

ALTERNATIVE POLARIZATIONS OF BOREL FIXED IDEALS AND ELIAHOU-KERVAIRE TYPE RESOLUTION

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1. INTRODUCTION

Let $S := \mathbb{k}[x_1, \dots, x_n]$ be a polynomial ring over a field \mathbb{k} . For a monomial ideal $I \subset S$, $G(I)$ denotes the set of minimal (monomial) generators of I . We say a monomial ideal $I \subset S$ is *Borel fixed* (or *strongly stable*), if $\mathfrak{m} \in G(I)$, $x_i | \mathfrak{m}$ and $j < i$ imply $(x_j/x_i) \cdot \mathfrak{m} \in I$. Borel fixed ideals are important, since they appear as the *generic initial ideals* of homogeneous ideals (if $\text{char}(\mathbb{k}) = 0$).

A squarefree monomial ideal I is said to be *squarefree strongly stable*, if $\mathfrak{m} \in G(I)$, $x_i | \mathfrak{m}$, $x_j \nmid \mathfrak{m}$ and $j < i$ imply $(x_j/x_i) \cdot \mathfrak{m} \in I$. Any monomial $\mathfrak{m} \in S$ with $\deg(\mathfrak{m}) = e$ has a unique expression

$$(1.1) \quad \mathfrak{m} = \prod_{i=1}^e x_{\alpha_i} \quad \text{with} \quad 1 \leq \alpha_1 \leq \alpha_2 \leq \dots \leq \alpha_e \leq n.$$

Now we can consider the squarefree monomial

$$\mathfrak{m}^{\text{sq}} = \prod_{i=1}^e x_{\alpha_i + i - 1}$$

in the “larger” polynomial ring $T = \mathbb{k}[x_1, \dots, x_N]$ with $N \gg 0$. If $I \subset S$ is Borel fixed, then $I^{\text{sq}} := (\mathfrak{m}^{\text{sq}} \mid \mathfrak{m} \in G(I)) \subset T$ is squarefree strongly stable. Moreover, for a Borel fixed ideal I and all i, j , we have $\beta_{i,j}^S(I) = \beta_{i,j}^T(I^{\text{sq}})$. This operation plays a role in the *shifting theory* for simplicial complexes (see [1]).

A minimal free resolution of a Borel fixed ideal I has been constructed by Eliahou and Kervaire [7]. While the minimal free resolution is unique up to isomorphism, its “description” depends on the choice of a free basis, and further analysis of the minimal free resolution is still an interesting problem. See, for example, [2, 9, 10, 11, 13]. In this paper, we will give a new approach which is applicable to both I and I^{sq} . Our main tool is the “alternative” polarization $\mathbf{b}\text{-pol}(I)$ of I .

Let

$$\tilde{S} := \mathbb{k}[x_{i,j} \mid 1 \leq i \leq n, 1 \leq j \leq d]$$

be the polynomial ring, and set

$$\Theta := \{x_{i,1} - x_{i,j} \mid 1 \leq i \leq n, 2 \leq j \leq d\} \subset \tilde{S}.$$

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Then there is an isomorphism $\tilde{S}/(\Theta) \cong S$ induced by $\tilde{S} \ni x_{i,j} \mapsto x_i \in S$. Throughout this paper, \tilde{S} and Θ are used in this meaning.

Assume that $\mathfrak{m} \in G(I)$ has the expression (1.1). If $\deg(\mathfrak{m}) (= e) \leq d$, we set

$$(1.2) \quad \mathbf{b}\text{-pol}(\mathfrak{m}) = \prod_{i=1}^e x_{\alpha_i, i} \in \tilde{S}.$$

Note that $\mathbf{b}\text{-pol}(\mathfrak{m})$ is a squarefree monomial. If there is no danger of confusion, $\mathbf{b}\text{-pol}(\mathfrak{m})$ is denoted by $\tilde{\mathfrak{m}}$. If $\mathfrak{m} = \prod_{i=1}^n x_i^{a_i}$, then we have

$$\tilde{\mathfrak{m}} (= \mathbf{b}\text{-pol}(\mathfrak{m})) = \prod_{\substack{1 \leq i \leq n \\ b_{i-1}+1 \leq j \leq b_i}} x_{i,j} \in \tilde{S}, \quad \text{where } b_i := \sum_{l=1}^i a_l.$$

If $\deg(\mathfrak{m}) \leq d$ for all $\mathfrak{m} \in G(I)$, we set

$$\mathbf{b}\text{-pol}(I) := (\mathbf{b}\text{-pol}(\mathfrak{m}) \mid \mathfrak{m} \in G(I)) \subset \tilde{S}.$$

The second author ([16]) showed that if I is Borel fixed, then $\tilde{I} := \mathbf{b}\text{-pol}(I)$ is a ‘‘polarization’’ of I , that is, Θ forms an \tilde{S}/\tilde{I} -regular sequence with the natural isomorphism

$$\tilde{S}/(\tilde{I} + (\Theta)) \cong S/I.$$

Note that $\mathbf{b}\text{-pol}(-)$ does not give a polarization for a general monomial ideal, and is essentially different from the standard polarization. Moreover,

$$\Theta' = \{x_{i,j} - x_{i+1,j-1} \mid 1 \leq i < n, 1 < j \leq d\} \subset \tilde{S}$$

forms an \tilde{S}/\tilde{I} -regular sequence too, and we have $\tilde{S}/(\tilde{I} + (\Theta')) \cong T/I^{\text{sq}}$ through $\tilde{S} \ni x_{i,j} \mapsto x_{i+j-1} \in T$ (if we adjust the value of $N = \dim T$). The equation $\beta_{i,j}^S(I) = \beta_{i,j}^T(I^{\text{sq}})$ mentioned above easily follows from this observation.

In this paper, we will construct a minimal \tilde{S} -free resolution \tilde{P}_\bullet of \tilde{S}/\tilde{I} , which is analogous to the Eliahou-Kervaire resolution of S/I . However, their description can *not* be lifted to \tilde{I} , and we need modification. Clearly, $\tilde{P}_\bullet \otimes_{\tilde{S}} \tilde{S}/(\Theta)$ and $\tilde{P}_\bullet \otimes_{\tilde{S}} \tilde{S}/(\Theta')$ give the minimal free resolutions of S/I and T/I^{sq} respectively.

