induction shows that  $\sum_{j=0}^{m-1} D^{\nu}(\beta_j) D^j = 0$  ( $\nu \ge 0$ ). By this fact, we can easily verified that  $\sum_{j=0}^{m-1} \beta_j D^{*j} = 0$ . Now, let  $y_i$ ,  $z_i$  be as in Lemma 1.5. We have then,

 $\beta_{m-1} = \sum_{j=0}^{m-1} \sum_{i} \beta_{j} D^{*j} (y_{i}) z_{i} \equiv 0 \pmod{fR}.$  Therefore, we obtain  $\beta_{m-1} = 0$ . Since  $\sum_{j=0}^{m-2} \beta_{j} D^{*j} = 0$ , we have  $\sum_{j=0}^{m-2} \beta_{j} D^{*j+1} = 0$ . By the similar way as above, we have  $\beta_{m-2} = 0$ . Repeating this, we conclude that  $\beta_{i} = 0 \pmod{g} \leq j \leq m-1$ .

2. Throughout this section, B is a commutative ring, R = B[X;  $\rho$ ], G the cyclic group generated by  $\rho$ , and A = B<sup>G</sup> = B<sup> $\rho$ </sup>.

Proposition 2.1. Assume that the order of G is m. If B/A is G-Galois, then  $X^m + b_0$  is an H-separable polynomial in R for every unit  $b_0$  in A.

Proof. Since B/A is G-Galois, there exist  $\alpha_i$ ,  $\beta_i$   $\epsilon$  B such that  $\sum_i \alpha_i \beta_i = 1$  and  $\sum_i \rho^k (\alpha_i) \beta_i = 0$   $(1 \le k \le m-1)$ . We put here  $y_i = \alpha_i$  and  $z_i = -Xb_0^{-1}\beta_i$ . Obviously,  $ay_i = y_i a$  and  $\rho^{m-1}(a)z_i = z_i a$   $(a \epsilon B)$ . Since

 $X^{m} \equiv -b_{0} \pmod{(X^{m}+b_{0})R}$ , it is easily seen that  $\sum_{i} y_{i} X^{m-1} z_{i} \equiv 1 \text{ and } \sum_{i} y_{i} X^{k} z_{i} \equiv 0 \text{ (0 } \leq k \leq m-2).$  Thus,  $X^{m} + b_{0}$  is H-separable in R by Theorem 1.2.

We are now in a position to state our first main theorem

Theorem 2.2. Let  $R = B[X; \rho]$ . Let  $f = X^m + X^{m-1}a_{m-1} + \ldots + Xa_1 + a_0$  be in  $R_{(0)}$ , and S = R/fR. Then S is an Azumaya A-algebra if and only if f is an H-separable polynomial in R. When this is the case, we have the following:

- (a) The order of  $\rho$  is m and B/A is G-Galois.
- (b)  $f = X^m + a_0$  (i.e.  $a_{m-1} = \dots = a_1 = 0$ ), and  $a_0$  is a unit in A.
- (c) B is a maximal commutative A-subalgebra of S with B $\otimes_A$ S  $\cong$  M<sub>m</sub>(B) and S $\otimes_A$ B  $\cong$  M<sub>m</sub>(B).
- (d) If m is invertible in B, then H = A[X]/fA[X] is a separable splitting ring for S.

Proof. Assume that S is an Azumaya A-algebra. Since  $S \supseteq B$  and  $S_B$  is free, f is H-separable in R by Proposition 1.1.

Next, assume that f is H-separable in R. Then by Lemmas 1.3 and 1.4, we see that the order of  $\rho$  is m and  $a_0$  is a unit in A. Since fR = Rf, we have  $aa_i=a_i\rho^{m-i}(a)$  (a  $\epsilon$  B,  $0\leq i\leq m-1$ ) ([6, Lemma 1.3 a)]). Hence,  $a_i1-a_i\rho^{m-i}=0$ , which implies  $a_i=0$  (1  $\leq i\leq m-1$ ) by Lemma 1.4. Now, we shall prove that B/A is G-Galois. Let  $y=X^{m-1}d_{m-1}+\dots+Xd_1+d_0$  be in R such that ay=ya (a  $\epsilon$  B). Then there holds  $\rho^{m-i}(a)d_i=d_ia$  (0  $\leq i\leq m-1$ ). Hence, by Lemma 1.4, we have  $d_i=0$  (1  $\leq i\leq m-1$ ).

 $\leq$  m-1), i.e.  $y = d_0$ . This shows  $V_S(B) = B$ . Let  $z = X^m c_{m-1} + \ldots + c_0$  be in R such that  $\rho^{m-1}(a)z = za$  ( $a \in B$ ). Then in the similar way as above, we have  $z = Xc_1$ . Let  $y_i$ ,  $z_i \in R$  be as in Theorem 1.2. According to the above, we may assume  $y = \alpha_i$  and  $z_i = X\beta_i$  ( $\alpha_i$ ,  $\beta_i \in B$ ). Since  $X^m \equiv -a_0$  (mod fR), we have

$$1 \equiv \sum_{i} y_{i} X^{m-1} z_{i} \equiv -\sum_{i} a_{0} \alpha_{i} \beta_{i} \pmod{fR}$$

and

$$0 \equiv \sum_{i} y_{i} X^{k} z_{i} \equiv X^{k+1} \sum_{i} \rho^{k+1} (\alpha_{i}) \beta_{i} \pmod{fR}$$

 $(0 \le k \le m-2)$ . Then, we have  $\sum_i \alpha_i (-a_0 \beta_i) = 1$  and  $\sum_i \rho^{k+1} (\alpha_i) (-a_0 \beta_i) = 0$   $(0 \le k \le m-2)$ . Thus, B/A is G-Galois. Since  $V_S(B) = B$ , it is now clear that C(S) = A. Hence S is an Azumaya A-algebra, because both S/B and B/A are separable extensions. Now the latter half of (c) is immediate by [3, Proposition 3.1] or [18, Lemma 1(3)]. Finally, we shall prove (d). If m is invertible in B, then  $f = X^m + a_0$  is a separable polynomial in A[X] by [6, Theorem 2.2]. Moreover, H may be considered as an A-subalgebra of S, and then  $V_S(H) = H$ . Thus, H is a separable splitting ring for S by [2, Theorem 5.5 p.64]. This complites the proof.

Now, by making use of Proposition 2.1 and Theorem 2.2, we can improve the results of G. Szeto [19,20] and G. Szeto and Y. F. Wong [21]. First, the following contains [20, Lemma 3.1 and Theorem 3.2] (or [19, Lemma 2.1 and Theorem 2.2]).

Corollary 2.3. The following are equivalent:

- (a) The order of  $\rho$  is m and B/A is G-Galois.
- (b) R contains an H-separable polynomial of degree  $\mathbf{m}$ .
- (b')  $X^m + a_0$  is an H-separable polynomial in R for some  $a_0$  in A.
- (b")  $X^m + a_0$  is an H-separable polynomial in R for every unit  $a_0$  in A.
- (c)  $R_{(0)}$  contains a polynomial f of degree m such that R/fR is an Azumaya A-algebra.
- (c')  $R/(X^{m} + a_{0})R$  is an Azumaya A-algebra for some  $a_{0}$  in A.
- (c")  $R/(X^{m} + a_{0})R$  is an Azumaya A-algebra for every unit  $a_{0}$  in A.

When this is the case,  $(R/(X^m + a_0)R) \bigotimes_A B \cong M_m(B)$ and  $B \bigotimes_A (R/(X^m + a_0)R) \cong M_m(B)$  for every unit  $a_0$  in A.

The following is an sharpening of [20, Theorem 3.5] (or [19, Theorem 2.5]) and [21, Theorem 3.6].

Corollary 2.4. Assume that the order of  $\rho$  is m. Let  $X^m + a_0$  be in  $R_{(0)}$ . If m is invertible in B and  $B \bigotimes_A (R/(X^m + a_0)R)$  is an Azumaya B-algebra, then B/A is G-Galois.

Proof. Since m is invertible in B, A is a direct summand of B. Hence, by [2, Corollary 1.10 p.45],  $R/(X^{m}+a_{0})R$  is an Azumaya A-algebra. Thus, B/A is a G-Galois extension by Corollary 2.3.

The next sharpens [21, Theorem 3.5].

Corollary 2.5. Let 
$$f = X^{m} + X^{m-1}a_{m-1} + ... + a_{0}$$

be in  $A[X] \cap R_{(0)}$ . If  $(A[X]/fA[X]) \otimes_A (R/fR)$  is an Azumaya A[X]/fA[X]-algebra, then the order of  $\rho$  is m and B/A is a G-Galois extension.

In the rest of this section, we assume that the order of  $\,\rho\,$  is m  $\ge 2$  , and B/A is a G-Galois extension. Then the following is well known.

Lemma 2.6. Let  $b \in B$ , and  $1 \le j \le m-1$ . If  $(\rho^{\mathbf{j}}(a) - a)b = 0$  for all  $a \in B$ , then b = 0.

Now, we are able to determine all the separable polynomials in R.

Proposition 2.7. Let g be any separable polynomial in R. Then the following hold:

- (a) If  $\deg g = 1$ , then g = X.
- (b) If  $\deg g \ge 2$ , then there exists a separable polynomial  $g_0(t) = t^k + t^{k-1}c_{k-1} + \dots + c_0$  in A[t] such that  $c_0$  is a unit in A, and  $g = g_0(X^m)$  or  $g = Xg_0(X^m)$ .

Proof. Let  $g = X^n + X^{n-1}d_{n-1} + \dots + d_0$ . Since gR = Rg, we have  $ad_1 = d_1\rho^{n-1}(a)$  (a  $\epsilon$  B). If  $g = X + d_0$ , then g = X by Lemma 2.6. Hence, we may assume  $n \geq 2$ . By [4, Lemma 1], there exist  $\alpha$ ,  $\beta$   $\epsilon$  B such that  $d_1\alpha - d_0\beta = 1$ . Hence we have  $d_1 \neq 0$  or  $d_0 \neq 0$ . If  $d_0 \neq 0$ , then  $(\rho^n(a) - a)d_0 = 0$  (a  $\epsilon$  B) implies that  $\rho^n = 1$  (Lemma 2.6), and therefore  $m \mid n$ . We put here n = km. Then by Lemma 2.6, we can easily see that  $g = X^{mk} + X^{m(k-1)}c_{k-1} + \dots + X^mc_1 + c_0$  ( $c_1 = d_{mi}$ ), and  $c_0$  is a unit in A. Similarly, if  $d_1 \neq 0$  then we can write  $g = X(X^{mj} + X^{m(j-1)}c_{j-1}^{\dagger} + \dots + c_0^{\dagger})$ , and  $c_0^{\dagger}$  is a unit

in A ( $c_1' = d_{mi+1}$ ). We put here  $h = X^{mj} + X^{m(j-1)}c_{j-1}' + \dots + c_0'$ . Then, g = Xh = hX and hR = Rh. Hence, h is a separable polynomial in R by [12, Theorem 1.10]. Therefore, we may consider the case  $g = X^{mk} + X^{m(k-1)}c_{k-1} + \dots + c_0$ . We put S = R/fR. Since S/B and B/A are separable extensions, S is a separable A-algebra. It is easily verified that  $C(S) = (A[X^m] + gR)/gR \ge A[t]/g_0A[t]$ , where  $g_0 = t^k + t^{k-1}c_{k-1} + \dots + c_0 \in A[t]$ . Since S is a separable A-algebra, S is an Azumaya C(S)-algebra and  $C(S) \ge A[t]/g_0A[t]$  is a separable A-algebra by [2, Theorem 3.8 p.55]. Thus,  $g_0$  is a separable polynomial in A[t] and  $c_0$  is a unit in A.

Concerning the converse of Proposition 2.7, we have the following

Proposition 2.8. Let  $g_0(t) = t^k + t^{k-1}c_{k-1} + \dots + c_0$  be a separable polynomial in A[t] such that  $c_0$  is a unit in A. Then, both  $g_0(X^m)$  and  $Xg_0(X^m)$  are separable polynomials in R.

Proof. Obviously, both  $g_0(X^m)$  and  $Xg_0(X^m)$  are contained in  $R_{(0)}$ . Since  $R/Xg_0(X^m)R \supseteq B \oplus (R/g_0(X^m)R)$ , it suffices to prove the separability of  $g_0(X^m)$ . We put  $g = g_0(X^m)$  and S = R/gR. Since  $c_0$  is a unit in A,  $u = X^m + gR$  is also unit in S. It is easily verified that  $V_S(B) = B[u] \supseteq B[t]/g_0B[t]$  and  $C(S) = A[u] \supseteq A[t]/g_0A[t]$ . Let  $\beta : B[u] \to B[u]$  be the map defined by  $\beta(\sum_i u^i b_i) = \sum_i u^i \rho(b_i)$ . Then,  $\beta$  is an A[u]-automorphism of order m. Since B/A is G-Galois, B[u]/A[u] is also a  $<\beta>$ -Galois extension. Consider the skew polynomial ring  $B[u][Y; \beta]$  defined by  $\beta Y = Y\beta(\beta)$  ( $\beta \in B[u]$ ).

Then, since  $Y^m - u$  is contained in  $B[u][Y; \beta]_{(0)}$  and u is a unit in A[u],  $B[u][Y; \beta]/(Y^m - u)B[u][Y; \beta]$  is an Azumaya A[u]-algebra by Corollary 2.3. It is easily seen that S is B[u]-ring isomorphic to  $B[u][Y; \beta]/(Y^m - u)B[u][Y; \beta]$ . Hence S is a separable A[u]-algebra. Since A[u] is a separable A-algebra, S is a separable A-algebra. Therefore, S/B is a separable extension, which means that g is a separable polynomial in R.