Under the assumption that a Borel fixed ideal I is generated in one degree (i.e., all elements of $G(I)$ have the same degree), Nagel and Reiner [13] constructed $\tilde{I} = \mathbf{b}\text{-pol}(I)$, and described a minimal \tilde{S} -free resolution of \tilde{I} explicitly. Their resolution is equivalent to our description. In this sense, our results are generalizations of those in [13].

In [2], Batzies and Welker tried to construct a minimal free resolutions of monomial ideals J using Forman’s *discrete Morse theory* ([8]). If J is *shellable* (i.e., has *linear quotients*, in the sense of [9]), their method works, and we have a *Batzies-Welker type* minimal free resolution. However, it is very hard to compute their resolution explicitly.

A Borel fixed ideal I and its polarization $\tilde{I} = \mathbf{b}\text{-pol}(I)$ is shellable. We will show that our resolution \tilde{P}_\bullet of \tilde{S}/\tilde{I} and the induced resolutions of S/I and T/I^{sq} are Batzies-Welker type. In particular, these resolutions are cellular. As far as the authors know, an *explicit* description of a Batzies-Welker type resolution of a general Borel fixed ideal has never been obtained before. Finally, we show that the CW complex supporting \tilde{P}_\bullet is *regular*.

2. THE ELIAHOU-KERVAIRE TYPE RESOLUTION OF $\tilde{S}/\mathbf{b}\text{-pol}(I)$

Throughout the rest of the paper, I is a Borel fixed monomial ideal with $\deg \mathbf{m} \leq d$ for all $\mathbf{m} \in G(I)$. For the definitions of the alternative polarization $\mathbf{b}\text{-pol}(I)$ of I and related concepts, consult the previous section. For a monomial $\mathbf{m} = \prod_{i=1}^n x_i^{a_i} \in S$, set $\mu(\mathbf{m}) := \min\{i \mid a_i > 0\}$ and $\nu(\mathbf{m}) := \max\{i \mid a_i > 0\}$. In [7], it is shown that any monomial $\mathbf{m} \in I$ has a unique expression $\mathbf{m} = \mathbf{m}_1 \cdot \mathbf{m}_2$ with $\nu(\mathbf{m}_1) \leq \mu(\mathbf{m}_2)$ and $\mathbf{m}_1 \in G(I)$. Following [7], we set $g(\mathbf{m}) := \mathbf{m}_1$. For i with $i < \nu(\mathbf{m})$, let

$$\mathbf{b}_i(\mathbf{m}) = (x_i/x_k) \cdot \mathbf{m}, \text{ where } k := \min\{j \mid a_j > 0, j > i\}.$$

Since I is Borel fixed, $\mathbf{m} \in I$ implies $\mathbf{b}_i(\mathbf{m}) \in I$.

Definition 1 ([14, Definition 2.1]). For a finite subset $\tilde{F} = \{(i_1, j_1), (i_2, j_2), \dots, (i_q, j_q)\}$ of $\mathbb{N} \times \mathbb{N}$ and a monomial $\mathbf{m} = \prod_{i=1}^e x_{\alpha_i} = \prod_{i=1}^n x_i^{a_i} \in G(I)$ with $1 \leq \alpha_1 \leq \alpha_2 \leq \dots \leq \alpha_e \leq n$, we say the pair $(\tilde{F}, \tilde{\mathbf{m}})$ is *admissible* (for $\mathbf{b}\text{-pol}(I)$), if the following are satisfied:

- (a) $1 \leq i_1 < i_2 < \dots < i_q < \nu(\mathbf{m})$,
- (b) $j_r = \max\{l \mid \alpha_l \leq i_r\} + 1$ (equivalently, $j_r = 1 + \sum_{l=1}^{i_r} a_l$) for all r .

For $\mathbf{m} \in G(I)$, the pair $(\emptyset, \tilde{\mathbf{m}})$ is also admissible.

The following are fundamental properties of admissible pairs.

Lemma 2. *Let $(\tilde{F}, \tilde{\mathbf{m}})$ be an admissible pair with $\tilde{F} = \{(i_1, j_1), \dots, (i_q, j_q)\}$ and $\mathbf{m} = \prod x_i^{a_i} \in G(I)$. Then we have the following.*

- (i) $j_1 \leq j_2 \leq \dots \leq j_q$.
- (ii) $x_{k, j_r} \cdot \mathbf{b}\text{-pol}(\mathbf{b}_{i_r}(\mathbf{m})) = x_{i_r, j_r} \cdot \mathbf{b}\text{-pol}(\mathbf{m})$, where $k = \min\{l \mid l > i_r, a_l > 0\}$.

For $\mathbf{m} \in G(I)$ and an integer i with $1 \leq i < \nu(\mathbf{m})$, set $\mathbf{m}_{\langle i \rangle} := g(\mathbf{b}_i(\mathbf{m}))$ and $\tilde{\mathbf{m}}_{\langle i \rangle} := \mathbf{b}\text{-pol}(\mathbf{m}_{\langle i \rangle})$. If $i \geq \nu(\mathbf{m})$, we set $\mathbf{m}_{\langle i \rangle} := \mathbf{m}$ for the convenience. In the situation of Lemma 2, $\tilde{\mathbf{m}}_{\langle i_r \rangle}$ divides $x_{i_r, j_r} \cdot \tilde{\mathbf{m}}$ for all $1 \leq r \leq q$.

For $\tilde{F} = \{(i_1, j_1), \dots, (i_q, j_q)\}$ and r with $1 \leq r \leq q$, set $\tilde{F}_r := \tilde{F} \setminus \{(i_r, j_r)\}$, and for an admissible pair $(\tilde{F}, \tilde{\mathbf{m}})$ for $\mathbf{b}\text{-pol}(I)$,

$$B(\tilde{F}, \tilde{\mathbf{m}}) := \{r \mid (\tilde{F}_r, \tilde{\mathbf{m}}_{\langle i_r \rangle}) \text{ is admissible}\}.$$

Lemma 3. *Let $(\tilde{F}, \tilde{\mathbf{m}})$ be as in Lemma 2.*

- (i) *For all r with $1 \leq r \leq q$, $(\tilde{F}_r, \tilde{\mathbf{m}})$ is admissible.*
- (ii) *We always have $q \in B(\tilde{F}, \tilde{\mathbf{m}})$.*
- (iii) *Assume that $(\tilde{F}_r, \tilde{\mathbf{m}}_{\langle i_r \rangle})$ satisfies the condition (a) of Definition 1. Then $r \in B(\tilde{F}, \tilde{\mathbf{m}})$ if and only if either $j_r < j_{r+1}$ or $r = q$.*
- (iv) *For r, s with $1 \leq r < s \leq q$ and $j_r < j_s$, we have $\mathbf{b}_{i_r}(\mathbf{b}_{i_s}(\mathbf{m})) = \mathbf{b}_{i_s}(\mathbf{b}_{i_r}(\mathbf{m}))$ and hence $(\tilde{\mathbf{m}}_{\langle i_r \rangle})_{\langle i_s \rangle} = (\tilde{\mathbf{m}}_{\langle i_s \rangle})_{\langle i_r \rangle}$.*
- (v) *For r, s with $1 \leq r < s \leq q$ and $j_r = j_s$, we have $\mathbf{b}_{i_r}(\mathbf{m}) = \mathbf{b}_{i_r}(\mathbf{b}_{i_s}(\mathbf{m}))$ and hence $\tilde{\mathbf{m}}_{\langle i_r \rangle} = (\tilde{\mathbf{m}}_{\langle i_s \rangle})_{\langle i_r \rangle}$.*

Example 4. Let $I \subset S = \mathbb{k}[x_1, x_2, x_3, x_4]$ be the smallest Borel fixed ideal containing $\mathbf{m} = (x_1)^2 x_3 x_4$. In this case, $\mathbf{m}'_{\langle i \rangle} = g(\mathbf{b}_i(\mathbf{m}'))$ for all $\mathbf{m}' \in G(I)$. Hence, we have $\mathbf{m}_{\langle 1 \rangle} = (x_1)^3 x_4$, $\mathbf{m}_{\langle 2 \rangle} = (x_1)^2 x_2 x_4$ and $\mathbf{m}_{\langle 3 \rangle} = (x_1)^2 (x_3)^2$. The following 3 pairs are all admissible.

- $(\tilde{F}, \tilde{\mathfrak{m}}) = (\{(1, 3), (2, 3), (3, 4)\}, x_{1,1} x_{1,2} x_{3,3} x_{4,4})$
- $(\tilde{F}_2, \tilde{\mathfrak{m}}_{(2)}) = (\{(1, 3), (3, 4)\}, x_{1,1} x_{1,2} x_{2,3} x_{4,4})$
- $(\tilde{F}_3, \tilde{\mathfrak{m}}_{(3)}) = (\{(1, 3), (2, 3)\}, x_{1,1} x_{1,2} x_{3,3} x_{3,4})$

(For this \tilde{F} , $i_r = r$ holds and the reader should be careful). However, $(\tilde{F}_1, \tilde{\mathfrak{m}}_{(1)}) = (\{(2, 3), (3, 4)\}, x_{1,1} x_{1,2} x_{1,3} x_{4,4})$ does not satisfy the condition (b) of Definition 1. Hence $B(\tilde{F}, \tilde{\mathfrak{m}}) = \{2, 3\}$.

The diagrams of (admissible) pairs are very useful for better understanding. To draw a diagram of $(\tilde{F}, \tilde{\mathfrak{m}})$, we put a white square in the (i, j) -th position if $(i, j) \in \tilde{F}$ and a black square there if $x_{i,j}$ divides $\tilde{\mathfrak{m}}$. If \tilde{F} is maximal among \tilde{F}' such that $(\tilde{F}', \tilde{\mathfrak{m}})$ is admissible, then the diagram of $(\tilde{F}, \tilde{\mathfrak{m}})$ forms a “right side down stairs” (see the leftmost and rightmost diagrams of the table below). If $(\tilde{F}, \tilde{\mathfrak{m}})$ is admissible but \tilde{F} is not maximal, then some white squares are removed from the diagram for the maximal case. If the pair is admissible, there is a unique black square in each column and this is the “lowest” of the squares in the column.

If $(\tilde{F}, \tilde{\mathfrak{m}})$ is admissible and $r \in B(\tilde{F}, \tilde{\mathfrak{m}})$, then we can get the diagram of $(\tilde{F}_r, \tilde{\mathfrak{m}}_{(i_r)})$ from that of $(\tilde{F}, \tilde{\mathfrak{m}})$ by the following procedure.

- Remove the (sole) black square in the j_r -th column.
- Replace the white square in the (i_r, j_r) -th position by a black one.
- If $\mathfrak{m}_{(i_r)} \neq \mathfrak{b}_{i_r}(\mathfrak{m})$, erase some squares from the lower-right of the diagram. (This step does not occur in the next table.)

j 1 2 3 4 1 <i>i</i>	j 1 2 3 4 1 <i>i</i>	j 1 2 3 4 1 <i>i</i>	j 1 2 3 4 1 <i>i</i>
$(\tilde{F}, \tilde{\mathfrak{m}})$	$(\tilde{F}_1, \tilde{\mathfrak{m}}_{(1)})$	$(\tilde{F}_2, \tilde{\mathfrak{m}}_{(2)})$	$(\tilde{F}_3, \tilde{\mathfrak{m}}_{(3)})$
admissible	not admissible	admissible	admissible

Next let I' be the smallest Borel fixed ideal containing $\mathfrak{m} = (x_1)^2 x_3 x_4$ and $(x_1)^2 x_2$. For $\tilde{F} = \{(1, 3), (2, 3), (3, 4)\}$, $(\tilde{F}, \tilde{\mathfrak{m}})$ is admissible again. However $\tilde{\mathfrak{m}}_{(2)} = (x_1)^2 x_2$ in this time, and $(\tilde{F}_2, \tilde{\mathfrak{m}}_{(2)}) = (\{(1, 3), (3, 4)\}, x_{1,1} x_{1,2} x_{2,3})$ is no longer admissible. In fact, it does not satisfy (a) of Definition 1. Hence $B(\tilde{F}, \tilde{\mathfrak{m}}) = \{3\}$ for $\mathfrak{b}\text{-pol}(I')$.

For $F = \{i_1, \dots, i_q\} \subset \mathbb{N}$ with $i_1 < \dots < i_q$ and $\mathfrak{m} \in G(I)$, Eliahou-Kervaire call the pair (F, \mathfrak{m}) admissible for I , if $i_q < \nu(\mathfrak{m})$. In this case, there is a unique sequence j_1, \dots, j_q such that $(\tilde{F}, \tilde{\mathfrak{m}})$ is admissible for \tilde{I} , where $\tilde{F} = \{(i_1, j_1), \dots, (i_q, j_q)\}$. In this way, there is a one-to-one correspondence between the admissible pairs for I and those of \tilde{I} . As the free summands of the Eliahou-Kervaire resolution of I are indexed by the admissible pairs for I , our resolution of \tilde{I} are indexed by the admissible pairs for \tilde{I} .