3. Throughout this section, B will mean a commutative ring, R = B[X; D], and  $A = B^D$ . First, we state the following lemma.

Lemma 3.1. Let f be in  $R_{(0)}$ , deg f = m, and S = R/fR. Then the following are equivalent:

- (a) S is an Azumaya A-algebra.
- (b) f is an H-separable polynomial in R.
- (c) There exist  $y_i$ ,  $z_i \in B$  such that  $\sum_i D^{m-1}(y_i)z_i = 1$  and  $\sum_i D^k(y_i)z_i = 0$  ( $0 \le k \le m-2$ ).

When this is the case, B is a maximal commutative A-subalgebra of S with  $B \bigotimes_A S \cong M_m(B)$  and  $S \bigotimes_A B \cong M_m(B)$ .

Proof. (a)  $\Rightarrow$  (b). Since  $S \supseteq B$  and  $S_B$  is free, f is an H-separable polynomial in R by Proposition 1.1.

- (b)  $\Leftrightarrow$  (c). By Lemma 1.6, we can easily see that  $V_{c}(B) = B$ . Hence the assertion is obvious by Lemma 1.5.
- (b)  $\Rightarrow$  (a). By Lemma 1.6, we have  $V_S(B) = B$ , and hence C(S) = A. Then by [18, Lemma 1(3)],  $A^B$  is f.g. projective,  $B \otimes_A S \cong M_m(B)$  and  $S \otimes_A S \cong M_m(B)$ . Since  $A^B$  is f.g. projective faithful, A is a direct summand of B. Thus, S is an Azumaya A-algebra by [2, Corollary 1.10 p.45].

As an immediate consequence of Lemma 3.1, we have the following

Corollary 3.2. Let f be in  $R_{(0)}$ . If f is an H-separable polynomial in R, then so is f+a for every  $a \in A$ .

Now, we shall state the main theorem of this section.

Theorem 3.3. Let R = B[X; D]. Then, the following are equivalent:

- (a) R contains an H-separable polynomial of degree m.
- (b)  $R_{(0)}$  contains a polynomial f of degree m such that R/fR is an Azumaya A-algebra.
- (c)  $R_{(0)}$  contains a polynomial f of degree m, and there exist  $y_i$ ,  $z_i \in B$  such that  $\sum_i D^{m-1}(y_i)z_i = 1$  and  $\sum_i D^k(y_i)z_i = 0$  ( $0 \le k \le m-2$ ).
- (d)  $_A{}^B$  is a finitely generated projective module of rank m and  $\text{Hom}(_A{}^B,_A{}^B) = B[D]$  (i.e.  $\text{Hom}(_A{}^B,_A{}^B)$  is generated by the set  $\{b_{\varrho} \mid b \in B\}$  and D as ring).

Proof. (a)  $\Leftrightarrow$  (b)  $\Leftrightarrow$  (c). These equivalences have been proved in Lemma 3.1.

(b), (c)  $\Rightarrow$  (d). Since fR = Rf, we have  $D^m + a_{m-1}D^{m-1} + \dots + a_1D = 0$  and  $a_i \in A$  by [6, Lemma 1.6], where  $f = X^m + X^{m-1}a_{m-1} + \dots + Xa_1 + a_0$ . Then the map  $f_i : B \rightarrow B$  defined by  $f_i(b) = \sum_{j=0}^{m-1} a_{j+1}D^j(by_i)$   $(a_m = 1)$  is in  $Hom(_AB,_AA)$ , since  $D(f_i(b)) = 0$ . According to (c), we have

$$\sum_{i} f_{i}(b)z_{i} = \sum_{i} (\sum_{j=0}^{m-1} a_{j+1}D^{j}(by_{i}))z_{i}$$

$$= \sum_{i} \sum_{j=0}^{m-1} a_{j+1} (\sum_{\nu=0}^{j} (_{\nu}^{j}) D^{j-\nu}(b) D^{\nu}(y_{i})) z_{i}$$

$$= \sum_{j=0}^{m-1} a_{j+1} \sum_{\nu=0}^{j} (_{\nu}^{j}) D^{j-\nu}(b) (\sum_{i} D^{\nu}(y_{i}) z_{i})$$

$$= a_{m} b \sum_{i} D^{m-1}(y_{i}) z_{i} = b \quad (b \in B).$$

Hence,  $_{\Delta}B$  is f.g. projective. On the other hand,

$$f_{i}(b) = \sum_{j=0}^{m-1} a_{j+1}^{j} D^{j}(by_{i})$$

$$= \sum_{j=0}^{m-1} a_{j+1} (\sum_{\nu=0}^{j} (_{\nu}^{j}) D^{j-\nu}(y_{i}) D^{\nu}(b))$$

$$= \sum_{\nu=0}^{m-1} (\sum_{j=\nu}^{m-1} a_{j+1} (_{\nu}^{j}) D^{j-\nu}(y_{i})) D^{\nu}(b).$$

Hence,  $f_i = \sum_{\nu=0}^{m-1} (\sum_{j=\nu}^{m-1} a_{j+1} (_{\nu}^j) D^{j-\nu} (y_i)) D^{\nu} \in B[D]$ . Since  $\phi = \sum_i \phi(z_i) f_i$  ( $\phi \in \text{Hom}(_AB,_AB)$ ), and 1, D, ...,  $D^{m-1}$  are linearly independent over B (Lemma 1.6), we have  $\text{Hom}(_AB,_AB) = B[D] = B \oplus BD \oplus \ldots \oplus BD^{m-1}$ . Now, we shall show that  $_AB$  is of rank m. Let P be a prime ideal of A. Assume that  $_AB$  is of rank n. Let  $\hat{D}$  be the natural extension of D to  $B_p$  (i.e.  $\hat{D} = D \otimes 1$ ). Then we have  $\text{Hom}(_AB,_P,_AB,_P) = B_p[\hat{D}] = B_p \oplus B_p \hat{D} \oplus \ldots \oplus B_p \hat{D}^{m-1}$ . The  $A_p$ -rank of the left hand side is  $n^2$  and that of the right hand side is nm. Thus, we have n = m, which means  $_AB$  is of rank m.

 $(d) \Rightarrow (b). \quad \text{Since } Db = bD + (D(b))_{\ell} \quad (b \in B), \quad \text{the}$  map  $\psi : B[X; D] \rightarrow \text{Hom}(_AB,_AB) = B[D] \quad \text{defined by } \psi(\sum_i X^i d_i)$   $= \sum_i (-D)^i (d_i)_{\ell} \quad \text{is a } B\text{-ring epimorphism.} \quad \text{Then we have}$   $R/\text{Ker } \psi \cong \text{Hom}(_AB,_AB). \quad \text{Since } _AB \quad \text{is projective of rank}$  m,  $\text{Hom}(_AB,_AB) \quad \text{is an Azumaya } A\text{-algebra of rank } m^2.$  Hence  $R/\text{Ker } \psi \quad \text{is a projective } B\text{-module of rank } m \quad (\text{cf. [1]}).$  Then by [11, Theorem 3], there exist a polynomial f in  $R(0) \quad \text{such that } \text{Ker } \psi = fR. \quad \text{Now, it is obvious that the}$  degree of f is m. This proves (b).

Remark 3.4. Assume that B is of prime characteristic p. Let f be an H-separable polynomial in R of degree m. Then, in virtue of Lemma 1.6, it is easily seen that  $m = p^e$  and f is a p-polynomial of the form  $\sum_{i=0}^{e} x^{p^i}b_{i+1} + b_0.$  Hence, Theorem 3.3 contains the result of S. Yuan [22, Theorem 2.4].

Corresponding to Proposition 2.7 and 2.8, we have the following theorem.

Theorem 3.5. Assume that R contains an H-separable polynomial  $f = x^m + x^{m-1}a_{m-1} + \dots + xa_1$ . Let  $\psi : A[t] \to R$  be befined by  $\psi(\sum_i t^i d_i) = \sum_i f^i d_i$ .

- (a)  $\psi$  induces a one-to-one correspondence between  $A[t]_{(0)}$  and  $R_{(0)}$ .
- (b) Let  $g_0$  be in  $A[t]_{(0)}$ . Then  $g_0$  is a separable polynomial in A[t] if and only if  $R/\psi(g_0)R$  is a separable A-algebra.
- (c) Let  $g_0$  be in  $A[t]_{(0)}$ . Then  $\psi(g_0)$  is an H-separable polynomial in R if and only if  $\deg g_0 = 1$ .

Proof. Obviously,  $\psi$  induces an injective mapping of  $A[t]_{(0)}$  into  $R_{(0)}$ . Let g be in  $R_{(0)}$ . Since g,  $f \in A[X]$ , there exist h,  $r \in A[X]$  such that g = hr + r and  $drg\ r < m$ . We shall show that  $h \in R_{(0)}$  and  $r \in A$ . Assume that there exists  $b \in B$  such that  $bh - hb \neq 0$ . Since bg = gb and bf = fb, we have (bh - hb)f = rb - br. However, since f is monic and  $deg\ r < m$ , we have  $deg\ (bh - hb)f \ge m$  and  $deg\ (rb - br) < m$ , which is a contradiction. Hence h is in  $R_{(0)}$ , and so rc = cr  $(c \in B)$ . Then since 1, D,  $D^2$ , ...,  $D^{m-1}$  are linearly independent over B (Lemma 1.6) and  $deg\ r < m$ , we have

r  $\epsilon$  A. By those above, we can easily verify that there exist  $c_i \epsilon$  A such that  $g = f^k + f^{k-1}c_{k-1} + \ldots + fc_1 + c_0$ . Thus,  $\psi$  maps  $A[t]_{(0)}$  onto  $R_{(0)}$ . This proves (a).

Let  $g_0$  be in  $A[t]_{(0)}$ . We put  $g = \psi(g_0)$ , S = R/gRand u = f + gR. Then, it is easily verified that  $V_S(B)$ =  $B[u] \simeq B[t]/g_0B[t]$  and  $C(S) = A[u] \simeq A[u]/g_0A[t]$ . Let  $\hat{D} : B[u] \rightarrow B[u]$  be the map defined by  $\hat{D}(\sum_i u^i b_i) = A[u]$  $\sum_{i} u^{i} D(b_{i})$ . Then,  $\hat{D}$  is a derivation of B[u] $B[u]^{\hat{D}} = A[u]$ . Consider the skew polynomial ring  $B[u][Y; \hat{D}]$ defined by  $\beta Y = Y\beta + \hat{D}(\beta)$  ( $\beta \in B[u]$ ). Then  $h = Y^{m} +$  $Y^{m-1}a_{m-1} + ... + Ya_1 - u$  is contained in  $B[u][Y; \hat{D}]_{(0)}$ . Since  $_{A}^{B}$  is f.g. projective of rank m and  $Hom(_{A}^{B}, _{A}^{B})$ = B[D] (Theorem 3.3), we see that  $A[u]^B[u]$  is f.g. projective of rank m and  $Hom(A[u]B[u], A[u]B[u]) = B[u][\hat{D}].$ It is easily seen, S is B[u]-ring isomorphic to  $B[u][Y; \hat{D}]/hB[u][Y; \hat{D}]$ . Thus, S is an Azumaya A[u]-algebra by Theorem 3.3. Now, assume that  $g_0$  is separable in A[t]. Then, since A[u]  $\underline{\circ}$  A[t]/g\_A[t] and S is an Azumaya A[u]-algebra, S is a separable A-algebra. Conversely, if S is a separable A-algebra, then A[u] is separable over A by [2, Theorem 3.8 p.55], and so  $g_0$  is separable in A[t]. This proves (b). Finally, (c) is obvious by Corollary 3.2 and Lemma 1.6.

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# ON AUTOMORPHISMS OF SKEW POLYNOMIAL RINGS

## Kazuo KISHIMOTO

1. Throughout this paper, A will mean a ring with identity element 1,  $\rho$  an automorphism of A, D a derivation of A, and N the union of all nilpotent ideals of A. Further, R = A[X;D] (resp.  $R = A[X;\rho]$ ) will means the skew polynomial ring  $\left\{\sum_{i=0}^{\infty} X^i A\right\}$  whose multiplication is given by aX = Xa + D(a) (resp.  $ax = X\rho(a)$ ) for  $a \in A$ .

In [3, Th. 1], M. Rimmer proved the following theorem: The A-linear map  $A[X;\rho] \to A[X;\rho]$  defined by  $X^k \to (\sum_{i=0}^m X^i a_i)^k$  induces an A-automorphism if and only if

- (1)  $a_i \rho(a) = \rho^i(a) a_i$  for any  $a \in A$  and i = 0, 1, ..., n,
- (2) a<sub>1</sub> is a central unit in A,
- (3)  $a_i$  are nilpotent for  $i \ge 2$ .

In this paper, corresponding to the above, we shall study on conditions for the A-linear map  $A[X;D] \rightarrow A[X;D]$  defined by  $X^k \rightarrow (\sum_{i=0}^m X^i a_i)^k$  to be an A-automorphism. For the details refer to [2].

2. Let  $S = \{s_i; 1 \le i \le k\}$  be a set of nilpotent elements of A. If  $s_i A \subseteq A_i = \sum_{j=i}^k As_j$ , then  $A_i$  is a two-sided ideal. Further  $A_k$  is a nilpotent ideal. Since  $\overline{A}_i = \overline{As}_i$  is a nilpotent ideal of  $\overline{A} = A/A_{i+1}$ , we can see that  $A_i$  is nilpotent, by induction method. In particular, we have  $S \subseteq N$ .

Let N be the set of natural numbers. It is known that  $(N,N) = \{(n,m); n, m \in N\}$  has a linear order such that (i,j) > (i',j') if 1) i+j > i'+j' or 2) i+j=1

i'+j' and i > i'. Thus we have the following

Lemma 1. (1) Let  $S = \{s_i; 1 \le i \le k\}$  be a set of nilpotent elements of A. If  $s_i A \subseteq \sum_{j=i}^k As_j$  for all i, then  $S \subseteq N$ .

- (2) Let  $S = \{s_{ij}; 1 \le i \le h, 1 \le j \le k\}$  be a set of nilpotent elements of A. If  $s_{ij}A \subseteq \sum_{p=i}^{h} \sum_{q=j}^{k} As_{pq}$ , then  $S \subseteq N$ .
- 3. In the rest of this paper, we assume that  $\Phi$  is an A-linear map  $A[X;D] \to A[X;D]$  defined by  $\Phi(X^k) = (\sum_{i=0}^m X^i a_i)^k$ . It is easy to see that  $\Phi$  induces a ring endomorphism if and only if  $a\Phi(X) = \Phi(aX)$  for all  $a \in A$ . Since

$$a\phi(X) = \sum_{i=0}^{m} X^{i}(\sum_{k=i}^{m} \binom{k}{i} D^{k-i}(a) a_{k}) \quad \text{and}$$
 
$$\phi(aX) = (Xa + D(a)) = \sum_{i=0}^{m} X^{i} a_{i} a + D(a),$$
 we have the following

Proposition 2.  $\boldsymbol{\Phi}$  induces a ring endomorphism if and only if

(i) 
$$a_{i}a = \sum_{k=i}^{m} {i \choose i} D^{k-i}(a) a_{k} \quad (i \ge 1)$$
$$a_{0}a + D(a) = \sum_{i=0}^{m} D^{i}(a) a_{i}.$$

Suppose now that  $\, \Phi \,$  is a ring automorphism. Then there exists  $\sum_{j=0}^n X^j c_j (c_j \in A)$  such that  $\, \Phi (\sum_{j=0}^n X^j c_j) = X.$  Then  $\, \{c_j; j=0,1,\ldots,n\} \,$  satisfies the same identity as (i). By (i) we can see that  $\, a_m \,$  (and  $\, c_n \,$ ) are central. Now if  $\, \Phi (X) = a_0 + Xa_1 \,$ , then  $\, X = \Phi^{-1} \Phi (X) = a_0 + c_0 a_1 + Xc_1 a_1 + X^2 c_2 a_1 + \ldots + X^n c_n a_1 \,$  shows that  $\, a_1 \,$  is a unit in  $\, A. \,$  Combining this with (i), we can prove the following

Proposition 3. Let  $\phi(X) = a_0 + Xa_1$ . Then  $\phi$  is an automorphism if and only if  $a_1$  is a central unit in A and  $a_0a - aa_0 = D(a)(a_1 - 1)$  for all  $a \in A$ .

Henceforth, we assume  $m \ge 2$ . By (i), an easy induction shows

(ii) 
$$D^{r}(a_{i})a = \sum_{k=i}^{m} {k \choose i} D^{k-i}(a) D^{r}(a_{k})$$
 (i \geq 1, r \geq 0).

Since c<sub>i</sub> also satisfies (ii), we have

(iii) 
$$D^{r}(a_{i})D^{s}(c_{j})a = \sum_{k=i}^{m} \sum_{h=j}^{n} {k \choose i} {h \choose j} D^{k+h-(i+j)}(a)$$
.  
 $D^{r}(a_{k})D^{s}(c_{h}),$ 

and hence

- (iv)  $\sum_{i=1}^{m} AD^{r}(a_{i})$ ,  $\sum_{j=1}^{n} AD^{s}(c_{j})$ ,  $\sum_{i=1}^{m} \sum_{j=1}^{n} AD^{r}(a_{i})$ .  $D^{s}(c_{j})$  are twosided ideals (r, s  $\geq$  0).
- 4. In this section, we assume that  $\phi$  is a ring automorphism and  $D(N) \subseteq N$ . Then, by making use of Lemma 1, (ii), (iii) and (iv), we can prove the following lemma.

Lemma 3. (1) If  $a_i c_j$  ( $i \ge 1$ ,  $j \ge 1$ ) are nilpotent whenever  $i+j \ge h$ , then  $D^r(a_i)Ac_i \le N$  ( $r \ge 0$ ).

(2)  $a_i c_j$  (i,  $j \ge 1$ ) are nilpotent whenever  $i + j \ge 3$ ).

By Lemma 3 and the equality  $X = \sum_{j=0}^{n} (\sum_{i=0}^{m} X^{i}a_{i})^{j}c_{j}$ , we can see that  $1 = a_{1}c_{1} + d$  with  $d \in \mathbb{N}$ . Hence we obtain  $a_{1}$  and  $c_{1}$  are units. Again by Lemma 3, we have  $(\overline{a_{i}c_{1}})^{t} = \overline{a_{i}^{t}c_{1}^{t}} = 0$  in  $\overline{A} = A/N$  for  $i \geq 2$ . Thus we obtain the following main theorem of this section.

Theorem 4. Suppose D(N)  $\subseteq$  N. If  $\Phi$  is an automorphism then

- (1) (i) is fulfilled,
- (2) a<sub>1</sub> is a unit,
- (3)  $a_i$  are nilpotent for  $i \ge 2$ , and therefore  $\{a_i ; i \le 2\} \le N$ .
- 5. In this section, we assume that  $\Phi$  is a ring endomorphism and  $D(N) \subseteq N$ . We assume further that  $a_1$  is a unit. Then we can easily see that  $A[X;D] = A[Y;\rho,E]$ , where  $Y = (X a_0)a_1^{-1}$ ,  $\rho: a \to a_1aa_1^{-1}$  and E is a  $(\rho, 1)$ -derivation defined by  $a \to \sum_{i=1}^m D^i(a)a_ia_1^{-1}$ . We set  $d_i = a_ia_1^{-1}$ . Then  $\Phi(Y) = \sum_{i=1}^m X^id_i$ . Now, by  $N_0$  we denote the ideal gen-rated by  $\{D^r(a_i); i \ge 2, r \ge 0\}$ . Then  $N_0 = \sum_{r=0}^\infty \sum_{i=2}^m AD^r(a_i)$  by (ii). Hence if  $a_i$  are nilpotent for  $i \ge 2$ , then we have  $D^r(d_i) (= D^r(a_ia_1^{-1}) D(N_0) \subseteq N_0 \subseteq N$   $(r \ge 0)$ . Moreover, for any finite subset  $\{s_j; 2 \le j \le t\}$  of  $A, \sum_{j=2}^t \Phi(Y)^j s_j = \sum_{i=2}^t X^i s_i + \sum_{i=2}^u X^i (\sum_{j=2}^t d_{ij} s_j)$  where  $d_{ij} \in N_0$ . Noting these above, we can prove the following

Theorem 5. (1) If  $a_i$  are nilpotent for  $i \ge 2$ , then is a monomorphism.

- (2) If  $N_0$  is nilpotent, then  $\Phi$  is an A-ring automorphism.
- Proof. (1) Let  $\sum_{j=0}^{t} Y^{j} s_{j} \in \mathbb{R}$  and  $\Phi(\sum_{j=0}^{t} Y^{j} s_{j}) = 0$ . Then we have  $s_{0} = s_{1} = 0$  and  $0 = s_{1} + \sum_{j=2}^{t} d_{ij} s_{j} = \sum_{j=2}^{t} (1 + d_{ij}) s_{j}$  for some  $d_{ij} \in \mathbb{N}$   $(i \ge 2)$ . Hence we can see that  $s_{2} = \ldots = s_{t} = 0$ .
- (2) According to (1), it remains to show that  $\Phi(R) = R$ . Since  $RN_0$  is an ideal of R and  $X = \Phi(Y) \pmod{RN_0}$ ,

we have  $R = \sum_{i=0}^{\infty} \Phi(Y^i)A + RN_0$ . Hence it is known that  $R = \sum_{i=0}^{\infty} \Phi(Y^i)A$ .

Combining Lemma 1 with Th. 5 (2), we obtain

Corollary 6. If A is Noetherian and  $a_i$  are nilpotent for  $i \ge 2$ , then  $\Phi$  is an A-ring automorphism.

6. In this section, we prove the following proposition.

Proposition 7. If A is torsion free, then  $D(N) \subseteq N$ .

Proof. Let I be an ideal of A with  $I^n = 0$ . Obviously, D(I) + I is an ideal of A. If  $s_1, s_2, \ldots, s_n$  are elements of I, then  $0 = D^n(s_1s_2\cdots s_n) = n!D(s_1)D(s_2)\cdots$   $D(s_n) - s$  for some  $s \in I$ . Hence  $n!D(I)^n \subseteq I$ . Thus, we obtain  $(D(I) + I)^n = 0$ .

By the aid of Prop. 7, we can prove the following

Theorem 8. Assume that A is torsion free. Assume further that (i) is fulfilled,  $a_1$  is a unit and that  $a_1$  (i  $\geq$  2) are central nilpotent elements. Then  $\Phi$  is an A-ring automorphism.

Remark. Let A and B be rings with an isomorphism  $\varphi: A \to B$ , and let  $\rho$  and  $\eta$  be automorphisms of A and of B, respectively. By making use of the characterization of an A-ring automorphism of A[X;], M. Rimmer proved the following theorem [3, Th. 3]: The map  $X \to \sum_{i=0}^{m} X^{i}b_{i}$ 

- $(b_i \in B)$  extends  $\phi$  to an isomorphism  $A[X;\rho] \rightarrow B[X;\eta]$  if and only if
- (1)  $b_i \varphi_{\rho}(a) = \eta^i \varphi(a) b_i$  for any  $a \in A$  and  $i = 0,1, \ldots, n$ 
  - (2) b<sub>1</sub> is a unit
  - (3)  $b_i$  are nilpotent for  $i \ge 2$ .

In a similar way, we can give conditions for the map  $X \to \sum_{i=0}^m X^i b_i \quad \text{to extend } \phi \quad \text{to an isomorphism } A[X;D] \\ \to B[X;E] \quad \text{where } E \quad \text{is a derivation of } B. \quad \text{For the details,} \\ \text{refer to [1].}$ 

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4

# ON PROBLEMS OF NINOMIYA AND OF TSUSHIMA

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Let G be a p-solvable group with a p-Sylow subgroup P of order  $p^a$ , K an algebraically closed field of characteristic p, KG the group algebra of G over K, and t(G) the nilpotency index of the radical J(KG) of KG.

D. A. R. Wallace [7] proved an inequality:  $t(G) \ge a(p-1)+1$ . Along with this inequality, Y. Ninomiya [3] presented the next problem: If t(G)=a(p-1)+1, then is P elementary? Recently, Y. Tsushima [5] presented the following problem: If E is a p'-subgroup contained in the center of G, then is t(G) equal to t(G/E)?

In case p = 2, these problems were answered in the negative (see [3] and [2]). In this paper, we shall give negative answers to these problems for every p.

We set  $q = p^T$  and  $\ell = (q^P - 1)/(q - 1)$ . Let F be a finite field of  $q^P$  elements,  $\lambda$  a generator of the multiplicative group of F,  $\eta = \lambda^{q-1}$ , and  $A = \langle \eta \rangle$ . We define subgroups of the symmetric group on F as follow:  $H = \{x \to ax^{q} + b \mid a \in A, b \in F, k = 0, 1, \ldots, p - 1\}$  and  $M = \{x \to ax^{q} \mid a \in A, k = 0, 1, \ldots, p - 1\}$ .

The following Proposition 1 gives a negative answer to Ninomiya's problem [3].

Proposition 1. t(H) = (rp + 1)(p - 1) + 1 and a p-Sylow subgroup of H is not regular.

Let S be a direct product of two cyclic groups <s>

and <t> which have the same order  $\ell$ . Let  $\phi$  and  $\psi$  be automorphisms of S defined by  $\phi(s^nt^m) = s^{n+m}t^m$  and  $\psi(s^nt^m) = s^nt^{qm}$ . Then  $\phi^\ell = 1$ ,  $\psi^p = 1$  and  $\phi\psi = \psi\phi^q$ . Hence  $v_{\eta} \to \phi$ ,  $\sigma \to \psi^{-1}$  defines a homomorphism of M into the automorphism group of S, which can be regarded as that of H. Let G be a semi-direct product of S by H with respect to this homomorphism.

The next gives a negative answer to Tsushima's problems [5, Problems 3, 4, 5].

Proposition 2. s is a p'-element contained in the center of G and  $t(G) - t(G/\langle s \rangle) > r$ .

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# ON THE COHOMOLOGY OF FINITE GROUPS

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We shall be concerned with the principal block  $B_0$  of a group algebra kG, where k is a field and G is a finite group. Throughout of this note M means a finitely generated left kG-module.  $\hat{H}^i(G,M)$  will be the Tate group, while  $H^i(G,M)$  the ordinary cohomology. In this note we shall study three problems on the cohomology of finite groups:

- (A) Suppose M is simple and lies in  $B_0$ . Is M projective, if  $H^{i}(G,M) = 0$  for all i > 0?
- (B) Is M projective, if  $H^{i}(G, Hom_{k}(M,M)) = 0$  for all i > 0?
- (C) Suppose M is indecomposable and lies in  $B_0$ . Is M projective, if  $H^i(G,M) = 0$  for all i > 0?

Main object of this note is to show that the answer to (A) is affirmative, if G is p-solvable with a Sylow p-subgroup  $G_p$  abelian(Theorem 1). Moreover, given M as in (A), we shall compute  $\operatorname{H}^{i}(G,M)$ , when G is an extension of a cyclic group K by an abelian group A (theorem 2).

Now we explain the background of these problems. Let R be a finite-dimensional algebra over k and L be a

finitely generated left R-module. Nakayama [5] proposed the following conjecture:

(N) If dom dim  $R = \infty$ , then R is QF.