We will define a $\mathbb{Z}^{n \times d}$ -graded chain complex \tilde{P}_\bullet of free \tilde{S} -modules as follows. First, set $\tilde{P}_0 := \tilde{S}$. For each $q \geq 1$, we set

$$A_q := \text{the set of admissible pairs } (\tilde{F}, \tilde{\mathbf{m}}) \text{ for } \mathbf{b}\text{-pol}(I) \text{ with } \#\tilde{F} = q,$$

and

$$\tilde{P}_q := \bigoplus_{(\tilde{F}, \tilde{\mathbf{m}}) \in A_{q-1}} \tilde{S} e(\tilde{F}, \tilde{\mathbf{m}}),$$

where $e(\tilde{F}, \tilde{\mathbf{m}})$ is a basis element with

$$\deg \left(e(\tilde{F}, \tilde{\mathbf{m}}) \right) = \deg \left(\tilde{\mathbf{m}} \times \prod_{(i_r, j_r) \in \tilde{F}} x_{i_r, j_r} \right) \in \mathbb{Z}^{n \times d}.$$

We define the \tilde{S} -homomorphism $\partial : \tilde{P}_q \rightarrow \tilde{P}_{q-1}$ for $q \geq 2$ so that $e(\tilde{F}, \tilde{\mathbf{m}})$ with $\tilde{F} = \{(i_1, j_1), \dots, (i_q, j_q)\}$ is sent to

$$\sum_{1 \leq r \leq q} (-1)^r \cdot x_{i_r, j_r} \cdot e(\tilde{F}_r, \tilde{\mathbf{m}}) - \sum_{r \in B(\tilde{F}, \tilde{\mathbf{m}})} (-1)^r \cdot \frac{x_{i_r, j_r} \cdot \tilde{\mathbf{m}}}{\tilde{\mathbf{m}}_{(i_r)}} \cdot e(\tilde{F}_r, \tilde{\mathbf{m}}_{(i_r)}),$$

and $\partial : \tilde{P}_1 \rightarrow \tilde{P}_0$ by $e(\emptyset, \tilde{\mathbf{m}}) \mapsto \tilde{\mathbf{m}} \in \tilde{S} = \tilde{P}_0$. Clearly, ∂ is a $\mathbb{Z}^{n \times d}$ -graded homomorphism.

Set

$$\tilde{P}_\bullet : \dots \xrightarrow{\partial} \tilde{P}_i \xrightarrow{\partial} \dots \xrightarrow{\partial} \tilde{P}_1 \xrightarrow{\partial} \tilde{P}_0 \longrightarrow 0.$$

Theorem 5 ([14, Theorem 2.6]). *The complex \tilde{P}_\bullet is a $\mathbb{Z}^{n \times d}$ -graded minimal \tilde{S} -free resolution for $\tilde{S}/\mathbf{b}\text{-pol}(I)$.*

Sketch of Proof. Calculation using Lemma 3 shows that $\partial \circ \partial(e(\tilde{F}, \tilde{\mathbf{m}})) = 0$ for each admissible pair $(\tilde{F}, \tilde{\mathbf{m}})$. That is, \tilde{P}_\bullet is a chain complex.

Let $I = (\mathbf{m}_1, \dots, \mathbf{m}_t)$ with $\mathbf{m}_1 \succ \dots \succ \mathbf{m}_t$, and set $I_r := (\mathbf{m}_1, \dots, \mathbf{m}_r)$. Here \succ is the lexicographic order with $x_1 \succ x_2 \succ \dots \succ x_n$. Then I_r are also Borel fixed. The acyclicity of the complex \tilde{P} can be shown inductively by means of mapping cones. \square

Remark 6. Herzog and Takayama [9] explicitly gave a minimal free resolution of a monomial ideal with *linear quotients* admitting a *regular decomposition function*. A Borel fixed ideal I satisfies this property. However, while \tilde{I} has linear quotients, the decomposition function can not be regular. Hence the method of [9] is not applicable to our case.

3. APPLICATIONS AND REMARKS

Let $I \subset S$ be a Borel fixed ideal, and $\Theta \subset \tilde{S}$ the sequence defined in Introduction. As remarked before, there is a one-to-one correspondence between the admissible pairs for \tilde{I} and those for I , and if $(\tilde{F}, \tilde{\mathbf{m}})$ corresponds to (F, \mathbf{m}) then $\#\tilde{F} = \#F$. Hence we have

$$(3.1) \quad \beta_{i,j}^{\tilde{S}}(\tilde{I}) = \beta_{i,j}^S(I)$$

for all i, j , where S and \tilde{S} are considered to be \mathbb{Z} -graded. Of course, this equation is clear, if one knows the fact that \tilde{I} is a polarization of I ([16, Theorem 3.4]). Conversely, we can show this fact by the equation (3.1) and [13, Lemma 6.9].

Corollary 7 ([16, Theorem 3.4]). *The ideal \tilde{I} is a polarization of I .*

The next result also follows from [13, Lemma 6.9].

Corollary 8. $\tilde{P}_\bullet \otimes_{\tilde{S}} \tilde{S}/(\Theta)$ *is a minimal S -free resolution of S/I .*

Remark 9. (1) The correspondence between the admissible pairs for I and those for \tilde{I} , does *not* give a chain map between the Eliahou-Kervaire resolution and our $\tilde{P}_\bullet \otimes_{\tilde{S}} \tilde{S}/(\Theta)$. In this sense, two resolutions are not the same. See Example 21 below.

(2) The *lcm lattice* of I and that of \tilde{I} are not isomorphic in general. Recall that the lcm-lattice of a monomial ideal J is the set $\text{LCM}(J) := \{\text{lcm}\{\mathfrak{m} \mid \mathfrak{m} \in \sigma\} \mid \sigma \subset G(J)\}$ with the order given by divisibility. Clearly, $\text{LCM}(J)$ is a lattice. For the Borel fixed ideal $I = (x^2, xy, xz, y^2, yz)$, we have $xy \vee xz = xy \vee yz = xz \vee yz = xyz$ in $\text{LCM}(I)$. However, $\tilde{x}y \vee \tilde{x}z = x_1y_2z_2$, $\tilde{x}y \vee \tilde{y}z = x_1y_1y_2z_2$ and $\tilde{x}z \vee \tilde{y}z = x_1y_1z_2$ are all distinct in $\text{LCM}(\tilde{I})$.