Tachikawa [8] proposed another conjecture which would be a consequence of (N):

(T) If R is QF and  $\operatorname{Ext}^{\mathbf{i}}_{R}(L,L)=0$  for all i>0, then L is projective.

Elementary diagram chasing then tells us

Proposition 1. The answer to (B) is affirmative, if (T) holds when R = kG and L = M.

1. Now we make a few elementary remarks concerning (A), (B), (C). We will assume  $\operatorname{char}(k) = p > 0$  and  $\operatorname{G}_p \neq 1$ , for otherwise M is always projective. We recall that there is a kG-projective resolution lying entirely within  $\operatorname{B}_0$ . Therefore, if M is indecomposable and not in  $\operatorname{B}_0$ , we have  $\operatorname{H}^i(\mathsf{G},\mathsf{M}) = 0$  for all integers i. From this we obtain

Lemma 1. The following statements are equivalent:

- (a) M is projective.
- (b) Every indecomposable direct summand of M lying in  $B_0$  is projective.
  - (c)  $\hat{H}^0(G, Hom_k(M, M)) = 0$ .

Consequently we have

Proposition 2. If the answer to (C) is affirmative, then the same is said of (A) and (B).

Tachikawa [8] showed that (T) is true for R which has finite representation type. Hence, from Proposition 1, the answer to (B) is affirmative if  $G_p$  is cyclic. Including this we have

Proposition 3. If  $G_p$  is cyclic or generalized quarternion, the answer to (B) is affirmative.

A proof is given by using the periodesity of the cohomology of G and Lemma 1.

Further Tachikawa showed that,  $\operatorname{Ext}^1_{kG}(M,M)=0$  implies that M is projective if G is a p-group. As a refinement of this we have

Proposition 4. Suppose G is p-nilpotent and M is indeconposable lying in  $B_0$ . If  $H^i(G,M) = 0$  for some integer i, then M is projective.

To prove this, by the five-term exact sequence of Hochschild and Serre, we may assume that G is a p-group; in this case M is free.

Corollary 1. Answers to (A), (B), (C) are affirmative for a p-nilpotent group.

This follows immediately from Proposition 2. For (A) see also (3) in Section 2.

In the calculation of cohomology (Theorem 2) we will need the following.

Lemma 2. Suppose G is an extension of a group K by a p-nilpotent group A. Let (E,H) be the Hochschild and Serre spectral sequence such that  $E_2^{r,s} = H^r(A,H^s(K,M))$  and  $H^n = H^n(G,M)$ . Given  $n \ge 1$ , if  $E_2^{0,s} = 0$  for all 0 < s < n, then we have  $H^i \cong E_2^{i,0}$  for all  $0 \le i < n$  and there is an exact sequence  $0 \longrightarrow E_2^{n,0} \longrightarrow H^n \longrightarrow E_2^{0,n} \longrightarrow E_2^{n+1,0} \longrightarrow H^{n+1}$ .

This follows from Proposition 4 and [3, XV, 5.12].

Corollary 2. Further, if  $H^0 = 0$ , then we have  $H^i = 0$  for all  $0 \le i < n$  and  $H^n \simeq E_2^{0,n}$ .

2. From now on we consider only (A). k means GF(p) and M will be given as in (A). (A) is also a restatement of a problem of Stammbach [6]:

Problem. Is there an i > 0 such that  $H^{i}(G,M) \neq 0$ ?

A few results have been known about this problem:

- (1) A theorem of Swan [7] shows that  $H^{i}(G,k) \neq 0$  for an infinite number of values of i > 0, where k is regarded as a trivial kG-module.
- (2) Gaschütz (cf. [6]): If M is an abelian complemented p-chief factor of G, then  $H^1(G,M) \neq 0$ .
- (3) If G is p-nilpotent, then  $B_0$  consists of .k only and  $H^1(G,k) \cong Hom(G,k) \neq 0$ .

Theorem 1. If G is p-solvable with  $G_p$  abelian, then there is an i  $(1 \le i \le |G:O_{p',p}(G)|)$  such that  $H^{2i}(G,M) \ne 0$ . Further, if  $G_p$  is an elementary abelian 2-group,  $H^{2i}$  can be replaced by  $H^i$ .

To prove this it suffices to consider the following typical situation. Given a faithful representation V of p'-group H over k, let G be the semi-direct product of V by H. Then M is a simple kH-module. Since  $V^* = Hom_k(V,k)$  is a faithful representation of H over k, there is an i  $(1 \le i \le |H|)$  such that M\* is a kH-sub-module of  $S^i(V^*)$  a symmetric power of V\*. (This was noted by Professor S.Endo. In fact kH is a direct summand of  $H^{ii} = S^i(V^*)$ .) It also follows from [2, Theorem 9.2 and Theorem 10.2] that  $S^i(V^*)$  is a kH-submodule of  $H^{2i}(V,k)$ . Thus  $Hom_k(M,M) \cong M^* \otimes M \cong H^{2i}(V,k) \otimes M \cong H^{2i}(V,M)$ . Considering

the set of invariant elements of these groups, we have  $0 \neq \operatorname{Hom}_{\mathrm{kH}}(M,M) \subseteq \operatorname{H}^{2i}(V,M)^{\mathrm{H}}$ . Since  $V = G_p \triangleleft G$ , there is an isomorphism  $\operatorname{H}^{2i}(V,M)^{\mathrm{H}} \cong \operatorname{H}^{2i}(G,M)$  [3] and therefore  $\operatorname{H}^{2i}(G,M) \neq 0$ .

Remark. The case where  $G_p$  is cyclic shows that the bound  $|G:O_{p^*,p}(G)|$  cannot be improved (see Theorem 2).

3. We end this note with the following calculations of cohomology.

Let G be an extension of a cyclic group K by an abelian group A. Suppose  $K_p \neq 1$ .  $K_p/(K_p)^p$  may be regarded as a 1-dimensional kG-module, with G-action given by inner automorphisms. We denote this by M. Let m be the least positive integer such that  $M^{(j)} = M \ \Omega \ \dots \ j \ \Omega M$   $\cong$  k. Then we can directly show that simple kG-modules lying in  $B_0$  are  $M^{(1)}, \ldots, M^{(m)}$ , which is a special case of Basmaji [1].

Theorem 2. Notations being as above, the cohomology of G with coefficients in  $M^{(1)}, \ldots, M^{(m)}$  is given as follows:

(i) The case where  $A_p = 1$ . For  $1 \le j \le m$  and for all integers i, we have

$$\hat{H}^{i}(G,M^{(j)}) \simeq \begin{cases} k & [i \equiv 2j-1,2j \pmod{2m}]\\ 0 & \text{otherwise} \end{cases}.$$

(ii) The case where  $A_p \neq 1$ . For  $1 \leq j \leq m-1$  we have  $H^{i}(G,M^{(j)}) \simeq \begin{cases} 0 & (0 \leq i \leq 2j-2) \\ k & (i=2j-1) \end{cases}$  and  $H^{i}(G,k) \neq 0$ .

To compute  $H^{i}(G,M^{(j)})$ , we may assume  $K = K_{p}$  by the five-term exact sequence of Hochschild and Serre. From the definition of the conjugation homomorphism we have the following isomorphisms of kA-modules: for  $1 \le j \le m$  and for all integers i,  $H^{2i-1}(K,M^{(j)}) \cong H^{2i}(K,M^{(j)}) \cong M^{(t)}$ , where  $1 \le t \le m$  and  $t \equiv j-i \pmod{m}$ . Then (i) follows from [3], since  $K = G_{p} \triangleleft G$ . If  $1 \le j \le m-1$ , (ii) is a direct consequence of Corollary 2. Finally  $H^{1}(G,k) \ne 0$  is clear.

Remark on (ii). If generators and relations of G are given,  $H^1(G,k)$  is easily computed. Also, by using the Hamada resolution [4], we have determined  $H^1(G,M^{(j)})$  for all  $1 \le j \le m$  and for all  $0 \le i \le 2m-1$ , when A is cyclic.

Detailed proofs will be given in a subsequent paper.

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# ON SOME INVARIANT SUBRINGS OF POLYNOMIAL RINGS IN POSITIVE CHARACTERISTICS

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Introduction. Let k be a field of characteristic p and G a finite subgroup of GL(V) where V is a finite dimensional vector space over k. Then G acts naturally on the symmetric algebra k(V) of V. It is well known that the ring  $k(V)^G$  consisting of all invariant polynomials in k(V) under this action of G is an affine normal domain.

We now consider a chain of conditions
polynomial ring 
hypersurface 
complete intersection

Gorenstein  $\Longrightarrow$  Cohen-Macaulay  $\Longrightarrow$  Buchsbaum on affine rings. We have already known necessary and sufficient conditions on G for the first, fourth, fifth or sixth condition to hold for  $k(V)^G$  if p=0 or (|G|, p)=1 (cf. [2, 3, 11, 16, 19]). For hypersurfaces or complete intersections, there are partial results which relate to semi-invariants of finite groups (cf. [17, 18, 20]). But we do not have such characterizations in the case where the order of G is divisible by p. For example there exist many finite groups G such that  $k(V)^G$  are not Buchsbaum rings.

In this paper we shall try to study the local properties and  $a(\cdot)$  of invariant subrings in positive characteristics (for definition of  $a(\cdot)$ , see [8]).

§1. Cyclic quotient singularities. Let  $G = \langle g \rangle^{\frac{1}{2}}$   $Z/p^mZ$  be a group of automorphisms of a finite dimensional vector space V over an algebraically closed field k of characteristic p>0. Then G acts naturally on an affine

variety V which is associated with the k-space V and we denote by V/G the quotient variety of V under this action of G. Obviously V/G has a singularity if and only if dim  $V^G \leq \dim V - 2$ . Furthermore we can show the following theorem:

Theorem 1.1 (cf. [5]). If x is the closed point of V/G induced from the origin of V, then

depth 
$$V/G,x = \min \{ \dim V^G + 2, \dim V \}$$
.

Especially V/G is a Cohen-Macaulay (consequently a Gorenstein) variety if and only if  $\dim V^G \ge \dim V - 2$ .

Proof. We show this by induction on dim V. Let  $\overline{V}$  be the dual module of V and choose a nonzero element y from  $\overline{V}^G$ . Then, from the short exact sequence

$$0 \longrightarrow k(\overline{V}) \xrightarrow{\bullet y} k(\overline{V}) \longrightarrow k(V') \longrightarrow 0$$

of kG-modules, we get a long exact sequence

$$(*) \qquad 0 \longrightarrow k(\overline{V})^{G} \longrightarrow k(\overline{V})^{G} \longrightarrow k(V')^{G} \longrightarrow H^{1}(G, k(\overline{V}))$$

$$\longrightarrow H^{1}(G, k(\overline{V})) \longrightarrow H^{1}(G, k(V')) \longrightarrow \cdots$$

of cohomology groups, where V' denotes the quotient module  $\overline{V}/ky$ . This can be regarded as a sequence in the category of finitely generated  $k(\overline{V})^G$ -modules. On the other hand, since G is unipotent, we can construct a transitive action of  $\mathbb{A}^r_k$  on the set consisting of closed points in the closed subvariety of V defined by the ideal of  $k(\overline{V})$  generated by  $(g-1)\overline{V}$  which is compatible with the action of G. Here  $r = \dim V - \dim (g-1)\overline{V}$ . Thus it is easy to see that  $H^1(G, k(V^1))_{V'} \cong H^1(G, k(V^1))_{V'}$ ,  $(i \ge 1)$ 

for w, w'  $\in$  supp  $H^{\dot{i}}(G, k(V')) \cap \{c \text{losed points of } V/G \}$  and  $H^{\dot{i}}(G, k(\overline{V}))_{\overline{z}} \cong H^{\dot{i}}(G, k(\overline{V}))_{z}$ ,  $(i \ge 1)$ 

for z,  $z' \in \text{supp } H^i(G, k(\overline{V})) \cap \{\text{closed points of } V/G\}$ . Because Cohen-Macaulay loci are open with respect to Zariski topology,  $H^i(G, k(V'))$  and  $H^i(G, k(\overline{V}))$  ( $i \ge 1$ ) are Cohen-Macaulay  $k(\overline{V})^G$ -modules. Let us consider the long exact sequences of local cohomology modules

with support  $\{x\}$ , where  $C_i$  are naturally defined by cutting the sequence (\*). Then our assertion follows from this, since

depth  $k(V')^G = \min \{ \dim V'^G + 2, \dim V \}$  by the induction hypothesis.

Remark 1.2. The completion  $\hat{\mathcal{O}}_{V/G,x}$  is a unique factorization domain, if V is the regular representation of G (cf. [6]). Griffith has proved in [9] that

$$\operatorname{Cl}(\mathcal{O}_{U/H_{\bullet}x}) \cong \operatorname{Cl}(\hat{\mathcal{O}}_{U/H_{\bullet}x})$$

if a linearly reductive affine algebraic group H acts linearly on an affine space U defined over an algebraically closed field.

Proposition 1.3 (cf. [5]). If H is a finite group of automorphisms of a vector space V, then

depth 
$$\mathcal{O}_{V/H,x} \ge \dim V^H + 2$$
,

where x denotes the closed point of V/H induced from the origin of  $V_{\bullet}$ 

Proof. It is easy to see that

# $\mathcal{O}_{V/H,x} \cong \mathcal{O}_{V/H,y}$

for any closed point y which is a specialization of the point of V/H induced from the ideal of  $k(\overline{V})$  generated by W. Here  $\overline{V}$  is the dual module of V and W'is a kH-submodule of  $\overline{V}$  such that  $\overline{V}/W$  is a trivial kH-module with dim  $\overline{V}/W = \dim V^H$ . Hence, using the upper semi-continuous theorem, we deduce from the Serre condition  $S_2$  of the normal variety V/H the inequality of (1.3).