(3) Eliahou and Kervaire ([7]) constructed minimal free resolutions of *stable monomial ideals*, which form a wider class than Borel fixed ideals. However, $\mathbf{b}\text{-pol}(J)$ is not a polarization for a stable monomial ideal J in general, and our construction does not work.

Let $a = \{a_0, a_1, a_2, \dots\}$ be a non-decreasing sequence of non-negative integers with $a_0 = 0$, and $T = \mathbb{k}[x_1, \dots, x_N]$ a polynomial ring with $N \gg 0$. In his paper [12], Murai defined an operator $(-)^{\gamma(a)}$ acting on monomials and monomial ideals of S . For a monomial $\mathfrak{m} \in S$ with the expression $\mathfrak{m} = \prod_{i=1}^e x_{\alpha_i}$ as (1.1), set

$$\mathfrak{m}^{\gamma(a)} := \prod_{i=1}^e x_{\alpha_i + a_{i-1}} \in T,$$

and for a monomial ideal $I \subset S$,

$$I^{\gamma(a)} := (\mathfrak{m}^{\gamma(a)} \mid \mathfrak{m} \in G(I)) \subset T.$$

If $a_{i+1} > a_i$ for all i , then $I^{\gamma(a)}$ is a squarefree monomial ideal. Particularly in the case $a_i = i$ for all i , $(-)^{\gamma(a)}$ is just $(-)^{\text{sq}}$ mentioned in Introduction.

The operator $(-)^{\gamma(a)}$ also can be described by $\mathbf{b}\text{-pol}(-)$ as is shown in [16]. Let L_a be the \mathbb{k} -subspace of \tilde{S} spanned by $\{x_{i,j} - x_{i',j'} \mid i + a_{j-1} = i' + a_{j'-1}\}$, and Θ_a a basis of L_a . For example, we can take $\{x_{i,j} - x_{i+1,j-1} \mid 1 \leq i < n, 1 < j \leq d\}$ as Θ_a in the case $a_i = i$ for all i . With a suitable choice of the number N , the ring homomorphism $\tilde{S} \rightarrow T$ with $x_{i,j} \mapsto x_{i+a_{j-1}}$ induces the isomorphism $\tilde{S}/(\Theta_a) \cong T$.

Proposition 10 ([16, Proposition 4.1]). *With the above notation, Θ_a forms an \tilde{S}/\tilde{I} -regular sequence, and we have $(\tilde{S}/(\Theta_a)) \otimes_{\tilde{S}} (\tilde{S}/\tilde{I}) \cong T/I^{\gamma(a)}$.*

Applying Proposition 10 and [5, Proposition 1.1.5], we have the following.

Corollary 11. *The complex $\tilde{P}_\bullet \otimes_{\tilde{S}} \tilde{S}/(\Theta_a)$ is a minimal T -free resolution of $T/I^{\gamma(a)}$. In particular, a minimal free resolution of T/I^{sq} is given in this way.*

For a Borel fixed ideal I generated in one degree, Nagel and Reiner [13] constructed a CW complex, which supports a minimal free resolution of \tilde{I} (or I, I^{sq}).

Proposition 12 ([14, Proposition 4.9]). *Let I be a Borel fixed ideal generated in one degree. Then Nagel-Reiner description of a free resolution of \tilde{I} coincides with our \tilde{P}_\bullet .*

We do not give a proof of the above proposition here, but just remark that if I is generated in one degree then $\mathfrak{m}_{\langle i \rangle} = \mathfrak{b}_i(\mathfrak{m})$ for all $\mathfrak{m} \in G(I)$ and \tilde{P}_\bullet becomes simpler.

4. RELATION TO BATZIES-WELKER THEORY

In [2], Batzies and Welker connected the theory of *cellular resolutions* of monomial ideals with Forman's discrete Morse theory ([8]).

Definition 13. A monomial ideal J is called *shellable* if there is a total order \sqsubset on $G(J)$ satisfying the following condition.

- (*) For any $\mathfrak{m}, \mathfrak{m}' \in G(J)$ with $\mathfrak{m} \sqsubset \mathfrak{m}'$, there is an $\mathfrak{m}'' \in G(J)$ such that $\mathfrak{m} \sqsupseteq \mathfrak{m}''$, $\deg\left(\frac{\text{lcm}(\mathfrak{m}, \mathfrak{m}'')}{\mathfrak{m}}\right) = 1$ and $\text{lcm}(\mathfrak{m}, \mathfrak{m}'')$ divides $\text{lcm}(\mathfrak{m}, \mathfrak{m}')$.

For a Borel fixed ideal I , let \sqsubset be the total order on $G(\tilde{I}) = \{\tilde{\mathfrak{m}} \mid \mathfrak{m} \in G(I)\}$ such that $\tilde{\mathfrak{m}}' \sqsubset \tilde{\mathfrak{m}}$ if and only if $\mathfrak{m}' \succ \mathfrak{m}$ in the lexicographic order on S with $x_1 \succ x_2 \succ \cdots \succ x_n$. In the rest of this section, \sqsubset means this order.

Lemma 14. *The order \sqsubset makes \tilde{I} shellable.*

The following construction is taken from [2, Theorems 3.2 and 4.3]. For the background of their theory, the reader is recommended to consult the original paper.

For $\emptyset \neq \sigma \subset G(\tilde{I})$, let $\tilde{\mathfrak{m}}_\sigma$ denote the largest element of σ with respect to the order \sqsubset , and set $\text{lcm}(\sigma) := \text{lcm}\{\tilde{\mathfrak{m}} \mid \tilde{\mathfrak{m}} \in \sigma\}$.

Definition 15. We define a total order \prec_σ on $G(\tilde{I})$ as follows. Set

$$N_\sigma := \{(\tilde{\mathfrak{m}}_\sigma)_{\langle i \rangle} \mid 1 \leq i < \nu(\mathfrak{m}_\sigma), (\tilde{\mathfrak{m}}_\sigma)_{\langle i \rangle} \text{ divides } \text{lcm}(\sigma)\}.$$

For all $\tilde{\mathfrak{m}} \in N_\sigma$ and $\tilde{\mathfrak{m}}' \in G(\tilde{I}) \setminus N_\sigma$, define $\tilde{\mathfrak{m}} \prec_\sigma \tilde{\mathfrak{m}}'$. The restriction of \prec_σ to N_σ is set to be \sqsubset , and the same is true for the restriction to $G(\tilde{I}) \setminus N_\sigma$.

Let X be the $(\#G(\tilde{I}) - 1)$ -simplex associated with $2^{G(\tilde{I})}$ (more precisely, $2^{G(\tilde{I})} \setminus \{\emptyset\}$). Hence we freely identify $\sigma \subset G(\tilde{I})$ with the corresponding cell of the simplex X . Let G_X be the directed graph defined as follows. The vertex set of G_X is $2^{G(\tilde{I})} \setminus \{\emptyset\}$. For $\emptyset \neq \sigma, \sigma' \subset G(\tilde{I})$, there is an arrow $\sigma \rightarrow \sigma'$ if and only if $\sigma \supset \sigma'$ and $\#\sigma = \#\sigma' + 1$. For $\sigma = \{\tilde{\mathfrak{m}}_1, \tilde{\mathfrak{m}}_2, \dots, \tilde{\mathfrak{m}}_k\}$ with $\tilde{\mathfrak{m}}_1 \prec_\sigma \tilde{\mathfrak{m}}_2 \prec_\sigma \cdots \prec_\sigma \tilde{\mathfrak{m}}_k (= \tilde{\mathfrak{m}}_\sigma)$ and $l \in \mathbb{N}$ with $1 \leq l < k$, set $\sigma_l := \{\tilde{\mathfrak{m}}_{k-l}, \tilde{\mathfrak{m}}_{k-l+1}, \dots, \tilde{\mathfrak{m}}_k\}$ and

$$u(\sigma) := \sup\{l \mid \exists \tilde{\mathfrak{m}} \in G(\tilde{I}) \text{ s.t. } \tilde{\mathfrak{m}} \prec_\sigma \tilde{\mathfrak{m}}_{k-l} \text{ and } \tilde{\mathfrak{m}} \mid \text{lcm}(\sigma_l)\}.$$

If $u := u(\sigma) \neq -\infty$, we can define $\tilde{\mathfrak{n}}_\sigma := \min_{\prec_\sigma}\{\tilde{\mathfrak{m}} \mid \tilde{\mathfrak{m}} \text{ divides } \text{lcm}(\sigma_u)\}$. Let E_X be the set of edges of G_X . We define a subset A of E_X by

$$A := \{\sigma \cup \{\tilde{\mathfrak{n}}_\sigma\} \rightarrow \sigma \mid u(\sigma) \neq -\infty, \tilde{\mathfrak{n}}_\sigma \notin \sigma\}.$$

It is easy to see that A is a *matching*, that is, every σ occurs in at most one edges of A . We say $\emptyset \neq \sigma \subset G(\tilde{I})$ is *critical*, if it does not occurs in any edge of A .

We have the directed graph G_X^A with the vertex set $2^{G(\tilde{I})} \setminus \{\emptyset\}$ (i.e., same as G_X) and the set of edges $(E_X \setminus A) \cup \{\sigma \rightarrow \tau \mid (\tau \rightarrow \sigma) \in A\}$. By the proof of [2, Theorem 3.2], we see that the matching A is *acyclic*, that is, G_X^A has no directed cycle. A directed path in G_X^A is called a *gradient path*.

Forman's discrete Morse theory [8] guarantees the existence of a CW complex X_A with the following conditions.

- There is a one-to-one correspondence between the i -cells of X_A and the *critical* i -cells of X (equivalently, the critical subsets of $G(\tilde{I})$ consisting of $i+1$ elements).
- X_A is contractible, that is, homotopy equivalent to X .

The cell of X_A corresponding to a critical cell σ of X is denoted by σ_A . By [2, Proposition 7.3], the closure of σ_A contains τ_A if and only if there is a gradient path from σ to τ . See also Proposition 18 below and the argument before it.

Assume that $\emptyset \neq \sigma \subset G(\tilde{I})$ is critical. Recall that $\tilde{\mathbf{m}}_\sigma$ denotes the largest element of σ with respect to \sqsubset . Take $\mathbf{m}_\sigma = \prod_{l=1}^n x_l^{a_l} \in G(I)$ with $\tilde{\mathbf{m}}_\sigma = \mathbf{b}\text{-pol}(\mathbf{m}_\sigma)$, and set $q := \#\sigma - 1$. Then there are integers i_1, \dots, i_q with $1 \leq i_1 < \dots < i_q < \nu(\mathbf{m}_\sigma)$ and

$$(4.1) \quad \sigma = \{(\tilde{\mathbf{m}}_\sigma)_{\langle i_r \rangle} \mid 1 \leq r \leq q\} \cup \{\tilde{\mathbf{m}}_\sigma\}$$

(see the proof of [2, Proposition 4.3]). Equivalently, we have $\sigma = N_\sigma \cup \{\tilde{\mathbf{m}}_\sigma\}$. Set $j_r := 1 + \sum_{l=1}^{i_r} a_l$ for each $1 \leq r \leq q$, and $\tilde{F}_\sigma := \{(i_1, j_1), \dots, (i_q, j_q)\}$. Then $(\tilde{F}_\sigma, \tilde{\mathbf{m}}_\sigma)$ is an admissible pair for \tilde{I} . Conversely, any admissible pair comes from a critical cell $\sigma \subset G(\tilde{I})$ in this way. Hence there is a one-to-one correspondence between critical cells and admissible pairs.

Let X_A^i denote the set of all the critical subset $\sigma \subset G(\tilde{I})$ with $\#\sigma = i+1$, and for (not necessarily critical) subsets σ, τ of $G(\tilde{I})$, let $\mathcal{P}_{\sigma, \tau}$ denote the set of all the gradient paths from σ to τ . For $\sigma \in X_A^q$ of the form (4.1), $e(\sigma)$ denotes a basis element with degree $\deg(\text{lcm}(\sigma)) \in \mathbb{Z}^{n \times d}$. Set

$$\tilde{Q}_q = \bigoplus_{\sigma \in X_A^q} \tilde{S} e(\sigma) \quad (q \geq 0).$$

The differential map $\tilde{Q}_q \rightarrow \tilde{Q}_{q-1}$ sends $e(\sigma)$ to

$$(4.2) \quad \sum_{r=1}^q (-1)^r x_{i_r, j_r} \cdot e(\sigma \setminus \{(\tilde{\mathbf{m}}_\sigma)_{\langle i_r \rangle}\}) - (-1)^q \sum_{\substack{\tau \in X_A^{q-1} \\ \mathcal{P} \in \mathcal{P}_{\sigma \setminus \{(\tilde{\mathbf{m}}_\sigma)_{\langle i_r \rangle}\}, \tau}}} m(\mathcal{P}) \cdot \frac{\text{lcm}(\sigma)}{\text{lcm}(\tau)} \cdot e(\tau),$$

where $m(\mathcal{P}) = \pm 1$ is the one defined in [2, p.166].