Recently Almkvist and Fossum (C13) have determined the Hilbert series of  $k(\overline{V})^G$  when  $G \cong Z/pZ$  and  $\overline{V}$  are indecomposable.

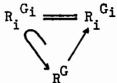
- §2. Unipotent groups. In this section k stands for the prime field of characteristic p>0 and R denotes the symmetric algebra k(V) of an n-dimensional vector space V over k.
- (V, G), which is called a couple, means a pair of a G-faithful kG-module V such that  $V/V^G$  is a non-zero trivial kG-module (consequently G is an elementary abelian p-group). The dimension of a couple (V, G) is defined to be dim  $V/V^G$ . We say that (U, H) is a subcouple of (V, G), if H is a subgroup of G and U is a kH-submodule of V. Furthermore, if subcouples  $(V_i, G_i)$   $(1 \le i \le m)$  of (V, G) satisfy  $G = \bigoplus_{1 \le i \le m} G_i$ ,  $V^G \subseteq V_i \subseteq V^G j$  for all  $1 \le i, j \le m$  with  $i \nmid j$  and  $V/V^G = \bigoplus_{1 \le i \le m} V_i/V^G$ , we say that (V, G) decomposes to them. The decomposable or indecomposable couples are defined in the natural way.
  - Lemma 2.1. If a couple (V, G) decomposes to  $(V_i, G)$

 $G_{i}$ ) (1  $\leq$  i  $\leq$  m), then the following conditions (1), (2) are equivalent:

- (1) RG is a polynomial ring.
- (2)  $R_i^{G_i}$  (1  $\leq$  i  $\leq$  m) are polynomial rings where each  $R_i$  is the symmetric algebra of  $V_i$ .

If (1) and (2) are satisfied, then
$$a(R^{G}) = \sum_{1 \le i \le m} a(R^{G_i}) + m(n-1) - \dim V^{G}.$$

Proof. For any couple (U, H), we can easily show that  $k(U)^H/(U^H)^H$  is a polynomial ring. On the other hand the natural  $kG_i$ -epimorphism  $V \longrightarrow V_i$  induces the commutative diagram



of k-algebras. The assertion follows from these facts.

The next theorem, which has been proved in [12], is fundamental in the theory of invariants of reducible groups over finite fields.

Theorem 2.2. Let (V, G) be an indecomposable couple. Then  $R^G$  is a polynomial ring if and only if (V, G) is one dimensional.

Using (2.2), we can completely determine abelian groups G such that  $R^G$  are polynomial rings (cf. [12]).

Theorem 2.3. Suppose that G is an abelian group generated by pseudo-reflections in GL(V) and the order of G is divisible by p. Then  $R^G$  is a polynomial ring if and

only if the  $kG_p$ -module V defines a couple (V,  $G_p$ ) which decomposes to one dimensional subcouples. Here  $G_p$  denotes the p-part of G.

 $\mathbb{R}^{\mathbb{G}}$  is not always a Cohen-Macaulay ring even if  $\mathbb{G}$  is an abelian group generated by pseudo-reflections in GL(V).

Example 2.4. Let  $S = k(\theta_{1 \le i \le 2d-1} \ kX_i)$  ( $d \ge 1$ ) and let  $e_{ij}$  ( $i \ne j$ ) denote elementary matrices in  $GL_{2d-1}(k)$ . Suppose that G is a subgroup of  $GL_{2d-1}(k)$  generated by the set

 $e_{d+1}$  1,  $e_{d+2}$  2, ...,  $e_{2d-1}$  d-1,  $e_{d+1}$   $d^+$  ... +  $e_{2d-1}$   $d^ d^-$  The elements of G act on S in the following way;

$$\begin{pmatrix} g(X_1) \\ \vdots \\ g(X_{2d-1}) \end{pmatrix} = (g_{i,j}) \begin{pmatrix} X_1 \\ \vdots \\ X_{2d-1} \end{pmatrix}$$

for  $g = (g_{ij})$  of G. Clearly G is an elementary abelian p-group generated by pseudo-reflections in  $GL(\theta_{1 \le i \le 2d-1} \ kX_i)$ , but  $S^G$  is a Cohen-Macaulay ring if and only if d < 4. For the sake of simplicity let us consider the case of d = 4. We assume that  $S^G$  is a Cohen-Macaulay ring and will show a contradiction. Put  $P = SX_1 + SX_2$  and N (resp. H) denotes the inertia group of P (resp.  $SX_1 + SX_2 + SX_3$ ). It is not difficult to see that  $S^G/P^G$  is normal. Then we have  $S^G/P^G = (S^N/P^N)^{G/N}$  and an exact sequence  $0 \longrightarrow H^1(G/N, P^N) \longrightarrow H^1(G/N, S^N)$ 

of cohomology groups. Put  $h = e_{54} + e_{64} + e_{74}$  and define A to be  $1 + h + \dots + h^{p-1}$ . Obviously  $(1 - h)(p^H)_p = 0$  and  $(1 - h)S^H \cap Ker A \cap P^H = (1 - h)P^H$ . Let  $U_1 = X_5^p$ .

 $X_1^{p-1}X_5$ ,  $U_2 = X_6^p - X_2^{p-1}X_6$  and  $Y = U_1 - U_2$ . Then Y is contained in  $(S^H)_p$  and so  $(1-h)Y \in (1-h)(S^H)_p \cap Ker A$   $\cap P^H$ . We see that (1-h)Y is non-zero, which is a contradiction.

Proposition 2.5. Let W be a kG-submodule of V and let H denote the inertia group of (W) under the natural action of G. If  $R^G$  is a polynomial ring, then  $R^H$  and  $R^G/(W)^G$  are polynomial rings and  $(W)^H$  is generated by  $(W)^G$  as an ideal of  $R^H$ .

Proof. By the definition of H we know that  $(W)^H$  is unramified over  $(W)^G$ . On the other hand we easily see that  $(\bar{k} \ \underline{\alpha}_k \ R^H)_M \cong (\bar{k} \ \underline{\alpha}_k \ R^H)_M$ , for any maximal ideals M, M' of  $\bar{k} \ \underline{\alpha}_k \ R^H$  which contain  $(\bar{k} \ \underline{\alpha}_k \ W)^H$ , where  $\bar{k}$  denotes the algebraic closure of k.  $R^H_{(W)}H$  is a regular local ring, and hence  $R^H$  is a polynomial ring. From Hironaka's lemma,  $R^H$  is a graded free  $R^G$ -module of rank [G:H]. Clearly H is a normal subgroup of G. Since G/H acts faithfully on  $R^H/(W)^H$  and  $R^G/(W)^G$  is contained in  $(R^H/(W)^H)^{G/H}$ ,  $R^H/(W)^H$  is also a graded free  $R^G/(W)^G$ -module of rank [G:H]. This implies that  $(W)^H$  is generated by  $(W)^G$  as an ideal of  $R^H$ . Because H acts trivially on V/W,  $R^H/(W)^H$  is always a polynomial ring. Therefore  $R^G/(W)^G$  is also a polynomial ring.

Suppose that G is a p-subgroup of GL(V) and  $\underline{X} = \{X_i : i \in I \}$  be a k-basis of V. The set  $\underline{X}$  is said to be G-admissible, if there is a family  $\{I_j : 1 \le j \le n \}$  of subsets of I with  $I_j \in I_{j+1}$  such that

$$\theta_{i \in I_i} kX_i (1 \le j \le n)$$

are kG-submodules of V. We introduce order in the set of all G-admissible k-bases of V defined as;  $X \leq Y$  if  $\prod_{i \in I} |GX_i| \leq \prod_{i \in I} |GY_i|.$ 

Further we set

$$a_{G}(V) = -\min \left\{ \prod_{i \in I} |GX_{i}| : \frac{X}{G-admissible basis of } V \right\}.$$

- (1) RG is a polynomial ring.
- (2) There exists an n-dimensional graded polynomial subalgebra  $S = k [f_1, ..., f_n]$  of  $R^G$  with

$$\prod_{1 \le i \le n} \deg f_i \le |G|$$

such that each  $(V_i) \cap S$  is generated by  $\{f_j : 1 \le j \le i\}$  as an ideal of S, where  $f_i$  are graded elements.

Using (2.5), we can prove this (cf. [13]).

The purpose of  $\S 2$  is to state the next result, which is a generalization of (2.2).

Theorem 2.7. The following conditions on (a p-group) G are equivalent:

- (1) RG is a polynomial ring.
- (2) There is a G-admissible k-basis  $\{X_i : i \in I\}$  of V which satisfies

$$\prod_{i \in I} |GX_i| = |G|.$$

In the proof of (2.7), (2.2) plays an essential role (cf. [13]).

Corollary 2.8. Suppose that RG is a Cohen-Macaulay ring. Then  $a_C(V) \leq a(R^C)$  and the equality holds if and only if RG is a polynomial ring.

This follows immediately from (2.7).

Relative invariants. Let  $R = \Theta_{i \ge 0} R_i$  be a **§3.** noetherian factorial graded integral domain over a field R = k of characteristic p and let G be a finite subgroup of Aut R whose elements preserve the graduation of R. For a prime ideal P of R,  $\underline{I}(P)$  stands for the inertia group of P under the natural action of G. Clearly  $\underline{I}(P)$ is equal to  $\underline{I}(\overline{P})$ , where  $\overline{P}$  is the maximal homogeneous ideal of R contained in P. If ht(P) = 1,  $\underline{T}(P)$  denotes the maximal subgroup of  $\underline{I}(P)$  which acts trivially on a generator of P, and let  $\{P_i : 1 \le i \le n\}$  be the set consisting of all prime ideals such that  $ht(P_i) = 1$  and  $\underline{I}(P_i)/\underline{T}(P_i)$ is non-trivial. The integers  $e_i = |\underline{I}(P_i)/\underline{T}(P_i)|$  (1  $\leq i \leq i$ n) are said to be orders of generalized reflections in G. Exchanging indices of  $P_i$ , we may assume that  $\bigcup_{1 \le i \le n} GP_i = \bigcup_{1 \le i \le m} GP_i.$ 

$$\bigcup_{1 \le i \le n} GP_i = \bigcup_{1 \le i \le m} GP_i.$$

Since  $\underline{\underline{I}}(P_i) = \underline{\underline{I}}(\overline{P}_i)$  and R is a noetherian factorial integral domain, we can choose irreducible homogeneous elements  $M_i$  from R such that  $P_i = \overline{P}_i = RM_i$ . Let  $\overline{D}$  be the 1-cocycle of G defined by

$$g \longrightarrow g(\prod_{1 \leq i \leq n} M_i) / \prod_{1 \leq i \leq n} M_i$$

and for  $\overline{X} \in \mathbb{Z}^1(G, k^*)$  put

$$f_{\overline{X}} = \prod_{1 \le i \le n} M_i^{t_i(\overline{X})}$$

where  $t_i(\overline{X}) = \inf \left\{ j \ge 0 : j \in \mathbb{Z}, \ \overline{X}(g) = \overline{D}(g)^j \right\}$  for all  $g \in \underline{I}(P_i)$ . Furthermore we put  $R_{\overline{X}} = \left\{ f \in \mathbb{R} : g(f) = \overline{X}(g)f \text{ for all } g \in \mathbb{G} \right\}$ ,

whose elements are known as  $\overline{X}$ -invariants (invariants relative to  $\overline{X}$  or relative invariants).

Proposition 3.1. The sequence  $0 \longrightarrow H_0^1(G,k^*) \longrightarrow H^1(G,k^*) \xrightarrow{t} (\mathbb{Z}/e_1\mathbb{Z},...\mathbb{Z}/e_m\mathbb{Z}) \longrightarrow 0$  is exact. Here  $H_0^1(G,k^*)$  denotes the set  $\{\overline{X} \mod B^1(G,k^*) : \overline{X} \in \mathbb{Z}^1(G,k^*) \text{ with } t_i(\overline{X}) = 0 \ (1 \le i \le n) \}$  and the map t is defined by  $t(\overline{X}) = (t_1(\overline{X}) \mod e_1\mathbb{Z}, ..., t_m(\overline{X}) \mod e_m\mathbb{Z}).$ 

Proof. For an integer  $1 \le i_0 \le m$  let F be the homogeneous element

$$\prod_{GP_i=GP_i} M_i$$

Obviously F is a relative invariant of G and so it defines a 1-cocycle  $\overline{Y}(i_0)$  of G. Then we can easily show that  $g(F) = \overline{D}(g)F$  and

$$\left\{i: 1 \leq i \leq m \text{ with } t_i(\overline{Y}(i_0)) \neq 0\right\} = \left\{i_0\right\}.$$

The assertion follows from this.

By (3.1) we get a generalization of [4].

Theorem 3.2 (cf. [14]). The following conditions on a 1-cocycle  $\overline{X}$  of G are equivalent:

- (1)  $R_{\overline{X}}$  is a graded free  $R^{G}$ -module.
- (2) There is a unit  $u_{\overline{X}}$  of R such that  $u_{\overline{X}} f_{\overline{X}}$  is contained in  $R_{\overline{X}}$  .

If (1) and (2) hold, then we have  $R_{\overline{X}} = R^G u_{\overline{X}} f_{\overline{X}}$ .

Assume that G acts trivially on k and we denote by  $G_p$  a p-Sylow subgroup of G if p>0 and otherwise put  $G_p = \{1\}$ . The set  $\underline{A}(G)$  is defined to be the union of  $\underline{T}(P_i)$  (1  $\leq$  i  $\leq$  n) and  $\underline{B}(G)$  denotes the set of all generalized reflections (for definition, see [11]) in G.