The following is a direct consequence of [2, Theorem 4.3] (and [2, Remark 4.4]).

Proposition 16 (Batzies-Welker, [2]). \tilde{Q}_\bullet is a minimal free resolution of \tilde{I} , and has a cellular structure supported by X_A .

Theorem 17 ([14, Theorem 5.11]). Our description of \tilde{P}_\bullet (more precisely, the truncation $\tilde{P}_{\geq 1}$) coincides with the Batzies-Welker resolution \tilde{Q}_\bullet . That is, \tilde{P}_\bullet is a cellular resolution supported by a CW complex X_A , which is obtained by discrete Morse theory.

First, note that the following hold.

- (1) If σ is critical, so is $\sigma \setminus \{(\tilde{\mathbf{m}}_\sigma)_{\langle i_r \rangle}\}$ for $1 \leq r \leq q$.
- (2) Let σ and τ be (not necessarily critical) cells with $\mathcal{P}_{\sigma, \tau} \neq \emptyset$. Then $\text{lcm}(\tau)$ divides $\text{lcm}(\sigma)$.
- (3) Let $\sigma \in X_A^q$, $\tau \in X_A^{q-1}$ and assume that there is a gradient path $\sigma \rightarrow \sigma \setminus \{\tilde{\mathbf{m}}\} = \sigma_0 \rightarrow \sigma_1 \rightarrow \cdots \rightarrow \sigma_l = \tau$. Then $\#\sigma_{l-1} = \#\tau + 1 = q + 1$, $\#\sigma_i = q$ or $q + 1$ for each i , and σ_i is not critical for all $0 \leq i < l$. Hence, if $l > 1$, then $\tilde{\mathbf{m}}$ must be $\tilde{\mathbf{m}}_\sigma$.

Next, we will show the following.

Proposition 18. *Let σ, τ be critical cells with $\#\sigma = \#\tau + 1$, and $(\tilde{F}_\sigma, \tilde{\mathbf{m}}_\sigma)$ and $(\tilde{F}_\tau, \tilde{\mathbf{m}}_\tau)$ the admissible pairs corresponding to σ and τ respectively. Set $\tilde{F}_\sigma = \{(i_1, j_1), \dots, (i_q, j_q)\}$ with $i_1 < \cdots < i_q$. Then $\mathcal{P}_{\sigma \setminus \{\tilde{\mathbf{m}}_\sigma\}, \tau} \neq \emptyset$ if and only if there is some $r \in B(\tilde{F}_\sigma, \tilde{\mathbf{m}}_\sigma)$ with $(\tilde{F}_\tau, \tilde{\mathbf{m}}_\tau) = ((\tilde{F}_\sigma)_r, (\tilde{\mathbf{m}}_\sigma)_{\langle i_r \rangle})$. If this is the case, we have $\#\mathcal{P}_{\sigma \setminus \{\tilde{\mathbf{m}}_\sigma\}, \tau} = 1$.*

Sketch of Proof. Only if part follows from the above remark. Note that the second index j of each $x_{i,j} \in \tilde{S}$ restricts the choice of paths and it makes the proof easier.

Next, assuming $\tilde{F}_\tau = (\tilde{F}_\sigma)_r$ and $\tilde{\mathbf{m}}_\tau = (\tilde{\mathbf{m}}_\sigma)_{\langle i_r \rangle}$ for some $r \in B(\tilde{F}_\sigma, \tilde{\mathbf{m}}_\sigma)$, we will construct a gradient path from $\sigma \setminus \{\tilde{\mathbf{m}}_\sigma\}$ to τ . For short notation, set $\tilde{\mathbf{m}}_{[s]} := (\tilde{\mathbf{m}}_\sigma)_{\langle i_s \rangle}$ and $\tilde{\mathbf{m}}_{[s,t]} := ((\tilde{\mathbf{m}}_\sigma)_{\langle i_s \rangle})_{\langle i_t \rangle}$. By (4.1), we have $\sigma_0 := (\sigma \setminus \{\tilde{\mathbf{m}}_\sigma\}) = \{\tilde{\mathbf{m}}_{[s]} \mid 1 \leq s \leq q\}$ and $\tau = \{\tilde{\mathbf{m}}_{[r,s]} \mid 1 \leq s \leq q, s \neq r\} \cup \{\tilde{\mathbf{m}}_{[r]}\}$. We can inductively construct a gradient path $\sigma_0 \rightarrow \sigma_1 \rightarrow \cdots \rightarrow \sigma_t \rightarrow \cdots \rightarrow \sigma_{2(q-r+1)r-2}$ as follows. Write $t = 2pr + \lambda$ with $t \neq 0$, $0 \leq p \leq q - r$, and $0 \leq \lambda < 2r$. For $0 < t \leq 2(q - r)$, we set

$$\sigma_t = \begin{cases} \sigma_{t-1} \cup \{\tilde{\mathbf{m}}_{[q-p,s]}\} & \text{if } \lambda = 2s - 1 \text{ for some } 1 \leq s \leq r; \\ \sigma_{t-1} \setminus \{\tilde{\mathbf{m}}_{[q-p+1,s]}\} & \text{if } \lambda = 2s \text{ for some } 0 < s < r; \\ \sigma_t \setminus \{\tilde{\mathbf{m}}_{[q-p+1]}\} & \text{if } \lambda = 0, \end{cases}$$

where we set $\tilde{\mathbf{m}}_{[q+1,s]} = \tilde{\mathbf{m}}_{[s]}$ for all s . In the case $\tilde{\mathbf{m}}_{[s,t]} = \tilde{\mathbf{m}}_{[s+1,t]}$, it seems to cause a problem, but skipping the corresponding part of path, we can avoid the problem. Since $r \in B(\tilde{F}_\sigma, \tilde{\mathbf{m}}_\sigma)$, we have $\tilde{\mathbf{m}}_{[s,r]} = \tilde{\mathbf{m}}_{[r,s]}$ for all $s > r$ by Lemma 3 (iv). Hence

$$\sigma_{2(q-r)} = \{\tilde{\mathbf{m}}_{[r+1,s]} \mid 1 \leq s < r\} \cup \{\tilde{\mathbf{m}}_{[r]}\} \cup \{\tilde{\mathbf{m}}_{[r,s]} \mid r < s \leq q\}.$$

Now for s with $0 < s \leq r - 1$, set σ_t with $2(q - r)r < t \leq 2(q - r + 1)r - 2$ to be $\sigma_{t-1} \cup \{\tilde{\mathbf{m}}_{[r,s]}\}$ if s is odd and otherwise $\sigma_{t-1} \setminus \{\tilde{\mathbf{m}}_{[r+1,s]}\}$. Then we have $\sigma_{2(q-r+1)r-2} = \tau$, and the gradient path $\sigma \rightsquigarrow \tau$.