Corollary 3.3. Suppose that  $G_p$  is normal in G and  $R^{Gp}$  is a Cohen-Macaulay ring. Then we have  $a(R^G) \leq a(R^{Gp}) - |\underline{B}(G)| + |\underline{A}(G)|.$ 

Proof. By the Galois descent we see that  $R^Gp$  is a factorial domain, and hence  $R^Gp$  is a Gorenstein ring. Using [7, 10], we have an isomorphism  $K_{RG} \cong (R^Gp)_{\overline{X}}$ ,  $(a(R^Gp))$  of graded  $R^G$ -modules for some linear character  $\overline{X}$ , of G/Gp (where  $K_{RG}$  is the canonical module of  $R^G$ ). The module  $(R^Gp)_{\overline{X}}$ , can be embedded in  $Rf_{\overline{X}}$  if  $\overline{X}$  is the linear character of G which is associated with  $\overline{X}$ . Therefore the inequality of (3.3) follows from (3.2).

Hereafter we will consider the following special case: Let R be the symmetric algebra k(V) of a vector space V which is finite dimensional over k and let G be a finite subgroup of GL(V).

Corollary 3.4. Suppose that ([G], p) = 1 if p is

positive. Then we have

$$a(R^G) \leq - (\dim V + |\underline{B}(G)| - 1).$$

The equality holds if and only if  $R^G$  is a Gorenstein ring.

Proof. By the result of Watanabe [19] we see that  $K_RG \cong R_{\det -1}(a(R))$  as graded  $R^G$ -modules. But there is a natural embedding  $R_{\det -1}(a(R)) \hookrightarrow R(a(R)) f_{\det -1}$  which preserves the graduations. From the definition of  $a(R^G)$  and the equality  $\deg f_{\det -1} = |\underline{B}(G)| - 1$ , we deduce that

$$a(R^G) \le a(R) - \text{deg } f_{\text{det}-1}$$
  
= - (\dim V + |\beta(G)| - 1).

By (3.2)  $R^G$  is a Gorenstein ring if and only if  $R^G f_{det-1} = R_{det-1}$ . Hence the remainder of this corollary is evident.

In [7] Goto has already obtained (3.4) with the additional hypothesis that the ground field k is the complex number field C, using some properties of Hilbert series of invariant subrings defined over C (his proof is interesting).

Proposition 3.5. Suppose that p is positive and  $G_p$  is normal in G. If G is realizable on the prime field of characteristic p and  $R^{Gp}$  is a polynomial ring, then the following conditions are equivalent:

- (1) RG is a Gorenstein ring.
- (2)  $a(R^G) = a_{G_D}(V) |\underline{B}(G)| + |\underline{A}(G)|$ .

Especially in the case where  $\underline{A}(G) = \underline{B}(G)$ ,  $R^G$  is a Gorenstein ring if and only if G is contained in SL(V).

Proof(outline). Clearly  $K_{RG}$  is isomorphic to  $(R^Gp)_{\overline{X}}(a(R^Gp))$  for some linear character  $\overline{X}$  of  $G/G_p$  in the

category of graded  $R^G$ -modules. We regard  $\overline{X}$  as a linear character of G and need only to show that  $\overline{X} = \det^{-1}$ . Exchanging a regular system of homogeneous parameters of  $R^GP$ , we obtain a natural  $kG/G_p$ -isomorphism  $R^GP \longrightarrow k(U)$  for a  $kG/G_p$ -module U. Then it follows from  $K_{RG} \cong k(U)_{\det -1}$  that  $\overline{X}$  is equal to  $\det^{-1}$ . (We can also prove (3.5), extending the action of  $G/G_p$  to the fibre of the blowing-up of Spec  $R^GP$  with center Spec k.)

Example 3.6. Assume that dim V = 2 and the order of G is divisible by p>3. Then we have  $a(R^G) \le \begin{cases} |\underline{A}(G)| - |\underline{B}(G)| - c(G) & \text{if G is irreducible} \\ |\underline{A}(G)| - |\underline{B}(G)| - 1 - |G_p| & \text{otherwise} \end{cases}$ 

where  $G_p$  is a p-Sylow subgroup of G and  $c(G) = |SL(2, p^f)|/(p^{2f}-p^f)+p^{2f}-p^f$  for a maximal subgroup  $SL(2,p^f)$  of G generated by transvections (if G is primitive irreducible, then maximal subgroups generated by transvections in G are conjugate to  $SL(2,p^f)$ ). The equality holds if and only if  $R^G$  is a hypersurface. Further, in the case where  $\underline{A}(G) = \underline{B}(G)$ ,  $R^G$  is a hypersurface if and only if G is contained in SL(V).

Proposition 3.7. Suppose that p is positive and G is a p-group. Then  $R^G$  is a Cohen-Macaulay ring if and only if  $R^G$  is a Buchsbaum ring.

Proof. It suffices to prove the "if" part of this proposition. So we assume that  $R^G$  is not a Cohen-Macaulay ring. Then it is well known that  $(\bar{k} \ \underline{\alpha}_k \ R^G)_{\bar{k}} \ \underline{\alpha}_k \ R^G_+$  is not

a Cohen-Macaulay local ring, where R<sub>+</sub> is the unique homogeneous maximal ideal of R. Since G is unipotent, there is a non-trivial  $G_a(\overline{k})$ -action on the set of closed points of  $\operatorname{Spec}(\overline{k}\ \mathfrak{A}_k\ R)$  which commutes with the natural action of G. Therefore  $G_a(\overline{k})$  acts transitively on the set of closed points of  $\operatorname{Spec}(\overline{k}\ \mathfrak{A}_k\ R^G)$  which are specializations of a homogeneous prime ideal P of  $\overline{k}\ \mathfrak{A}_k\ R^G$  with  $\operatorname{ht}(P) = \dim R - 1$ . Cohen-Macaulay loci of affine rings are open with respect to Zariski topology and hence we deduce that the localization of  $\overline{k}\ \mathfrak{A}_k\ R^G$  at P is not a Cohen-Macaulay ring. It follows from this that  $(\overline{k}\ \mathfrak{A}_k\ R^G)_{\overline{k}\ \mathfrak{A}_k}\ R^G$  is not a Buchsbaum ring. By the faithfully flat descent of noetherian graded algebras, we see that  $R^G$  is not a Buchsbaum ring.

Now we suppose that G is a subgroup generated by pseudo-reflections and the order of G is a unit in k. Let N be a normal subgroup of G such that the quotient group G/N is abelian and let  $\overline{t}: \operatorname{Hom}(G, \overline{k}^*) \longrightarrow \operatorname{GL}_m(\overline{k})$  denote the homomorphism defined by

$$\overline{X} \longmapsto diag(\overline{t}_1(\overline{X}), \ldots, \overline{t}_m(\overline{X}))$$

where  $\overline{t}_i(\overline{X})$  is the image of  $t_i(\overline{X})$  mod  $e_iZ$  under the fixed embedding  $Z/e_iZ$   $\subset_i\overline{k}^*$  as groups.

Lemma 3.8. There is an isomorphism  $\overline{u}:G^{ab}\longrightarrow \text{Hom}(G,\overline{k}^*)$  of groups such that for any element g of  $\underline{I}(P_i)$   $(1 \le i \le n)$  if  $GP_i = GP_j$   $(1 \le j \le m)$   $\overline{t}_j(\overline{u}(gG^i)) = \det g$  and otherwise  $\overline{t}_j(\overline{u}(gG^i)) = 1$  where  $G^{ab}$  is the commutator quotient of G and  $G^i$  is the commutator subgroup of G.

Proof. Let  $g_i$  be a generator of  $\underline{I}(P_i)$  and let

 $\overline{d}: \underline{I}(P_1) \oplus \cdots \oplus \underline{I}(P_m) \longrightarrow G^{ab}$  be a homomorphism defined by

$$\overline{d}(\underbrace{(1,\ldots,1}_{i-1 \text{ times}},g_i,1,\ldots,1)) = g_iG' (1 \le i \le m).$$

Since G is generated by pseudo-reflections,  $\overline{d}$  is an isomorphism. On the other hand we deduce from the definition of  $\overline{t}$  that Im  $\overline{t}$  is generated by  $\left\{ \operatorname{diag}(\det g_1, 1, \ldots, 1), \ldots, \operatorname{diag}(1, \ldots, 1, \det g_m) \right\}$ . Let  $\overline{q} : \underline{I}(P_1) \oplus \cdots \oplus \underline{I}(P_m) \longrightarrow \operatorname{Im} \overline{t}$  be an isomorphism such that  $\overline{q}((1, \ldots, 1, g_1, 1, \ldots, 1)) = \operatorname{diag}(1, \ldots, 1, \det g_1, 1, \ldots, 1)$ . i-1 times

Then  $\bar{u} = \bar{t}^{-1} \bar{q} \bar{d}^{-1}$  is an isomorphism as desired.

We define a homomorphism  $\bar{v}: \mathbb{N} \longrightarrow GL_m(\bar{k})$  such that the diagram

$$\begin{array}{ccc} \operatorname{Hom}(G, \overline{k}) & \xrightarrow{\overline{t}} \operatorname{GL}_{m}(\overline{k}) \\ \uparrow^{\overline{u}} & \uparrow^{\overline{v}} \\ G^{ab} & & \stackrel{\operatorname{can}_{\bullet}}{\longleftarrow} N \end{array}$$

is commutative.

Theorem 3.9.  $\mathbb{R}^{\mathbb{N}}$  is a complete intersection if and only if  $\overline{\mathbf{v}}(\mathbb{N})$  coinsides with the group  $G_{\overline{\mathbf{D}}}(\overline{\mathbf{k}})$  in  $GL_{\overline{\mathbf{m}}}(\overline{\mathbf{k}})$  for some datum D (see [20], for definitions).

 $R_{+}^{G}$  is generated by an  $R^{N}$ -regular sequence (cf. [2, 3, 16]), and hence, to prove this theorem we consider the artinian ring  $R^{N}/R_{+}^{G}R^{N}$ .  $R^{N}/R_{+}^{G}R^{N}$  is an epimorphic image of an affine normal semigroup ring. This viewpoint is important in the proof of (3.9) (cf. [14, 15]).

Corollary 3.10. If  $Hom(G, \overline{k}^*)$  is cyclic, then  $R^N$ 

is a hypersurface.

Proof. By (3.1) we see that G acts transitively on  $\underline{B}(G)$ . Let X(N) be a subgroup of  $Hom(G, \overline{k}^*)$  which satisfies

$$\bigcap_{\overline{X} \in X(N)} \text{Ker } \overline{X} = N$$

and let  $\overline{Y}$  be an element of X(N) such that  $\deg f_{\overline{Y}}$  is minimal. Then it is easy to show that  $R^{\overline{N}}$  is generated by  $f_{\overline{Y}}$  as an algebra over  $R^{\overline{G}}$ .

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## INJECTIVE DIMENSION OF GENERALIZED TRIANGULAR MATRIX RINGS

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This note is an abstract of the author's paper [7] and includes the modifications of some results in it.

Throughout this note, let R and S denote rings with identity, M an (S, R)-bimodule, and  $\Lambda$  a generalized triangular matrix ring defined by  $_{S}M_{R}$ , i.e.,

$$\Lambda = \begin{pmatrix} R & O \\ M & S \end{pmatrix}$$

with the addition by element-wise and the multiplication by

For an R-module  $U_R$ ,  $id(U_R)$  (fd( $U_R$ )) denotes the injective (flat) dimension of  $U_R$ , respectively.

The estimation of  $\mathrm{id}(\Lambda_\Lambda)$  in terms of  $\mathrm{id}(R_R)$ ,  $\mathrm{id}(M_R)$ , and  $\mathrm{id}(S_S)$  is determined by Reiten [6] when  $\mathrm{id}(\Lambda_\Lambda)=0$ . Furthermore, in [8], Zaks shows that the injective dimension of an n × n lower triangular matrix ring over a semiprimary ring R is just equal to  $\mathrm{id}(R_R)+1$ . An example is constructed to show that the condition on R being semiprimary is redundant in his theorem. In this note, we observe general cases.

Let 
$$e = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$
  $\epsilon$   $\Lambda$  and  $e' = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$   $\epsilon$   $\Lambda$ . Then  $R \simeq e \Lambda e$ ,  $M \simeq e' \Lambda e$ , and  $S \simeq e' \Lambda e'$ .

Lemma 1. Let X be a right  $\Lambda$ -module with X = Xe.

- (1) If  $X_R$  is projective, then  $X_{\Lambda}$  is projective.
- (2)  $\operatorname{Ext}_{\Lambda}^{1}(X_{\Lambda}, \Lambda_{\Lambda}) \simeq \operatorname{Ext}_{R}^{1}(X_{R}, \Lambda e_{R}).$

Lemma 2. Let Y be a right A-module.

- (1) If  $Y_{\Lambda}$  is projective, then  $Ye'_{S}$  is projective. (2)  $\operatorname{Ext}_{\Lambda}^{i}(Y_{\Lambda}, e'\Lambda/e'\Lambda e_{\Lambda}) \simeq \operatorname{Ext}_{S}^{i}(Ye'_{S}, S_{S}).$

has the form of  $\begin{pmatrix} X & 0 \\ KM \end{pmatrix}_R \subseteq X_R \subseteq \begin{pmatrix} R \\ M \end{pmatrix}_R$ , where K is a right ideal of S and Lemma 3 [4, Proposition 4.1]. Every right ideal of A

Theorem 4. Assume that fd(<sub>C</sub>M) is finite. Then we have  $\max(id(R_R), id(M_R), id(S_S) - fd(S_M)) \le id(\Lambda_A) \le$  $\max(\max(id(R_p), id(M_p)) + fd(_SM), id(S_S) - 1) + 1.$ 

The following is essentially in [1, p. 346].

Lemma 5. Let  $A_S$ ,  $_SB_\Lambda$ , and  $C_\Lambda$  be modules such that  $\operatorname{Ext}_{\Lambda}^{\mathbf{i}}(B, C) = 0 \ (i > 0) \ \text{and} \ \operatorname{Tor}_{\mathbf{i}}^{\mathbf{S}}(\Lambda, B) = 0 \ (i > 0). \ \text{Then}$ there holds

$$\operatorname{Ext}_{S}^{n}(A, \operatorname{Hom}_{\Lambda}(B, C)) \simeq \operatorname{Ext}_{\Lambda}^{n}(A \otimes_{S} B, C).$$

The proof of the following lemma is a short proof of [7, Lemma 6].

Lemma 6. Assume that  $S^M$  is flat. Let  $\mathbf{f}_{\mathbf{i}}^{\#} = \operatorname{Ext}_{\Lambda}^{\mathbf{i}}(\mathbf{f}, \mathbf{1}_{\Lambda}) : \operatorname{Ext}_{\Lambda}^{\mathbf{i}}(\Lambda / \begin{bmatrix} R & 0 \\ KM & K \end{bmatrix}, \Lambda) \rightarrow \operatorname{Ext}_{\Lambda}^{\mathbf{i}}(\begin{bmatrix} R & 0 \\ M & K \end{bmatrix} / \begin{bmatrix} R & 0 \\ KM & K \end{bmatrix}, \Lambda)$ be the induced map by the inclusion map  $f: \begin{pmatrix} R & 0 \\ M & K \end{pmatrix} / \begin{pmatrix} R & 0 \\ KM & K \end{pmatrix} \longrightarrow \Lambda / \begin{pmatrix} R & 0 \\ KM & K \end{pmatrix}, \text{ where K is a right ideal of }$ 

Proof. Since  $f_{i}^{\#} = f_{i1}^{\#} \oplus f_{i2}^{\#}$ , where  $f_{i1}^{\#} : \operatorname{Ext}_{\Lambda}^{i}(\Lambda / {R \ 0 \atop KM \ K}, e\Lambda) \longrightarrow \operatorname{Ext}_{\Lambda}^{i}({R \ 0 \atop M \ K} / {R \ 0 \atop KM \ K}, e\Lambda)$  and  $f_{i2}^{\#} : \operatorname{Ext}_{\Lambda}^{i}(\Lambda / {R \ 0 \atop KM \ K}, e'\Lambda) \longrightarrow \operatorname{Ext}_{\Lambda}^{i}({R \ 0 \atop M \ K} / {R \ 0 \atop KM \ K}, e'\Lambda)$ , and  $\operatorname{Ext}_{\Lambda}^{i}(\Lambda / {R \ 0 \atop KM \ K}, e\Lambda) \cong \operatorname{Ext}_{S}^{i}(S/K, \operatorname{Hom}_{\Lambda}(e'\Lambda, e\Lambda))$   $\cong \operatorname{Ext}_{S}^{i}(S/K, e\Lambda e') = 0,$ 

we have  $\operatorname{Im} f_{i}^{\#} = \operatorname{Im} f_{i2}^{\#} \subseteq \operatorname{Ext}_{\Lambda}^{i}(\begin{bmatrix} R & 0 \\ M & K \end{bmatrix} / \begin{bmatrix} R & 0 \\ KM & K \end{bmatrix}, e'\Lambda).$ 

Proposition 7. Assume that  $_S^M$  is flat and put  $\max(id(R_R), id(M_R)) = i$ .

- (1) If  $id(S_S) > i$ , then  $id(\Lambda_{\Lambda}) = id(S_S)$ .
- (2) If  $id(S_S) < i \neq 0$ , then  $id(\Lambda_{\Lambda}) = i$  if and only if  $Ext_{R}^{i}(M/KM, R \oplus M) = 0$  for every right ideal K of S.
- (3) If  $id(S_S) = i \neq 0$  and if  $Ext_R^i(M/KM, R \oplus M) = 0$
- for every right ideal K of S, then  $id(\Lambda_{\Lambda}) = i$ . (4) If  $id(S_S) = i \neq 0$  and if  $Ext_R^i(M/KM, R) \neq 0$  for

some right ideal K of S, then  $id(\Lambda_{\Lambda}) = i + 1$ .

Let K be a right ideal of S. Since

 $T(S/K, M) = Hom_S(S/K, Hom_R(M, M)) \simeq Hom_R(S/K \otimes_S M, M),$ 

we have the following spectral sequences

$$E_2^{p,q} = \text{Ext}_S^p(S/K, \text{Ext}_R^q(M, M)) \Longrightarrow R^n T(S/K, M)$$

and

$$\tilde{E}_{2}^{p,q} = \operatorname{Ext}_{R}^{q}(\operatorname{Tor}_{p}^{S}(S/K, M), M) \Longrightarrow_{q} R^{n}T(S/K, M),$$

where  $R^nT$  is the n-th derived functor of T. Then we have the edge homomorphisms  $\mathbb{E}_2^{n,0} \longrightarrow R^nT(S/K,\,M)$  and  $R^nT(S/K,\,M) \longrightarrow \tilde{\mathbb{E}}_2^{0,n}$  (cf. [1, Chapter XVI]). We define  $\alpha: \operatorname{Ext}_S^n(S/K,\,\operatorname{Hom}_R(M,\,M)) \longrightarrow \operatorname{Ext}_R^n(S/K \otimes_S M,\,M)$  as the composition of the above edge homomorphisms. Let  $\mu: S \longrightarrow \operatorname{End}(M_R)$  be the canonical map and  $\rho: S/K \otimes_S M \cong M/KM$  and put  $g = \operatorname{Ext}_R^i(\rho,\,M) \cdot \alpha \cdot \operatorname{Ext}_S^i(S/K,\,\mu)$ .

Proposition 8. Assume that  $_SM$  is flat and let g be as above. If  $id(S_S) \leq \max(id(R_R), id(M_R)) = i \neq 0$ , then  $id(\Lambda_\Lambda) = i$  if and only if  $\operatorname{Ext}_R^i(M/KM, R) = 0$  and  $g : \operatorname{Ext}_S^i(S/K, S) \longrightarrow \operatorname{Ext}_R^i(M/KM, M)$  is an epimorphism for every right ideal K of S.

Proof. Let  $\begin{pmatrix} X & 0 \\ K \end{pmatrix}$  be a right ideal of  $\Lambda$ . Considering the following exact commutative diagrams

and

$$\operatorname{Ext}_{S}^{i}(S/K, S) \xrightarrow{g} \operatorname{Ext}_{R}^{i}(M/KM, M)$$

$$\downarrow^{\circ} \operatorname{Ext}_{R}^{i}(M/KM, R) \oplus \operatorname{Ext}_{R}^{i}(M/KM, M) \approx \operatorname{Ext}_{R}^{i}(M/KM, R \oplus M)$$

$$\operatorname{Ext}_{\Lambda}^{i}(\Lambda/\binom{R \ O}{KM \ K}, \Lambda) \xrightarrow{} \operatorname{Ext}_{\Lambda}^{i}(\binom{R \ O}{M \ K}, \Lambda) \xrightarrow{} \operatorname{Ext}_{\Lambda}^{i}(\binom{R \ O}{M \ K}, \Lambda),$$

$$\to \operatorname{Ext}_{\Lambda}^{i+1}(\Lambda/\binom{R \ O}{M \ K}, \Lambda) \xrightarrow{} \operatorname{Ext}_{\Lambda}^{i+1}(\Lambda/\binom{R \ O}{M \ K}, \Lambda),$$

where  $\nu$  is the injection map, we conclude that  $\mathrm{id}(\Lambda_{\Lambda})=\mathrm{i}$  iff  $\mathrm{Ext}_{\Lambda}^{i+1}(\Lambda/{R\choose M},\Lambda)=0$  for every right ideal K of S iff  $\mathrm{Ext}_{R}^{i}(M/\mathrm{KM},R)=0$  for every right ideal K of S and g is an epimorphism, for  $\mathrm{Ext}_{\Lambda}^{i+1}(\Lambda/{R\choose KM},\Lambda)\simeq\mathrm{Ext}_{S}^{i}(S/K,S)=0$ .

Proposition 9. Assume that  $_SM$  is flat,  $\operatorname{Ext}^n_R(M, M) = 0$  (n > 0), and  $S = \operatorname{End}(M_R)$ . If  $\operatorname{id}(S_S) \leq \max(\operatorname{id}(R_R), \operatorname{id}(M_R))$   $= i \neq 0$ , then  $\operatorname{id}(\Lambda_\Lambda) = i$  if and only if  $\operatorname{id}(M^*_S) \leq i - 1$ , where  $M^* = \operatorname{Hom}_R(M, R)$ .

Proof. Let  $\begin{pmatrix} X & 0 \\ K \end{pmatrix}$  be a right ideal of  $\Lambda$ . Considering the following exact commutative diagrams

and

where  $\psi_1$  and  $\psi_2$  are isomorphisms by Lemma 5,  $f_i^\#$  as in Proposition 7, and 1 the injection map. We conclude that  $\mathrm{id}(\Lambda_\Lambda) = i$  if and only if  $\mathrm{Ext}_\Lambda^{i+1}(\Lambda/{R \choose M K}, \Lambda) = 0$  for every right ideal K of S iff for every right ideal K of S,

$$\operatorname{Ext}_{\Lambda}^{\mathbf{i}}(\begin{pmatrix} R & 0 \\ M & K \end{pmatrix} / \begin{pmatrix} R & 0 \\ KM & K \end{pmatrix}, e_{\Lambda}) \approx \operatorname{Ext}_{R}^{\mathbf{i}}(M/KM, R)$$

$$\approx \operatorname{Ext}_{S}^{\mathbf{i}}(S/K, \operatorname{Hom}_{R}(M, R)) = 0,$$

since Im  $f_i^\# \subseteq \operatorname{Ext}_{\Lambda}^i({R \choose M \choose K}/{R \choose KM \choose K}$ , e'\Lambda) by Lemma 6.

Proposition 10 [6, Theorem 1.4.1]. Let  $\mu: S \longrightarrow \text{End}(M_{\hbox{\scriptsize R}}) \text{ be the canonical map. Then } \Lambda_{\hbox{\scriptsize $\Lambda$}} \text{ is injective if and only if}$ 

- (1)  $R_R$ ,  $M_R$ , and  $\ell_S(M) = \{s \in S; sm = 0 \text{ for every } m \in M\}$  are all injective.
  - (2) µ is an epimorphism.
  - (3)  $\text{Hom}_{R}(M_{R}, R_{R}) = 0.$

Example 11. Let R be an infinite direct product of fields, I a maximal ideal containing their direct sum, and M = R/I. Let

$$\Lambda = \begin{pmatrix} R & O \\ M & \text{End}(M_R) \end{pmatrix}.$$

Then  $R_R$ ,  $M_R$  and  $\Lambda_\Lambda$  is injective.

Example 12. Let  $\Lambda$  be a 2 × 2 lower triangular matrix ring over a ring R  $\neq$  0 with id(R<sub>R</sub>) = i <  $\infty$ . Then id( $\Lambda_{\Lambda}$ ) = i + 1.

Example 13. Let

$$\Lambda = \begin{pmatrix} Z & O & O \\ Q & Q & O \\ \hline Q & Q & Z \end{pmatrix}, \quad R = \begin{pmatrix} Z & O \\ Q & Q \end{pmatrix}.$$

Then  $id(R_R) = 2$ ,  $id((Q Q)_R) = 0$ ,  $id(Z_Z) = 1$  and  $id(\Lambda_{\Lambda}) = 2$ .

Example 14. Let

$$\Lambda = \begin{pmatrix} Z & O & O \\ Z & Z & O \\ \hline O & Q & Z \end{pmatrix}, \quad R = \begin{pmatrix} Z & O \\ Z & Z \end{pmatrix},$$

where  $(0\ Q)_Z$  can be considered as a right R-module via  $\sigma: R \longrightarrow Z \begin{pmatrix} z & 0 \\ z' & z'' \end{pmatrix} \longmapsto z''$ . Then  $id(R_R) = 2$ ,  $id(Z_Z) = 1$ ,  $id((0\ Q)_R) = 0$  and  $id(\Lambda_\Lambda) = 3$ .

Example 15. Let  $\Lambda_n(n>2)$  be an  $n\times n$  lower triangular matrix ring over a ring R  $\neq$  0 with  $id(R_R) = i < \infty$ . Since  $\Lambda_n$  can be considered as

$$\begin{bmatrix} R & 0 & \cdots & 0 \\ R & & & & \\ \vdots & & & & \\ R & & & & \end{bmatrix}$$

Then  $id(\Lambda_{n\Lambda_n}) = id(\Lambda_{n-1\Lambda_{n-1}}) = \cdots = id(\Lambda_{2\Lambda_2}) = i + 1.$ 

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# WEAKLY CODIVISIBLE MODULES AND STRONGLY n-PROJECTIVE MODULES

### Masahisa SATO

In this note, ring R means a ring with unit and modules mean unital right R-modules.

- P.E. Bland [3] has introduced the notion of the strongly M-projective modules as the generalization of well known notions of projective modules, quasi-projective modules and M-projective modules. (For the definitions, see [1] and [2].) But for the insight of these modules, we generalize this notion as follows. Let  $\eta$  be a subclass of R-modules. A module  $K_R$  is called a strongly  $\eta$ -projective module if  $\operatorname{Hom}_R(K_R,-)$  preserves the exactness of any epimorphism  $L_R \longrightarrow H_R$  such that  $L_R = \Pi$   $M_I$  for some  $M_I \in \eta$ . Also we call that  $N_R$  has a strongly  $\eta$ -projective cover if there is an epimorphism  $f: K_R \longrightarrow N_R$  satisfying the following properties;
  - (1)  $K_R$  is a strongly  $\eta$ -projective module.
  - (2) Ker(f) is small in  $K_p$ .
- (3) For  $0 \neq x \in Ker(f)$ , there are R-module  $M_R$  in  $\eta$  and R-homomorphism  $f: K_R \xrightarrow{} M_R$  such that  $f(x) \neq 0$ .