The uniqueness of the path follows from elementally (but lengthy) argument. \square

Sketch of Proof of Theorem 17. Recall that there is the one-to-one correspondence between the critical cells $\sigma \subset G(\tilde{I})$ and the admissible pairs $(\tilde{F}_\sigma, \tilde{\mathbf{m}}_\sigma)$. Hence, for each q , we have the isomorphism $\tilde{Q}_q \rightarrow \tilde{P}_q$ induced by $e(\sigma) \mapsto e(\tilde{F}_\sigma, \tilde{\mathbf{m}}_\sigma)$.

By Proposition 18, if we forget ‘‘coefficients’’, the differential map of \tilde{Q}_\bullet and that of \tilde{P}_\bullet are compatible with the maps $e(\sigma) \mapsto e(\tilde{F}_\sigma, \tilde{\mathbf{m}}_\sigma)$. So it is enough to check the equality of the coefficients. But it follows from direct computation. \square

Corollary 19 ([14, Corollary 5.12]). *The free resolution $\tilde{P}_\bullet \otimes_{\tilde{S}} \tilde{S}/(\Theta)$ (resp. $\tilde{P}_\bullet \otimes_{\tilde{S}} \tilde{S}/(\Theta_a)$) of S/I (resp. $T/I^{(a)}$) is also a cellular resolution supported by X_A . In particular, these resolutions are Batzies-Welker type.*

We say a CW complex is *regular*, if for all i the closure $\bar{\sigma}$ of any i -cell σ is homeomorphic to an i -dimensional closed ball, and $\bar{\sigma} \setminus \sigma$ is the closure of the union of some $(i - 1)$ -cells. This is a natural condition especially in combinatorics.

Mermin [11] (see also Clark [6]) showed that the Eliahou-Kervaire resolution is cellular and supported by a regular CW complex. Hence it is a natural question whether the CW complex X_A supporting our \tilde{P}_\bullet is regular. (Since the discrete Morse theory is an “existence theorem” and X_A might not be unique, the *correct* statement is “*can be regular*”. This is a non-trivial point, but here we do not show how to avoid it).

Theorem 20 ([15]). *The CW complex X_A of Theorem 17 is regular. In particular, our resolution \tilde{P}_\bullet is supported by a regular CW complex.*

Sketch of Proof. We basically follow Clark [6], which proves the corresponding statement for the Eliahou-Kervaire resolution.

We define a finite poset P_A as follows:

- (i) As the underlying set, $P_A = (\text{the set of the cells of } X_A) \cup \{\hat{0}\}$. Here $\hat{0}$ is the least element.
- (ii) For cells σ and τ of X_A , $\sigma \succeq \tau$ in P_A if and only if the closure of σ contains τ .

It suffices to show that P_A is a *CW poset* in the sense of [4], and we can use [4, Proposition 5.5]. By the behavior of the differential map of \tilde{P}_\bullet , we can check that P_A satisfies the following condition.

- For $\sigma, \tau \in P_A$ with $\sigma \succ \tau$ and $\text{rank}(\sigma) = \text{rank}(\tau) + 2$, there are exactly two elements between σ and τ .

Now it remains to show that the interval $[\hat{0}, \sigma]$ is shellable for all σ , but we can imitate the argument of Clark [6]. In fact, $[\hat{0}, \sigma]$ is *EL shellable* in the sense of [3]. \square

Example 21.

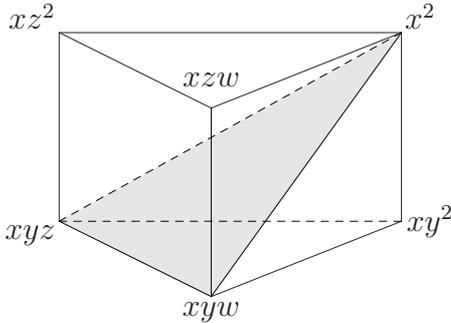


FIGURE 1

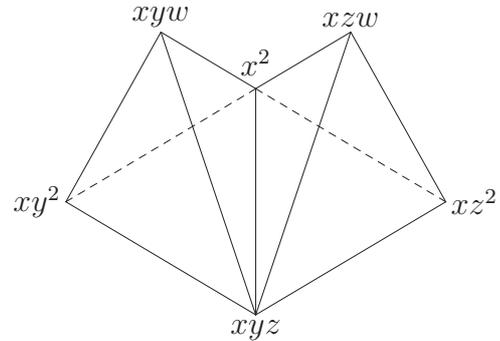


FIGURE 2

Consider the Borel fixed ideal $I = (x^2, xy^2, xyz, xyw, xz^2, xzw)$. Then $\mathbf{b-pol}(I) = (x_1x_2, x_1y_2y_3, x_1y_2z_3, x_1y_2w_3, x_1z_2z_3, x_1z_3w_3)$, and easy computation shows that the CW complex X_A , which supports our resolutions \tilde{P}_\bullet of \tilde{S}/\tilde{I} and $\tilde{P}_\bullet \otimes_{\tilde{S}} \tilde{S}/(\Theta)$ of S/I , is the one illustrated in Figure 1.

The complex consists of a square pyramid and a tetrahedron glued along trigonal faces of each. For a Borel fixed ideal generated in one degree, any face of the Nagel-Reiner CW complex is a product of several simplices. Hence a square pyramid can not appear in the case of Nagel and Reiner.

We remark that the Eliahou-Kervaire resolution of I is supported by the CW complex illustrated in Figure 2. This complex consists of two tetrahedrons glued along edges of each. These figures show visually that the description of the Eliahou-Kervaire resolution and that of ours are really different.

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