In the case that  $\eta = \{M_{\widehat{R}}\}$ , it coinsides with the original definitions of the strongly  $\eta$ -projective module and strongly  $\eta$ -projective cover due to Bland.

In [3], he has proved that if factor modules of modules cogenerated by  $M_R$  are also cogenerated by  $M_R$ , then a module  $N_R$  has the strongly M-projective cover iff  $N/(N\cdot Ann(M_R))$  has the projective cover as an  $R/Ann(M_R)$ -module. In the half of this note, we show

the above equivalent condition holds without the assumption for  $M_R$ . The proof for this result is due to the method of torsion theories. So we define fundamental notions of torsion theories. A subfunctor of the identity functor between the category of right R-modules is called a preradical. For a preradical t, we denote

$$T_{t} = \{ L_{R} \mid t(L_{R}) = L_{R} \}$$
 and 
$$F_{t} = \{ L_{R} \mid t(L_{R}) = 0 \}$$

whose elements are called torsion modules and torsion-free modules respectively. A preradical t is called a radical if  $t(L_R/t(L_R)) = 0$  for any R-module  $L_R$ .  $(T_t,F_t)$  is called a pre-torsion theory in the case t is a radical.

For a subclass  $\eta$  of R-modules, we define  $t_{\eta}$  by  $t_{\eta}(L_{R}) = \bigcap \{ \text{Ker}(f) \mid f \in \text{Hom}_{R}(L_{R}, K_{R}), K_{R} \in \eta \}$ 

for any R-module  $L_R$ . H. Katayama [4] has remarked that for a preradical t, t is a radical iff  $t=t_\eta$  for some class  $\eta$  of R-modules. By this result, we can translate the notions of strongly M-projective modules and strongly M-projective covers into the notions of torsion theories. (See Lemma 1.)

For a preradical t, a module  $N_R$  is called a codivisible (resp. weakly codivisible) module with respect to  $(T_t, F_t)$  if  $\operatorname{Hom}_R(N_R, -)$  preserves the exactness of an epimorphism h:  $L_R \xrightarrow{} H_R$  such that  $\operatorname{Ker}(h) \in F_t$  (resp.  $L_R \in F_t$ ). We call a module  $N_R$  has a weakly codivisible (resp. codivisible) cover with respect to  $(T_t, F_t)$  if there exists an epimorphism  $f \colon K_R \xrightarrow{} N_R$  such that (1)  $K_R$  is weakly codivisible

(2)  $Ker(f)_R$  is small in  $K_R$ .

(resp. codivisible).

(3) 
$$t(K_R) \cap Ker(f)_R = 0$$
  
(resp.  $Ker(f)_R \in F_t$ ).

In the last half of this note, we characterize the pre-torsion theory with respect to which every weakly codivisible module is codivisible. It will be shown that this pre-torsion theory is just coincided with the pseudo-hereditary pre-torsion theory (i.e. any submodule of  $t(R_R)$  is torsion). By this theorem, we can determine, in Corollary 9, the pre-torsion theory with the condition that every module is codivisible. (This has been partially solved by K.M. Rangaswarmy [6. Corollary 15] in the case  $(T_+, F_+)$  is pseudo-hereditary torsion theory.)

Last we characterize a module with the colocalization for a given torsion theory and we shall show that those modules are those  $M_R$  such that  $t(M_R) \cdot t(R_R) = t(M_R)$ .

### 1. ON STRONGLY n-PROJECTIVE MODULES.

Lemma 1. Let  $\eta$  be a subclass of R-modules and t=t. Then it holds that

- (a) A module  $N_R$  is strongly  $\eta$ -projective iff  $N_R$  is weakly codivisible with respect to  $(T_+,F_+)$ .
- (b) The property of (3) in the definition of a strongly  $\eta$ -projective cover is equivalent to  $t(K_p) \bigwedge Ker(f)_p = 0$ .

(c) 
$$t(R_R) = Ann(\eta) = \Lambda \{ Ann(M_R) \mid M_R \in \eta \}.$$

The next lemma plays an important role in this note.

Lemma 2. For a radical t, it holds that

- (a)  $N_R \cdot t(R_R) \subset t(N_R)$  for any R-module  $N_R$ .
- (b) If N<sub>R</sub> is weakly codivisible, then  $t(N_R) = N_R \cdot t(R_R)$ .
- (c) Let  $0 \longrightarrow A_R \longrightarrow B_R \longrightarrow C_R \longrightarrow 0$  be an exact sequence. If  $C_R$  is codivisible, then  $t(A_R) = t(B_R) \cap A_R$ .

Next is a slight generalization of [3. Proposition 3].

Theorem 3. For a radical t, the following statements are equivalent.

- (1) A module  $\mathbf{N}_{\mathbf{R}}$  is weakly codivisible with respect to  $(T_+\,,F_+\,)$  .
  - (2)  $N_R/(N_R \cdot t(R_R))$  is a projective  $R/t(R_R)$ -module.

The following theorem is a main theorem of this section.

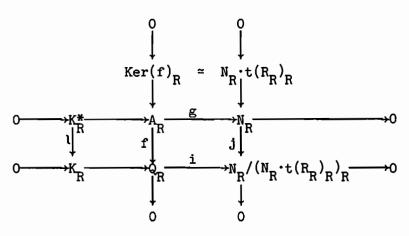
Theorem 4. Let t be a radical such that t = t $_\eta$  for some class  $\eta$  of R-modules. Then the following statements are equivalent for a module  $N_R$ .

- (1)  $N_{R}$  has a strongly n-projective cover.
- (2)  $\mathbf{N}_{\mathbf{R}}$  has a weakly codivisible cover.
- (3)  $N_R/(N_R \cdot t(R_R))$  has a projective cover as an  $R/t(R_R)$ -module.

The important part of the proof is (2) implies (1), so we give an outline of the proof.

Let  $0 \longrightarrow K \longrightarrow Q \longrightarrow N_R/(N_R \cdot t(R_R)) \longrightarrow 0$  be a projective cover of  $N_R/(N_R \cdot t(R_R))$  as an  $R/t(R_R)$ -module and

j:  $N_R \longrightarrow N_R / (N_R \cdot t(R_R))_R$  a canonical map. We consider a fibre product (i. e. pull back)  $(A_R, f, g)$  of  $(i,j,N_R / (N_R \cdot t(R_R)))$ , then we have a commutative diagram with exact rows and columns;



We can show that

- (a)  $Ker(f) = A_R \cdot t(R_R) = t(A_R)$ .
- (b)  $A_R$  is weakly codivisible by Theorem 3.
- (c)  $K_R^* \wedge t(A_R)_R = K_R^* \wedge Ker(f)_R = 0$ .
- (d)  $K_R^*$  is small in  $A_R$ .

Here we only prove (d). Assume  $L_R + K_R^* = A_R$  for  $L_R \subset A_R$ . Then  $K_R^* \cdot t(R_R) + L_R \cdot t(R_R) = A_R \cdot t(R_R)$ . Since  $K_R \cdot t(R_R) = 0$ ,  $K_R^* \cdot t(R_R) = 0$ , hence  $L_R \cdot t(R_R) = A_R \cdot t(R_R)$ . Thus  $Ker(f) = A_R \cdot t(R_R) = L_R \cdot t(R_R) \subset L_R$ . On the other hand,  $f(K_R^*) + f(L_R) = f(A_R) = Q_R$ . That is  $K_R + f(L_R) = Q_R$ . Since K is small in Q as an  $R/t(R_R)$ -module, of course as an R-module, so  $f(L_R) = Q_R$ , that is  $L_R + Ker(f)_R = A_R$ . Hence  $L_R = A_R$ .

## 2. ON PSEUDO-HEREDITARY PRE-TORSION THEORIES.

If  $t = t_{\{E\}}$  for some injective module  $E_R$ , (in this case,  $(T_t, F_t)$  is well known as a hereditary torsion theory), then it is clearly shown that weakly codivisible modules coincide with codivisible modules. So in this section, we determine a pre-torsion theory with respect to which weakly codivisible modules are codivisible modules. It turns out that this pre-torsion theory must be pseudohereditary defined as any submodule of  $t(R_R)_R$  is torsion. Also we consider a following property (\*) for a module  $N_R$ ;

(\*) If  $K_R \subset N_R \cdot t(R_R)_R$ , then  $K_R \in T_t$ . For a pseudo-hereditary pre-torsion theory, we have the following theorem.

Theorem 5. Assume t is a radical. The following statements are equivalent.

- (1)  $(T_t, F_t)$  is a pseudo-hereditary pre-torsion theory.
- (2) Every module has the property (\*).
- (3) Every weakly codivisible module has the property (\*).
  - (4) Every codivisible module has the property (\*).
- (5) A module  $N_R$  such that  $t(N_R)_R = N_R \cdot t(R_R)_R$  has the property (\*).
- (6) If  $t(N_R)_R = N_R \cdot t(R_R)_R$ , then  $t(K_R) = K_R \cap t(N_R)_R$  for any submodule  $K_R$  of  $N_R$ .

Next we consider the following property (\*\*) for a module  ${\rm N}_{\rm R}.$ 

(\*\*) Any submodule of  $N_R \cdot t(R_R)_R$  has no non-zero torsion-free submodules.

Theorem 6. The following assertions are equivalent for a radical t.

- (1) Every weakly codivisible module is codivisible.
- (2) Every module has the property (\*\*).
- (3) Every cyclic module has the property (\*\*).
- (4) Every weakly codivisible module has the property (\*\*).
  - (5) For every codivisible module  $N_R$ , it holds that (a)  $N_R$  has the property (\*\*).
    - (b)  $N_R/t(K_R)$  is codivisible for any  $K_R \subset N_R$ .
- (6) Every module  $N_R$  such that  $t(N_R)_R = N_R \cdot t(R_R)_R$  has the property (\*\*).
- (7) If  $N_R$  is weakly codivisible, then  $t(K_R)_R = t(N_R)_R \wedge K_R$  for any submodule  $K_R$  of  $N_R$ .
- (8) If  $N_R$  is codivisible, then  $t(N_R)_R \wedge K_R = t(K_R)_R$  for any submodule  $K_R$  of  $N_R$ .

Next theorem is a main theorem of this section.

Theorem 7. The properties in Theorem 5 and Theorem 6 are equivalent.

Proof. We only show the properties in Theorem 6 implies those in Theorem 5 since the other part is clear.

Assume  $I_R$  is a right ideal contained in  $t(R_R)_R$ . Since  $I_R/t(I_R)_R \subset (R_R/t(I_R)_R)_R \cdot t(R_R)_R$ ,  $I_R/t(I_R)_R \in T_t$ by Theorem 6 (2). Hence  $I_R/t(I_R)_R \in T_t \cap F_t$ , so  $I_R/t(I_R)_R = 0$ . This means  $I_R = t(I_R)_R$ . From the above theorems, we have the next corollary. This gives a complete solution of the property [8. Theorem 15].

Corollary 8. Assume t is a radical. Then the following statements are equivalent.

- (1) Every module is codivisible.
- (2) It holds that
  - (a)  $R/t(R_p)$  is a semi-simple artinian ring.
  - (b)  $(T_{\pm}, F_{\pm})$  is pseudo-hereditary.

An example of a pseudo-hereditary torsion theory is ones such that  $t(R_R)_R = 0$ . Next example gives a non-pseudo-hereditary torsion theory and also gives non-codivisible but weakly codivisible modules.

Example 9. Let Z be a ring of integers and p a prime number. We put  $M_Z = Z_Z/(p \cdot Z)_Z$  and  $t = t_{\{M\}}$ . Then it holds that

- (1)  $(T_+, F_+)$  is not pseudo-hereditary.
- (2)  $Z/t(Z_Z)$  is a semi-simple artinian ring. (In fact  $t(Z_Z) = p \cdot Z$ .)
  - (3) Every Z-module is weakly codivisible.
  - (4)  $Z/(p\cdot Z)_Z$  has not a codivisible cover.
    - 3. THE MODULES WITH THE COLOCALIZATION.

For a radical t, we say that  $M_R$  has the colocalization with respect to  $(T_t, F_t)$  if there exists an R-homomorphism f:  $N_R \longrightarrow M_R$  such that

(1)  $\operatorname{Ker}(f)_{R} \in F_{t}$  and  $\operatorname{Cok}(f)_{R} \in F_{t}$ .

- (2) N<sub>R</sub> is codivisible.
- (3)  $N_R$  is torsion.

Theorem 10. Let t be a radical. Assume for a codivisible module  ${\rm A_R}$  and its submodule  ${\rm B_R}$ ,  ${\rm A_R/t(B_R)}_R$  is codivisible. Then the following statements are equivalent.

- (1)  $M_R$  has the colocalization.
- (2)  $\operatorname{Hom}_{R}(t(M_{R})_{R},L_{R}/T_{R})=0$  for any torsion-free module  $L_{R}$  and its submodule  $T_{R}$ .
  - (3)  $t(M_R)_R \in T_t$  and  $t(M_R)_R$  is weakly codivisible.
  - (4)  $t(M_R)_R \cdot t(R_R)_R = t(M_R)_R$ .

Remark: In the above theorem, the equivalences of (2), (3) and (4) is valid without the assumption for  $A_R$ . Also this assumption is equivalent to  $t(t(B_R))_R = t(B_R)_R$  by Lemma 2 (c), so if t is idempotent, this assumption is naturally satisfied.

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